Leveraging RRAM to Design Efficient Digital Circuits and Systems for Beyond Von Neumann in-Memory Computing

by

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AUTHOR'S DECLARATION

I hereby declare that I am the sole author of this thesis. This is a true copy of the thesis, including any required final revisions, as accepted by my examiners.

I understand that my thesis may be made electronically available to the public.

Abstract

Due to the physical separation of their processing elements and storage units, contemporary digital computers are confronted with the thorny memory-wall problem. The strategy of in-memory computing has been considered as a promising solution to overcome the von Neumann bottleneck and design high-performance, energy-efficient computing systems. Moreover, in the post Moore era, post-CMOS technologies have received intense interests for possible future digital logic applications beyond the CMOS scaling limits. Motivated by these perspectives from system level to device level, this thesis proposes two effective processing-in-memory schemes to construct the non-von Neumann systems based on nonvolatile resistive random-access memory (RRAM).

In the first scheme, we present functionally complete stateful logic gates based on a CMOS-compatible 2-transistor-2-RRAM (2T2R) structure. In this structure, the programmable logic functionality is determined by the amplitude of operation voltages, rather than its circuit topology. A reconfigurable 3T2R chain with programmable interconnects is used to implement complex combinational logic circuits. The design has a highly regular and symmetric circuit structure, making it easy for design, integration, and fabrication, while the operations are flexible yet clean. Easily integrated as 3dimensional (3-D) stacked arrays, two proposed memory architectures not only serve as regular 3-D memory arrays but also perform in-memory-computing within the same layer and between the stacked layers. The second scheme leverages hybrid logic in the same hardware to design efficient digital circuits and systems with low computational complexity. Multiple-bit ripple-carry adder (RCA), pipelined RCA, and prefix tree adder are shown as example circuits, using the same regular chain structure, to validate the design efficiency. The design principles, computational complexity, and performance are discussed and compared to the CMOS technology and other state-of-the-art post-CMOS implementations. The overall evaluation shows superior performance in speed and area. The result of the study could build a technology cell library that can be potentially used as input to a technology-mapping algorithm. The proposed hybrid-logic methodology presents prospect of hardware acceleration and future beyond-von Neumann in-memory computing architectures.

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Last but not the least, I would like to thank my family: my parents and my brothers, who have given me all their love and encouragement, for supporting me spiritually throughout my life.

Dedication

I dedicate this to my mother Shunian Xie and father Ruifeng Yang.

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Chapter 1

Introduction

1.1 In-Memory Computing

The ever-increasing artificial intelligent (AI) applications, including image recognition, speech understanding, robot intelligence, and data analytics, demand high-performance computation and memory resources. To fuel the development of these applications, hardware-friendly algorithms [1], domain specific architecture [2] as well as post-CMOS emerging technologies [3] are under extensive exploration. Researchers and engineers are attempting to address the challenges of hardware acceleration from many aspects in order to design novel, efficient digital systems [4-5]. Examples are solving the computing challenges [6], dealing with the memory challenges [7], and designing novel architectures with emerging technologies [8]. In particular, in today's big-data era, memory accesses and data transfer between the central processing unit (CPU) and memory storage via the bus consume the majority of the processing time and power [9]. The performance gap between the microprocessor and computer memory (DRAM) keeps growing [10] at a rate of 50% per year as shown in Figure 1.1, indicating that most of the single core performance loss is on the memory system due to the much slower memory operations relative to those of the CPU. This is known as the von Neumann bottleneck, which severely hinders the rapid development of high-performance and energy-efficient computing.

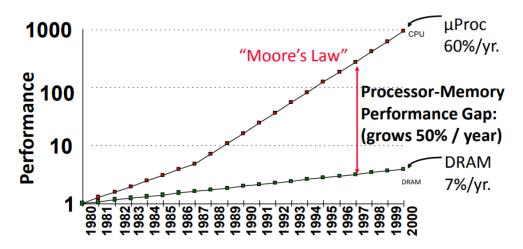


Figure 1.1 Processor-memory performance gap grows at a rate of 50%/year. [https://cs.nyu.edu/courses/fall12/CSCI-GA.3033-012/lecture3.pdf]

Therefore, in-memory computing (IMC), also known as processing-in-memory (PIM), serves as a promising method to address the "memory wall" challenges for future computer systems [11].

Attracting most attention among several paradigms from industry and academia, IMC paves a direct and efficient way to design beyond von Neumann architectures (Figure 1.2), aiming to subvert the von Neumann architecture by conducting computation tasks within the memory, exactly where the computation operands are located [12]. This solution is efficient because it provides a clear method to suppress the overhead in latency and power consumption to overcome the bottleneck. Among the explorations in PIM scheme thus far, designs based on computational memory devices [13-16] are one of the most effective implementations as efficient in-memory computing generally requires fast, low-power, high-density, scalable devices. It benefits from the in-situ calculations of the nonvolatile memory devices, which are capable of storing data and computing at the same time.

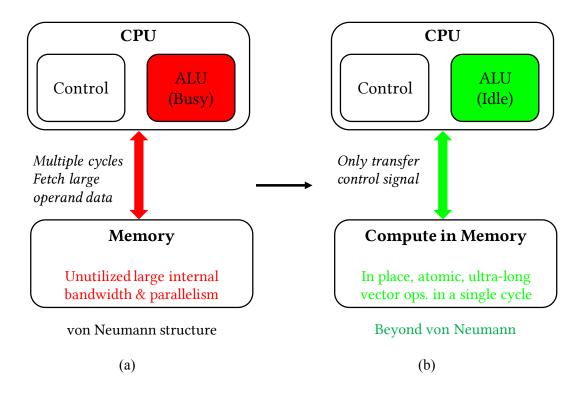


Figure 1.2 (a) Von Neumann structure and (b) beyond von Neumann structure based on in-memory computing.

1.2 Resistive Random Access Memory (RRAM)

Over the past few decades, progress in the semiconductor industry was enabled by the downscaling of the metal-oxide-semiconductor field-effect transistor (MOSFET), serving as the workhorse of digital complementary metal-oxide-semiconductor (CMOS) systems for modern chips. Today, however, this scaling has reached a plateau due to several critical factors such as ever-increasing power dissipation and heating issues (including the leakage currents), quantum mechanical effects, and intrinsic parameter fluctuations [17]. To tackle this barrier, emerging devices such as carbon nanotube FETs (CNFETs) [18], resistive random access memories (RRAMs) [19], and superconducting devices [20] are investigated to support post-CMOS technologies [21].

Resistive switching devices, such as RRAM, have widespread use among these post-CMOS memories. RRAM is a new RAM technology to watch out for, while RAM is an important part of all computing systems as it helps improve process and read-write speeds. This means that applications running on a computer or laptop are able to perform much better and faster. It employs resistive-switching characteristics in a simple sandwiched metal-insulator-metal structure to store binary information using its resistance in a nonvolatile manner [22]. As shown in inset of Figure 1.3(a), a bipolar RRAM device consists of two terminals, a top electrode (TE, anode p) and a bottom electrode (BE, cathode n) and a metal-oxide layer in between. Its resistive switching is typically induced by application of a voltage on the two electrodes (V_{pn}) , which leads to the formation and rupture of a conductive filament (CF) in the insulator layer driven by the electrical field along the x direction, shown

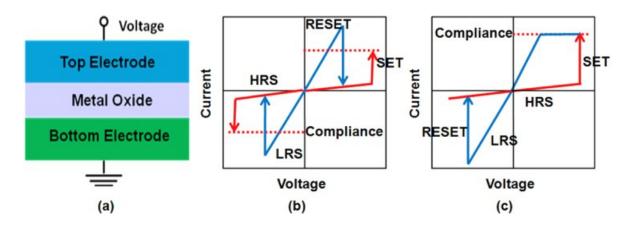


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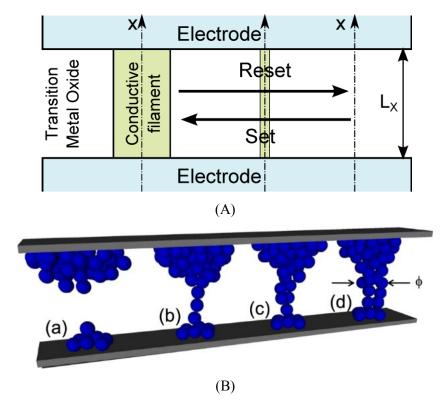


Figure 1.4 RRAM working principle. (A) Formed and dissolved conductive filament resulting from set and reset operations respectively in Metal/Insulator/Metal (MIM) structure. [Compact Modeling Solutions for Oxide-Based Resistive Switching Memories (OxRAM)] (B) A filament growth model for RRAM switching. The application of a positive voltage to the TE results in the migration of positively ionized defects from the reservoir on the TE side (a) toward the BE, thus resulting in the nucleation of the CF (b) and its growth at an increasing time (c), (d). The increase of the diameter of the CF thus results in the decreasing resistance observed during the set transition. [45]

in Figure. 1.4. In a bipolar RRAM, a positive voltage beyond a threshold value (V_{SET}), i.e. when $V_{pn} > V_{SET} > 0$, forms CF to short the two electrodes, thus changing the RRAM state from a high resistance state (HRS) to a low resistance state (LRS). On the contrary, a negative voltage below a certain negative threshold (V_{RESET}), i.e. when $V_{pn} < V_{RESET} < 0$, causes rupture of CF, switching its state from LRS back to HRS. The two transition processes are named SET and RESET, respectively. Overall, RRAM possesses advantages of simple device structure, high density, low power, fast speed, descent scalability, and

excellent compatibility with the CMOS process [22], [23]. Therefore, this computation memory has been considered as a suitable candidate not only for next-generation high-density storage but also in emerging circuit design and novel computation systems [24-26]. In addition, the use of RRAM (R) could help to address power dissipation in emerging processors by employing transistor (T) or selector (S) device as switch to form the 1T1R or 1S1R structure.

1.3 Scope of Research

In this thesis, we propose two PIM schemes in the digital domain based on 2-transistor-2-RRAM structure, where the two bipolar RRAMs are connected in a back-to-back manner. In the first scheme, we start from introducing the logic gate principle and then design a unified circuit structure to perform any combinational logic. The computation methodologies are discussed accordingly. In addition, two possible 3-D stacked memory array structures (mem1 and mem2) capable of both regular memory functions and CIM, are illustrated to support large-scale integration. The stacked memory arrays can perform the computation flexibly (within one same layer or between different stacking layers), enabled by multiple computation modes. The second memory array, mem2, is able to carry out concurrent computations, enhancing the processing parallelism and efficiency.

In the second scheme, we propose a hybrid-logic computation methodology in the same circuit, 2-transistor-2-RRAM (2T2R) structure, which fully utilizes available computation resources. The hybrid logic encodes input variables as both voltage levels and RRAM states, while the output results are stored and represented by the RRAM states after operation. The hybrid-logic gate is still nonvolatile as it is capable of storing computation results. For this scheme, we illustrate the hybrid-logic design principle and show multiple logic families (LFs) of the 2T2R available to be used in arithmetic circuits. Boolean logics for multiple operands (up to six) can be implemented efficiently in a single operational step. Following that, the 1-bit full adder is realized with low complexity, in three steps with only two cascaded 2T2R gates. Multiple-bit ripple-carry adder (RCA), pipelined RCA with higher throughput, and logarithmic (tree) Brent-Kung adder with full parallelism are shown to build larger digital systems, all using a repeated and regular chain structure. The designs are discussed and evaluated based on their computational complexity. Eventually, the work is compared to commercial 65nm CMOS technology and some popular RRAM-based computing platforms with regard to their speed and area. The overall result of the evaluation shows superior performance and prospect in the future beyond-von Neumann IMC architectures.

1.4 Organization

This thesis is divided up into four main sections. In Chapter 2, an overview of related work based on the emerging technologies as well as their advantages and disadvantages are presented to the readers. Chapter 3 illustrates the design of (1) functionally complete, stateful logic gates based on 2T2R; (2) a regular, repeated, and reconfigurable 3T2R chain with programmable interconnects. Chapter 4 presents the design of two dense 3-D stacked memory array structures, the second one capable of performing concurrent computations. The 3-D arrays integrate the functionalities of processing element and storage together, with multiple computation modes available to achieve flexible calculations inside the memory. Lastly, in Chapter 5, we propose another efficient in-memory computing scheme based on hybrid logic in 2T2R RRAM whose programmable logic functionality is determined by the amplitude of voltage operands and variable assignments. A repeated, uniform, and reconfigurable 2T2R-gate chain with programmable interconnects is designed to efficiently implement any arithmetic logic block.

Chapter 2

Related Work

In-memory computing schemes have been explored in both digital and analog spaces. In the last 20 years, the major digital IMC based on computational memories has been focusing on defining novel logic gate concepts to carry out digital Boolean operations with lower energy and area consumption [27-31]. Some works such as [13], [27], [29] deal with general implementations containing the basic operations like bitwise OR, AND, XOR, and INV. The analog IMC takes advantages of dense RRAM crossbar to implement the acceleration of matrix-vector multiplication, which has been extensively used in AI applications such as machine learning algorithms.

2.1 Digital R-R Stateful Logic

An early work [13], back to 2010, experimentally demonstrates the material implication (IMP, commonly used among logicians) in a relatively simple RRAM-based circuit combining a conventional resistor to enable stateful logic operations (belongs to the family of resistance-to-resistance stateful logic, R-R logic, shown in Figure 2.1). The IMP is a fundamental but powerful Boolean logic operation

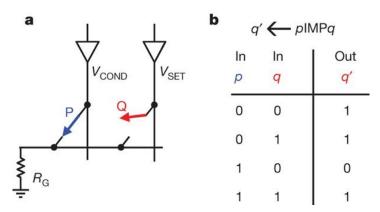


Figure 2.1 Resistance-to-resistance stateful logic (R-R logic) gate and illustration of the IMP operation for the four input values of p and q. (a) IMP is performed by two simultaneous voltage pulses, V_{COND} and V_{SET} , applied to switches P and Q, respectively, to execute conditional toggling on switch Q depending on the state of switch P. (b) The truth table for the operation q' = p IMP q. The detailed operation principle can be found in [13].

on two operands (p and q) such that "p IMP q" is equivalent to "(NOT p) OR q". Containing an inversion function (NOT), it is able to form a computationally complete logic basis through the iterations of IMP logic. However, the IMP itself is only able to execute computations with lengthy iterative operations, increasing the difficulty to implement certain logics flexibly (e.g. EQUAL) and build large digital systems efficiently. In addition, the circuit needs extra resistors to assist each IMP operation so that they add great area overhead and reduce program margin.

In [27], the stateful nonvolatile RRAM logic (whose input and output operands are both RRAM states) is designed for normally-off digital computing by adopting a serial resistive switch arrangement (shown in Figure 2.2). The switching devices (or switches) both store the input/output states, and operate in response to an applied driving pulse. Different logic functions are achieved by different values of the pulse voltage, e.g., high/low voltages, or positive/negative voltages. AND, IMP, NOT, and bit transfer operations are demonstrated, each using a single clock pulse, while other functions (e.g., OR and XOR) are achieved in multiple steps.

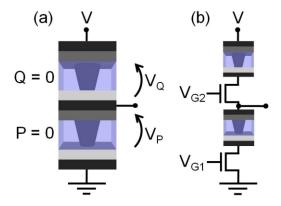


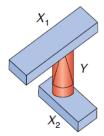
Figure 2.2 Schematic of (a) 2R stateful logic gate and (b) 2T2R structure used for experimental verification. Two switches are connected in series, while the logic operation is dictated by the applied voltage. [27]

The R-R nonvolatile logic approach allows suppressing the static leakage power dissipation while reducing the area consumption because of the scalable two-terminal structure of the RRAM switch. RRAM stateful logic differs from CMOS logic by the topological organization of the logic gate; in CMOS logic, each logic function has a specific circuit topology. R-R stateful logic instead totally lacks topological organization of the logic gates, thus allowing for standardization of the circuit architecture through the adoption of the crossbar array with extremely high density. Nevertheless, a third resistance

state needs to be programed into RRAM devices by adjusting the compliance current. Moreover, the logic circuits require expensive and complicated reconfigurable wiring which add area overhead. In each step, the cell connections have to be changed, which is hard to achieve in practical applications.

2.2 Digital V-R Logic

Instead of R-R mapping method, the work in [32] uses the two voltage values applied to the two terminals of an RRAM device (has to be initially prepared in a low resistance state) as the two input variables. The output of the logic gate is stored as the final state of the device (belongs to the family of voltage-to-resistance logic, V-R logic). The relationship between the input voltages and output resistance is also an IMP function, magically. Although the single IMP logic is far from designing high-performance digital systems, the two works above brought the previously uncommon digital logic IMP into many RRAM-based designs, which is internally intrinsic in the operations of resistive memories.



<i>X</i> ₁	X_2	Y
0	0	1
0	1	1
1	0	0
1	1	1

Figure 2.3 Voltage-to-resistance logic (V-R logic) gate and corresponding truth table for material implication (IMP) operation. The V-R logic gate consists of a single resistive switch, where the input signals are the applied voltages at the two ends of the device (X1 and X2) and the output signal is the switch conductance state (Y). [11]

In the V–R logic gate, the output result remains stored as the resistive state without any voltage bias, thus allowing a considerable saving of static power. On the other hand, the efficient sequential cascade of two operations is impossible, as input and output signals are physically different. Converting the output resistance into an input voltage can be achieved by additional circuits, typically located out of the memory area.

2.3 Analog Computing using RRAM crossbar

From the viewpoint of in-memory computing, the crossbar array naturally provides a hardware accelerator for analogue, approximated matrix—vector multiplication (MVM). Therefore, dense RRAM crossbars are widely utilized to accelerate MVM of neural networks, leveraging the property of natural current accumulation (KCL) to realize the addition function [33]. This popular method attributes to the family of voltage-to-current logic, V-I logic, in which RRAM resistance stores parameters (pre-trained network weights) rather than input or output operand information. The work in [34] explores an in-situ processing approach, where memristor crossbar arrays not only store input weights, but are also used to perform dot-product operations in an analog manner. The analog MVM in the crosspoint can be carried out in one step, as opposed to the digital MAC operation, which is a time and energy-consuming step in classical computers.

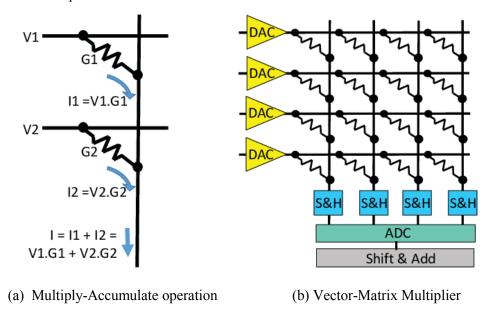


Figure 2.4 Voltage-to-current logic, V-I logic. (a) Using a bitline to perform an analog sum of products operation. (b) A memristor crossbar used as a vector-matrix multiplier. [34]

Another important work [49] reports the experimental demonstration of a fully operational neural network based on an integrated, transistor-free crossbar with metal-oxide RRAM with device variability sufficiently low to allow operation of integrated neural networks, in a simple network: a single-layer perceptron (an algorithm for linear classification). This crossbar performed, on the physical (Ohm's law) level, the analogue vector-by-matrix multiplication, which is by far the most computationally intensive part of the operation of any neuromorphic network used repeatedly in the

same environment. The network can be taught in situ using a coarse-grain variety of the delta rule algorithm to perform the perfect classification of 3x3 3-pixel black/white images into three classes (representing letters).

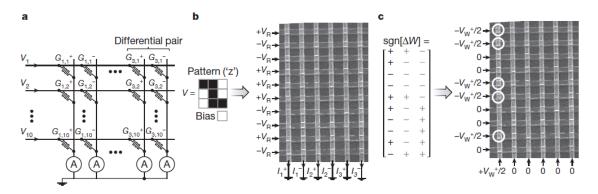


Figure 2.5 Pattern classification experiment (physical-level description). (a) An implementation of a single-layer perceptron using a 10x6 fragment of the RRAM crossbar. (b) An example of the classification operation for a specific input pattern (stylized letter 'z'), with the crossbar input signals equal to $+V_R$ or $-V_R$, depending on the pixel colour. (c) An example of the weight adjustment in a specific (first positive) column, for a specific error matrix. [49]

Additionally, some more works attempt to improve the design efficiency by employing new structures and computation methods. Recent examples are 1T1R RRAM [31], [35], hybrid CMOS circuits [36], memristor ratioed logic (MRL) [44], complementary resistive switches (CRS) crossbar [37]. These works shift the digital design focus from gate level (basic bitwise operations) to arithmetic-block level (adders and multipliers). Nevertheless, crucial problems such as the cascade, leakage current or destructive-read still exist and thus severely restrict their strategies in practical application. Although some of them are solvable, such as the cascade problem in [31], where the implementation supports gate cascading in a complex manner, it needs an additional readout step for cascade using complicated peripheral circuits such as sense amplifiers, block decoders, register stack, etc. As a consequence, this thesis aims to propose a novel structure and computational methodologies to design digital logic circuits and building blocks of arithmetic logic unit (ALU) by leveraging the capability and advantages of post-CMOS RRAM in implementing beyond-von Neumann processing in-memory architectures.

Chapter 3

Digital Design based on Stateful Logic

3.1 Introduction

In this chapter, we propose a stateful IMC scheme in the digital domain based on a symmetric 2-transistor-2-RRAM structure, where the two bipolar RRAMs are connected in the back-to-back manner. We start from introducing the logic gate principle and then design a unified circuit structure to perform any combinational logic circuits. All the input and output operands are RRAM resistive states in this stateful logic gate. The design has a highly regular and symmetric circuit structure, while the operations are flexible yet clean (without the need of complicated peripheral circuitry or a third resistive state). Implementations of XNOR and full-adder functions using 3T2R chain without extra routing/control gates or resistors are shown as example circuits to demonstrate the arithmetic unit design. The proposed computing scheme is intrinsic and efficient for PIM applications and presents superior performance in speed and area. The computation methodologies, design principles, and advantages/disadvantages are discussed in details accordingly.

3.2 2T2R Stateful Logic Gate

Figure 3.1 depicts the I-V characteristics of a bipolar HfO_x -based RRAM device, generated by ASU RRAM model [23] using HSPICE. The model used in this thesis is calibrated to match the experimental HfO_x -RRAM device behavior from IMEC [38] with 20mV/s SET/RESET pulses. In the HSPICE simulation, faster pulses (0.2 V/ns) are used which result in V_{SET} = 2V and V_{RESET} = -1.33V. The relevant parameters used in this RRAM model are listed in Table 3.1 ("g" parameters represent gap distance, which is defined as the average distance between the TE and the tip of the CF).

The structure of the proposed 2T2R logic gates is shown in Figure 3.2, where the two serial bipolar RRAMs are connected in a back-to-back manner. The two NMOS transistors act as access devices to each RRAM. The operation is explained as follows. First, prepare two RRAM resistive states as two inputs: P (initial state of lower cell) and Q (initial state of upper cell). The initialization can be done by applying SET/RESET voltages between top/bottom terminal and the middle node "M" (labelled in Figure 3.2). Then apply three voltage pulses simultaneously on the corresponding terminals: V_{UL} (operational voltage), G_P (enable/control voltage of the lower cell), and G_Q (enable/control voltage of

the upper cell). Finally, the two outputs of the logic gate are in situ stored as the RRAM states after the operation: P' (final state of lower cell) and Q' (final state of upper cell). Overdrive gate voltages are

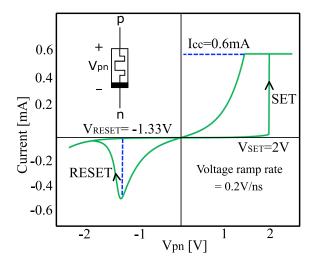


Figure 3.1 Current-voltage characteristics of the RRAM device used in this chapter. The device is simulated with ASU RRAM model [23] calibrated to IMEC device [38]. (Inset shows an RRAM device with two terminals (p as anode and n as cathode).

Table 3-1 RRAM model parameters

Parameter	Value	Parameter	Value
I ₀ (μA)	61.4	$g_0(nm)$	0.275
I-V fitting parameter		I-V fitting parameter	
$V_0(V)$	0.43	$g_1(nm)$	1
I-V fitting parameter		Gap dynamics fitting parameter	
β	1.25	γ_0	16.5
Gap dynamics fitting parameter		Gap dynamics fitting parameter	
$v_0 (m/s)$		E_{ag} (eV)	1.501
Gap dynamics fitting parameter		Activation energy for vacancy generation	
E_{ar} (eV)		L (nm)	5
Activation energy for vacancy recombination		Oxide thickness	
τ_{th} (ns)		$a_0 (nm)$	0.25
Effective thermal time constant		Atomic hopping distance	
g_{max} (nm)		$T_0(K)$	298
Maximum gap distance		Ambient temperature	
$g_{min}(nm)$		$C_{th}\left(fJ/K\right)$	0.318
Minimum gap distance		Effective thermal capacitance	

applied to transistors to reduce transistor resistance and avoid any threshold voltage drop between gate and source. The concept and operation are similar to those introduced in [13] and [27]. In this work, the LRS ($50k\Omega$) and HRS ($1M\Omega$) represents logic "0" and logic "1", respectively. However, this design does not require additional resistors to assist logic operation as compared with [13]. Additionally, the upper cell and lower cell are connected back-to-back (p-n-n-p) rather than the p-n-p-n connection in [27]. Due to the back-to-back configuration in this logic gate, by applying a positive V_{UL} , the upper cell Q can only go through SET process (no RESET possible) while lower cell P can only go through RESET process (no SET possible). The opposite case happens when V_{UL} is negative. This proposed 2T2R structure offers several significant advantages:

- 1) Improved program margin as no voltage drops across the extra resistors.
- 2) No need of the third resistive state (a quasi-LRS, 0*, achieved by adjusting the compliance current) to trigger the effective operation, as discussed in [27].

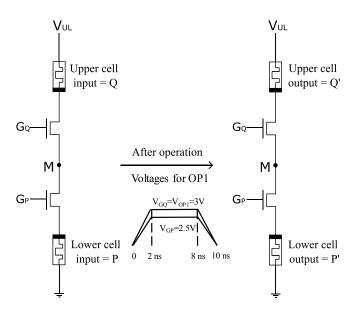


Figure 3.2 Proposed 2T2R logic gate with back-to-back RRAM pair. NMOS are simulated using PTM65nm [39] at 500nm widths. An overdrive gate voltage is applied to transistors to reduce transistor resistance and avoid any threshold voltage drop between gate and source.

- 3) Capability to form regular and symmetric chain structure to design combinational logic circuit and 3D stacked memory array structure (for both RAM storage and CIM), tremendously reducing the design complexity.
- 4) Elimination of additional routing control to reconfigure the interconnect between the operation cells as in [27]. This feature is reflected in Section 4, where any two of the cells from different stacks are already automatically connected in a back-to-back manner.
- 5) The logic function of the gate is determined by the amplitude of operation voltage (V_{UL}) instead of the circuit itself, possessing superior reconfigurability.

To explain the logic gate principles, first, we define a parameter k as

$$k = \frac{V_{SET}}{|V_{RESET}|} \tag{3.1}$$

which is the ratio of SET and RESET voltage. For different RRAM devices with different k values, the available operation combinations (OPs) and their corresponding V_{UL} ranges are also different. All the possible cases are listed in Table 3.2. However, for any given bipolar RRAM device, i.e. any given k, the operations AND and IMP are always achievable, guaranteeing the functional completeness. In this chapter, the operations are proved based on the IMEC's device presented in Figure 3.1, whose k equals to 1.5.

Given one specific RRAM with fixed k value, there are a few logic operations available, enabled by different ranges of V_{UL} (operation voltage across the RRAM pairs). For the RRAM used in this work with k=1.5, three operations OP1, OP2, and OP4 will be analyzed in details in Chapter 3.2.1, 3.2.2, and

Table 3-2 Ranges of voltages across the 2T2R pair to perform different operations (OP1-OP5) for different k (= $V_{SET}/|V_{|RESET|}$) values.

k	V _{OP1} for OP1: bit hold (P'=P) AND (Q'= P·Q)	V_{OP2} for OP2 IMP (P'=Q \rightarrow P) bit set (Q'=0)	V _{OP3} for OP3: bit hold (P'=P) bit set (Q'=0)	V_{OP4} for OP4: IMP (P'=Q \rightarrow P) AND (Q'=P·Q)	V_{OP5} for OP5: IMP (P'=Q \rightarrow P) bit hold (Q'=Q)
<1	$(V_{SET}, 2V_{SET})$	>2 V _{RESET}	$(2V_{SET}, 2 V_{RESET})$	N/A	N/A
1	$(V_{SET}, 2V_{SET})$	>2V _{SET}	N/A	N/A	N/A
(1, 2)	$(V_{SET}, 2 V_{RESET})$	>2V _{SET}	N/A	$(2 V_{RESET} , 2V_{SET})$	N/A
2	N/A	>2V _{SET}	N/A	$(V_{SET}, 2V_{SET})$	N/A
>2	N/A	>2V _{SET}	N/A	(V _{SET} , 2V _{SET})	$(2 V_{RESET} , V_{SET})$

3.2.3, respectively. OP3 and OP5 under other k cases can be obtained similarly, as summarized in Table I. All OPs in Table I are given with positive value of $V_{\rm UL}$.

3.2.1 OP1: P'=P (bit hold) and Q'= P·Q (AND)

In OP1, the range of operation voltage, V_{UL}, is given by

$$V_{UL} = V_{OP1} \in (V_{SET}, 2|V_{RESET}|). \tag{3.2}$$

In the cases of P=Q=0 or P=Q=1, V_{OP1} will be equally distributed on the upper and lower cells since they have the same resistance (both in LRS or both in HRS). Hence, the voltages across the P and Q have the following relationship

$$V_{Q_{pn}} = -V_{P_{pn}} = 0.5V_{OP1} \in (0.5V_{SET}, |V_{RESET}|) < |V_{RESET}|$$
(3.3)

where V_{Qpn} is less than V_{SET} and $|V_{Ppn}|$ is less than $|V_{RESET}|$, neither sufficient to trigger any transitions. When P=0 and Q=1, V_{OP1} is dropped mainly across Q ($V_{Qpn} \approx V_{OP1} > V_{SET}$, $V_{Lpn} \approx 0$) due to much higher resistance. Thus a SET process is initiated on the upper cell Q so that Q'=0 (LRS). Meanwhile, lower cell P remains at LRS so that P'=0. In the case of P=1 and Q=0, almost all of V_{OP1} drops across P ($V_{Qpn} \approx 0$, $V_{Ppn} \approx -V_{OP1}$). Since P is already in HRS, i.e. RESET state, no transition could take place, thus P'=1 and Q'=0. The truth table containing all the input and output cases is summarized in Table 3.3 as the result of above analysis. The table indicates the Boolean functions of the outputs, with regard to inputs, are P'=P (bit hold) and Q'=P·Q (AND). A special case can be used to perform "bit transfer" operation, i.e. Q'=P, highlighted in green, if the initial state of upper cell is prepared in HRS (Q=1). This allows the data stored in upper/lower cell to be transferred to lower/upper cell, important for the gate cascade, chain logic and CIM array operations in Chapter 3.3 and Chapter 4.

Table 3-3 Truth table for OP1: P'=P (bit hold), Q'=P·Q (AND)

Q'=P·1 (bit transfer) operation is highlighted in green

P	Q	P'=P	Q'=P·Q
0	0	0	0
0	1	0	0
1	0	1	0
1	1	1	1

3.2.2 OP2: P' = $Q \rightarrow P$ (Implication, IMP) and Q'=0 (bit set)

In OP2, the range of operation voltage, V_{UL}, is given by

$$V_{UL} = V_{OP2} > 2V_{SET} > 2|V_{RESET}|.$$
 (3.4)

In the case of P=Q=0, similarly, V_{OP2} will be averagely divided by the upper and lower cells. So the voltages across the P and Q are

$$V_{Q_{pn}} = -V_{P_{pn}} = 0.5V_{OP2} > V_{SET} > |V_{RESET}|$$
(3.5)

now the $|V_{Ppn}|$ is greater than $|V_{RESET}|$, which is sufficient to trigger a RESET transition on P so that P' equals to 1 after operation. Similar analysis from OP1 applies for the cases of (P=1, Q=0) and (P=0, Q=1). For P=Q=1, the voltage relationship is same as the one displayed in Eq. (5), where V_{Qpn} is greater than V_{SET} , also sufficient to initiate a SET transition on Q so that Q' equals to 0 after operation. The truth table as in Table 3.4 summarizes all the combinations. The Boolean functions available in OP2 are $P'=Q\rightarrow P$ (IMP) and Q'=0 (bit set). The material implication (IMP) function, proposed by [12], is significant as it guarantees logic completeness, based on which any arbitrary Boolean function can be transformed into the form of multiple IMPs. In addition, same as in OP1, a special case can be used to perform a NOT function, i.e. $P'=Q\rightarrow 0=NOT$ (Q), highlighted in blue, if the initial state of the lower cell is prepared in LRS (P=0). The NOT operation of OP2 and the AND operation of OP1, from another combinational point of view, offer functionally complete logic as well.

Table 3-4 Truth table for OP2: P' =Q→P (IMP), Q' =0 (bit set)

P'= $Q\rightarrow 0$ (NOT) operation is highlighted in blue

P	Q	P'=Q→P	Q'=0
0	0	1	0
0	1	0	0
1	0	1	0
1	1	1	0

3.2.3 OP4: P' = $Q \rightarrow P$ (Implication, IMP) and Q'= P·Q (AND)

In OP4, the range of operation voltage, V_{UL}, is given by

$$V_{UL} = V_{OP1} \in (2|V_{RESET}|, 2V_{SET}). \tag{3.6}$$

The outputs of (P=Q=0), (P=0, Q=1), and (P=1, Q=0) can be derived exactly same as the cases in OP2. When P=Q=1, V_{OP2} will be equally divided between P and Q as they have same high resistance. As a result, the voltages across the P and Q are

$$V_{Q_{nn}} = -V_{P_{nn}} = 0.5V_{OP4} \in (|V_{RESET}|, V_{SET}) < V_{SET}$$
(3.7)

where V_{Qpn} is less than V_{SET} , not enough for implementing a SET process on Q anymore. Therefore, the outputs stay at the same states as initial states.

Table 3-5 Truth table for OP4: P' =Q→P (IMP), Q' =P • Q (AND)

Q'=P·1 (bit transfer) operation is highlighted in green

P'= $Q\rightarrow 0$ (NOT) operation is highlighted in blue

P	Q	P'=Q→P	Q'=P·Q
0	0	1	0
0	1	0	0
1	0	1	0
1	1	1	1

For all of the above operation combinations, each of them is effectively completed in one-step operation, only by applying the corresponding operational voltage V_{UL}. However, the (0, 1) to (0, 0) transition in Table 3-5 could be unstable due to the additional step/transition from (0, 0) to (1, 0) if the pulses/voltages are not properly designed. In this section, the voltage pulses are predesigned and chosen as inset shown in Figure 3.2 to avoid this over-operation, just like those in multilevel RRAMs. An alternative approach is that we could add a current limiter (compliance) in the path to constrain the current so that after (0, 1), (0, 0) would not change continuously to next (1, 0). It is also worth noting that because both the input and output variables are RRAM states, the cascade of the 2T2R logic gates could be easily achieved in two ways: (1) through a bit-transfer operation in OP1 or OP4, propagating the output of the current gate to one of the inputs (prepared/initialized as "1") of the next stage; (2) through the pass gate transistor of the following 3T2R chain in Section 3.3 to select different RRAM pairs in action. In addition, note that thick-oxide transistors might be required due to reliability concerns (out of the scope of this thesis). Due to the symmetry of the 2T2R structure, application of a negative V_{UL} with same amplitude performs exactly same operations as its positive counterparts by

exchanging the positions of upper and lower cells, i.e. exchanging P/Q and P'/Q' in the functions resulted from $|V_{UL}|$ (as shown in Figure 3.3(a) and 3.3(b)). When negative V_{UL} is applied on the top of the structure, the two gate control voltages, V_{GQ} and V_{GP} , need to be calibrated accordingly. Alternatively, we can just swap the relative positions of upper and lower cells and apply a positive V_{UL} on the bottom terminal to obtain the equivalent results (as shown is Figure 3.3(c)). The equivalent circuit conversions are presented in Figure 3.3, providing flexibility in designing combinational circuits and memory arrays in the following Sections. In this chapter, all transistors are implemented using the Predictive Technology Model (PTM) [39] with the same size, W/L=500nm/65nm, simulated in HSPICE. The voltage drops across these transistors are marginal at ON states. If narrower transistors are used, the voltage drop across NMOS will have to be considered and compensated in V_{UL} .

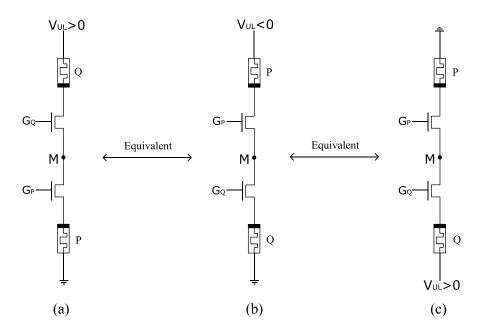


Figure 3.3 Equivalent circuit transformation of the 2T2R logic gate. (a) A positive V_{UL} applied to the top terminal with Q/P as upper/lower cells is equivalent to (b) a negative V_{UL} to the top terminal with P/Q as upper/lower cells. It is also equivalent to (c) a positive V_{UL} applied to the bottom terminal with P/Q as upper/lower cells. All the operation voltages V_{UL} are supposed to have the same amplitude $|V_{UL}|$ to achieve the same computation result.

3.3 3T2R Chain for Combinational Logic

To implement more complex arithmetic functions based on the 2T2R logic gate, a 3T2R chain structure is designed to connect multiple 2T2R units through one NMOS pass gate transistor (1T), as circled in

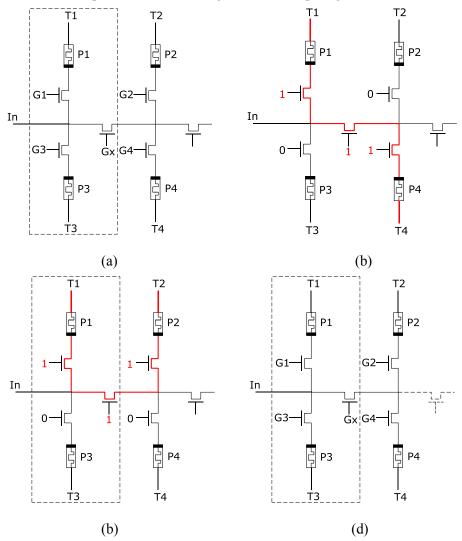


Figure 3.4 3T2R chain structure to implement complex combinational logic. (a) A two-unit 3T2R chain example, the dashed box refers to one 3T2R unit. Reconfigurable interconnects to connect two cells (highlighted in red): (b) one in the upper row and the other one in the lower row (P1 and P4); (c) both in the upper row (P1 and P2). (d) The two-unit 3T2R chain is used to realize an XNOR gate, as an example circuit (the dashed pass gate in the second 3T2R unit is in fact not needed in XNOR gate).

the dashed box of Figure 3.4(a). The back-to-back connection (p-n-n-p) of 2T2R makes it possible that any two of the 1T1R cells in the chain can form a 2T2R pair to perform the OPs discussed in Chapter 3.2, regardless of the RRAMs positions. The node "In" is used to initialize states of RRAMs by applying SET/RESET voltages between "In" and "Ti". The reconfigurable/programmable interconnects are realized by the gate control signals (1/0 for connection/disconnection) of these transistors (G1-Gx). That is to say, logic gates can be inter-wired in different configurations, which is similar to the architecture of a field-programmable-gate-array (FPGA). For example, the combination of (G1, G2, G3, G4, Gx) = (1, 0, 0, 1, 1) enables the red path connecting two cells, P1 and P4, one in the upper row and the other one in the lower row (Figure 3.4(b)). The path in Figure 3.4(c) is formed when (G1, G2, G3, G4, Gx) = (1, 1, 0, 0, 1) in order to perform OPs on P1 and P2. The programmable interconnects can be realized by leveraging static random access memories (SRAMs) for gate controls (G1, G2, G3, G4, Gx), which is in-system programmable and re-programmable. The data stored in SRAM (0/1) controls routing of the chain (open/short). The idea is similar to that of SRAM-based FPGAs to obtain reconfigurable interconnects. The proposed 3T2R architecture eliminates the need to change or add additional routing and controls to form the p-n-p-n pair as discussed in [27]. Additionally, more gates are added in [16] to change the interconnects and configure cells in p-n-p-n sequence. These extra gates are used differently for different logics, increasing both design complexity and area.

3.3.1 XNOR Gate

The two-unit 3T2R chain can implement an XNOR gate using IMP/AND functions (Equation 3.8),

$$A \odot B = (A + \overline{B}) \cdot (\overline{A} + B) = (B \to A) \cdot (A \to B). \tag{3.8}$$

as an example circuit, which is shown in Figure 3.4(d). The dashed transistor is not required for the operation, reducing the area needed of the XNOR logic. Figure 3.5 depicts the four computation steps, 10 ns for each step, to perform an XNOR function when A=0 and B=1, corresponding to the method of Eq. (8). The result $A \odot B=0$ is computed and in situ stored in the cell P4 in the 4th step. In the simulation, the V_{UL} are set to be $V_{OP1}=2.5V$, $V_{OP2}=4.2V$, and $V_{OP4}=3V$ for OP1, OP2, and OP4, respectively. If narrower transistors and/or a longer chain are used, V_{OP} or V_{G} will have to be adjusted to accommodate the voltage drop across the transistors.

	Initial	1st Clock Cycle	2 nd Clock Cycle	3 rd Clock Cycle	4 th Clock Cycle
		Enable G1-4	Enable G2, G3, Gx	Enable G1, G4, Gx	Enable G3, G4, Gx
	Control/ Operation	Disable Gx	Disable G1, G4	Disable G2, G3	Disable G1, G2
	Signals	T3, T4: V _{OP1}	T2: V _{OP4}	T1: V _{OP4}	T4: V _{OP1}
		T1, T2: ground	T3: ground	T4: ground	T3: ground
			T1, T4: float	T2, T3: float	T1, T2: float
	P1 ₀ =A			$P1_3 = P1_2 \cdot P4_2$	
	P2 ₀ =B		$P2_2 = P2_1 \cdot P3_1$		
	P3 ₀ =1	$P3_1 = P1_0 \cdot P3_0$	$P3_2 = P2_1 \rightarrow P3_1$		$P3_4 = P3_3$
	P4 ₀ =1	$P4_1 = P2_0 \cdot P4_0$		$P4_3 = P1_2 \longrightarrow P4_2$	$P4_4 = P3_3 \cdot P4_3$
P1 200k 40k	A = 0				
			<u> </u>		
P2 200k 40k 1M P3 200k 40k 40k 40k	B = 1				
oue 40k		٨			1
1M P3 200k		A	$\mathbf{B} \to \mathbf{A}$		
≃ 40k			_		
1M P4 200k		В		$A \rightarrow B$	A O B=0
40k		0 1	10 2	20 3	30 4

Figure 3.5 Computation steps and control/operation signals to perform XNOR gate. The simulation is performed as design verification when A=0, B=1. The XNOR gate needs four steps (10 ns for each step) to compute/store the result $A\odot B=0$ in P4, highlighted in green. In each step, the input operands, gate control/operation signals, operations, and computation results are listed in the table. Important intermediate signals are annotated in the waveforms.

Time [ns]

3.3.2 1-bit Full Adder

Following the implementation of XNOR gate in the previous section, a 1-bit full adder is realized to demonstrate the design of arithmetic block units. A 3T2R chain with five units can perform a 3-operand (A, B, and C_{in}) addition as shown in Figure 3.6. The computation methodologies for carry out (C_{out}) and Sum (S) are given by the following equations.

$$S = A \oplus B \oplus C_{in} = \overline{A \oplus B \oplus C_{in}} \to 0$$

$$= \{ [(C_{in} \to (A \oplus B)) \cdot ((A \oplus B) \to C_{in})] \} \to 0;$$

$$C_{out} = AB + BC_{in} + AC_{in} = \overline{AB} \to [(A \oplus B) \cdot C_{in}]$$

$$= \overline{AB} \to \{ [(A \odot B) \to 0] \cdot C_{in} \} \}.$$
(3.10)

As plotted in Figure 3.7, the adder unit needs nine steps to calculate C_{out} and ten steps for S, guided by the computing procedures of XNOR and Equations (3.9), (3.10). The implementation is verified by simulation to compute the results when A=1, B=0, and C_{in} =1. The intermediate result $A \odot B$ =0 is obtained in the 4th step and duplicated in 5th step (through bit transfer operation) to be reused because both C_{out} and S need it for their individual calculations. The results C_{out} =1 is stored in P10 in 9th step and S=0 is finally in situ computed in P4.

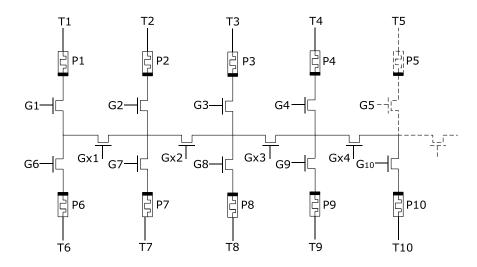


Figure 3.6 A five-unit 3T2R chain to implement the 1-bit full adder. The dashed part in the chain is not necessary in the adder implementation.

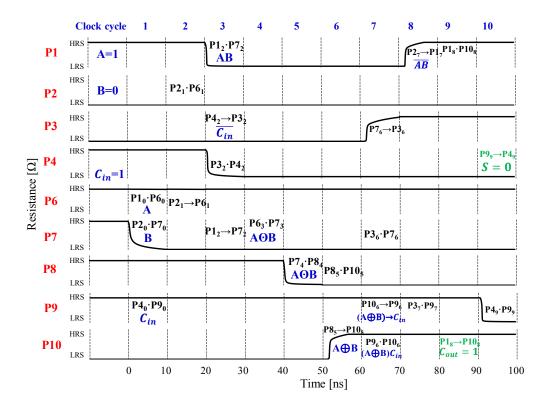


Figure 3.7 Computation steps to realize a 1-bit full adder. The simulation is performed as design verification when A=1, B=0, and C_{in} =1. The adder circuit needs nine steps (10 ns for each step) to compute/store C_{out} =1 in P10 and ten steps to obtain S=0 in P4, both highlighted in green. In each step, the input operands, computation cells, operations, and intermediate results are annotated in the waveforms accordingly.

3.4 Design Evaluation and Comparison

Validated by the realization of example circuits, XNOR and 1-bit full adder, the 3T2R chain architecture is capable of implementing any combinational circuits. Theoretically, it is able to solve any problem statefully which is computable. The proposed 3T2R chain greatly simplifies the design and fabrication of the complex digital combinational circuits. The functionality of the unified/repeated chain is only determined by the external control and operation signals, independent of the circuit structure. The design focuses on finite state machines (FSMs) to generate and control the data path to be employed by the predesigned/prefabricated circuits, whose principle differs from the modern application-specific integrated circuits (ASIC) designs. This feature indicates that the chain block can be reprogrammed to implement different logic functions, just like a FPGA, allowing flexible

Table 3-6 Device technology used for design evaluation

Device Technology					
	F_m — Feature size (nm)	5			
RRAM	A_m – Area (nm^2)	$100 (4F_m^2)$			
	F_c — Feature size (nm)	65			
CMOS	A_c – Area (nm^2)	8450 (2F _c ²)			

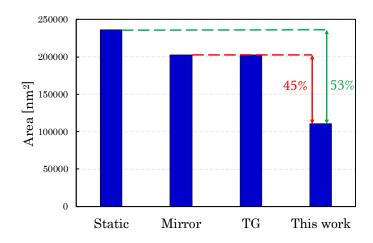


Figure 3.8 Area comparison with CMOS designs for the 1-bit full adder. The 3T2R chain saves ~53% area from static adder, ~45% area from mirror adder and TG-based adder.

reconfigurable computing as performed in computer software. Meanwhile, the logic circuits are normally-off thanks to the fact that the computation results and intermediate information are all stored in the nonvolatile RRAM devices, dispelling the concern of interrupted power supply during compute process.

The performance of a computing scheme is evaluated by its computational complexity, i.e. spatial complexity and temporal complexity. As for RRAM-based logic circuits in this work, the required number of RRAMs and transistors represents spatial complexity; temporal complexity is the computation steps/cycles it takes. First, the 3T2R chain design is compared to 65 nm CMOS technology based on a 1-bit full adder implementation. The device technology used for evaluation is listed in Table 3.6. Compared to CMOS static adder, mirror adder, and transmission-gate (TG) based implementations, this 3T2R chain saves around 53%, 45%, and 45% area, respectively.

Furthermore, the 1-bit full adder design of 3T2R chain is compared to other popular state-of-the-art RRAM-based implementations [13], [29], [31], [37], [40], [41], with respect to their delay (steps) and area (RRAMs). Overall, the 3T2R chain demonstrates superior performance. Siemon's [37] adder is based on implication logic using CRS cells which requires complicated peripheral circuitry to assist the operations. Not only that, the CRS itself has a "destructive read" problem, restricting the application.

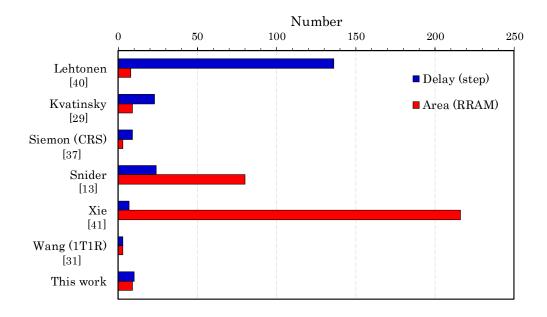


Figure 3.9 Design comparison with other state-of-the-art RRAM-based designs for the 1-bit full adder.

Wang's [31] 1T1R RRAM implementation is efficient as it encodes inputs as both voltages and RRAM states. However, the disadvantage is also obvious as the sophisticated peripheral circuits including sense amplifiers, block decoders, and register stack are mandatory to support the in-memory operation. This is mainly due to the requirement of state-to-voltage conversion. On the contrary, for this work, the structure is regular and simple with clean operations [42].

3.5 Conslusions

Processing-in-memory provides an effective means to conquer the restrictions of existing von Neumann-based computing methodologies. It is able to subvert the conventional computer's architecture and eliminate the memory wall of modern digital systems. This chapter proposes a promising scheme for such applications, from gate level to circuit level, finally to system-architecture level. It illustrates the design of (1) functionally complete, stateful logic gates based on 2T2R; (2) a regular, repeated, and reconfigurable 3T2R chain with programmable interconnects. The design is comprehensively evaluated and compared with contemporary CMOS digital designs and other emerging schemes based on post-CMOS technologies as well, from the perspectives of design principle, circuit structure, difficulty of integration and fabrication, and performance. The study in this chapter is possible to push forward and accelerate the development of emerging computing and novel architectures in the post-Moore microelectronics industry.

Chapter 4

3-D Stacked Memory Arrays for Data Storage/PIM

4.1 Introduction

In this chapter, two possible 3-D stacked memory array structures (mem1 and mem2) capable of both regular memory functions and CIM, are illustrated to support large-scale integration. The stacked memory arrays can perform the computation flexibly (within one same layer or between different stacking layers), enabled by multiple computation modes. The regular RAM operations and CIM

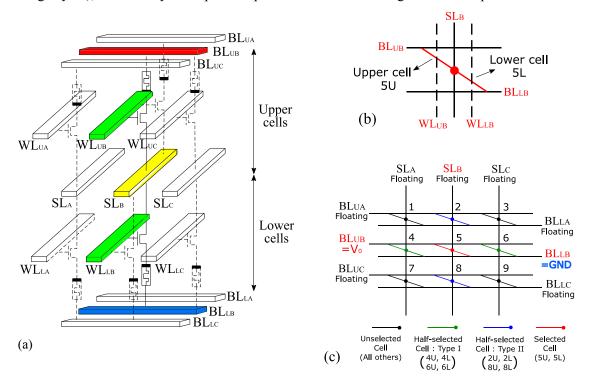


Figure 4.1 Mem1: (a) 3D crossbar array (mem1) based on the proposed 2T2R gates, (b) planform schematic of the center physical stack (#5) containing upper cell 5U and lower cell 5L, and (c) bias schemes for UL₁ computation (WLs are not present for clarity). The transistors here could be potentially replaced with selector devices to achieve the BEOL 3D stacking crossbars.

schemes are discussed. In addition, possible layout structure and sneak path problem are also mentioned. The status of half-selected and unselected cells when performing in-memory operations on the selected cells are presented, bias schemes proposed to protect undesired ones from disturbance. The second memory array, mem2, is able to carry out concurrent computations by rearranging/redefining the WL/SL/BL directions, enhancing the processing parallelism and efficiency via off NMOS or lowering the voltages across them. The mem2 has strong potential to be adopted as practical 3-D dense memory storage and in the future PIM applications where the computations require substantial parallel processing.

4.2 3-D Memory Array 1 (mem1)

Following the implementations of digital logic circuits, we propose a 3-D array (mem1) based on the proposed 2T2R gates so as to achieve dense in-memory operations for large scale integration. The memory array has multiple stacked layers, two of them schematically shown in Figure 4.1(a). The upper layer contains upper cells (1T1Rs) with same polarity direction while the lower layer is built by lower cells with reverse polarities. Two 1T1Rs of adjacent layers or of same layer could form a basic 2T2R gate to perform previously introduced OPs. For this 3-D array, two sets of bitlines (BLs) run in the horizontal direction: BL_U as the top nodes of the upper cells and BL_L to the bottom nodes of the lower cells. Two sets of wordlines (WLs), WL_U and WL_L, run in the vertical direction as switch controls of upper and lower 1T1R cells, respectively. Another set of select lines (SLs) run in parallel with WLs, connecting the middle nodes of each 2T2R stack sharing same WLs. Figure 4.1(b) displays a 2-D stick figure to describe the vertical view of one physical stack, the stack #5 in the center of the 3x3 array, with control signals. The planform of the 3x3 3-D array is pictured in Figure 4.1(c), WLs are omitted for clarity. Figure 4.2 briefly depicts the 3-D view of the physical implementation for one stack in the memory array (mem1). Further area saving and structure simplification could be achieved by replacing the NOMS transistors with simpler selector devices, which is able to result in practical CMOScompatible back-end of line (BEOL) 3-D stacking crossbars.

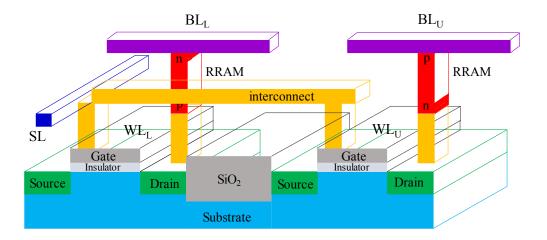


Figure 4.2 Schematic of the layout (3-D view) for one stack in the memory array (mem1). Select line (SL) connects the cathode of left RRAM (of lower cells) and the drain of right NMOS (of upper cells).

4.2.1 Conventional Random-Access Memory (RAM) Operations

The 3-D array described above can be used as a conventional RRAM-based RAM. To write/read a particular cell (e.g. 5U in the array in Figure 4.1(c)), the operations are similar to those of 1T1R arrays: WL_{UB} is set high (enabled) while all other WL_{U} and all WL_{L} are set low (disabled). All SL are grounded. BL_{UB} are set to V_{SET} , V_{RESET} , and V_{read} (=2V, -1.33V, and 0.1V in this chapter, respectively) for SET, RESET, and read operations, respectively. Other BL_{U} and BL_{L} all are grounded.

4.2.2 Processing-in-Memory (PIM)

The proposed 2T2R-gate OPs can be mapped and performed in this 3-D array, interactions taking place in different adjacent layers or within the same layer. There are basically four computation modes available. First, we explain how to compute a pair formed by two cells from different layers, an upper cell and a lower cell, at the same physical stack. Figure 4.1(c) gives an instance of 5U-5L pair (stack #5) in the 3x3 array to illustrate the first mode "UL₁". WL_{UB} and WL_{LB} are set to high to select two cells 5U and 5L, while all the other WLs are grounded (disabled). All SLs are floating to allow free operation on middle nodes. For the selected cells (5U and 5L), BL_{UB} is biased at various V_{UL} to trigger different OPs, $V_0 = V_{OP1}$ for OP1 or $V_0 = V_{OP2}$ for OP2, etc. As for BLs, BL_{LB} is grounded to establish a path from BL_{UB} and all other BL_U and BL_L are floating. Under this bias scheme, there exists four types

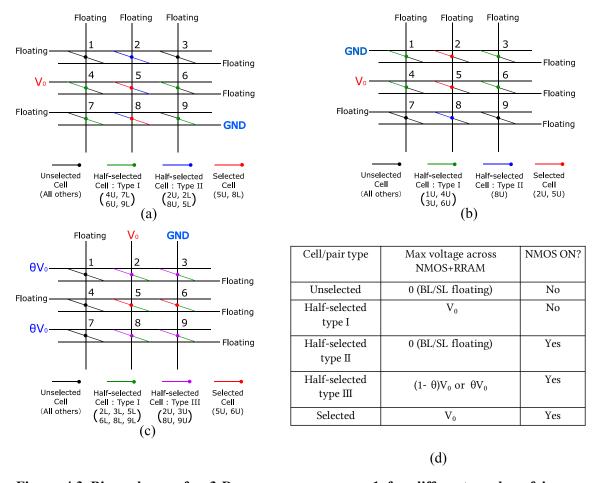


Figure 4.3 Bias schemes for 3-D memory array mem1 for different modes of in-memory computation: (a) UL2, (b) UU1, and (c) UU2. (d) Status of selected, half-selected (type I-III), unselected cells/pairs during proposed CIM process.

of cells here defined by the bias/control conditions (painted in different colors): (1) selected cells/pair (5U, 5L); (2) half-selected cells type I (4U, 4L, 6U, 6L), sharing biased BL with the selected cells; (3) half-selected cells type II (2U, 2L, 8U, 8L), sharing WL and SL with the selected cells; (4) unselected cells (others). The selected pair will go through designated computation according to amplitude of V_0 . During this process, all other cells preserve their states since no unwanted voltage can impose on the RRAMs. More specifically, RRAMs in half-selected type I cells are disconnected from V_0 (biased BL) due to disabled (OFF) NMOS (Figure 4.1(a)). As for half-selected type II cells which are connected with selected cells through SL_B , their NOMS are ON. Nevertheless, since the BLs of them are floating, voltages of BLs will just follow SL_B . Consequently, there is zero voltage drop across these cells, not affecting their states.

Other three modes are defined by how the selected pair is composed: (1) "UL₂" mode (Figure 4.3(a)): an upper cell and a lower cell from different layers (5U and 8L), at different stack sharing the same SL; (2) "UU₁"/"LL₁" mode (Figure 4.3(b)): an upper/lower cell with another upper/lower cell of the same layer (2U/2L and 5U/5L), sharing the same SL; (3) "UU₂"/"LL₂" mode (Figure 4.3(c)): an upper/lower cell with another upper/lower cell of the same layer (5U/5L and 6U/6L), sharing the same BL. Note in UU₂/LL₂ computation mode, another half-selected type III cells (2U, 3U, 8U, 9U) share both SL and WL with selected cells (5U and 6U). For these third-type cells, operation disturbance (state transition) is supposed to be avoided on them by biasing the BL of these cells at a separate voltage θV_0 to ensure that the voltage drop across them are lower than the minimum voltage of V_{SET} and $|V_{RESET}|$. The bias scheme is labelled as in Figure 4.3(c). Constant θ needs to be restricted by the following range so as to not trigger any SET/RESET process.

$$1 - \min\{V_{SET}, |V_{RESET}|\}/V_0 < \theta < \min\{V_{SET}, |V_{RESET}|\}/V_0$$
 (4.1)

The table shown in Figure 4.3(d) summarizes the status including cell bias and transistor status of the all the types of cells/pairs. Furthermore, to validate the bias schemes, simulation is carried out to verify that none of the half-selected cells and unselected cells are disturbed during OP1 computation (bit transfer and AND) on the selected cells/pair (shown in Figure 4.4).

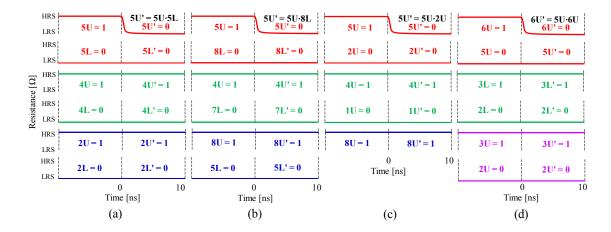


Figure 4.4 Simulation results for mem1: (a) UL_1 , (b) UL_2 , (c) UU_1 , (d) UU_2 computation modes to validate the corresponding bias schemes. Selected cells/pair undergo OP1 (bit hold and AND), while half-selected type I, type II, and type III (with θ =0.5) remain undisturbed.

Taking the advantage of various and flexible computation modes proposed above, complicated PIM schemes are achievable. We propose two schemes as case studies here.

- 1) Use both upper and lower layers identically, for storage and computation.
- 2) Use one layer (e.g. upper layer) as regular RAM only for the general purpose of memory storage and another layer (lower layer) for the processing process (feasible within the same layer as discussed previously). One can transfer the data stored in the upper layer cells to lower layer when necessary (via bit transfer operation of OP1/OP4 under UL₁/UL₂ modes). Then, the fetched data can be processed using lower layer cells (via all available logic gates under LL₁ and/or LL₂).

More sophisticated combinations and processing algorithms can be explored to manage data storage and sequential computation steps. We could even attempt to integrate 3T2R chain for logic circuits and 3-D memory array together to realize more efficient PIM.

4.3 3-D Memory Array 2 (mem2)

Although the mem1 leverages the 2T2G logic gate and is good at performing flexible in-memory operations, it is still hard for it to enhance the processing efficiency by carrying out concurrent computations (compute multiple 2T2R pairs at the same time) owing to "sneak path" problem. When the transistors are turned on for multiple cells, current will also flow on paths from other rows through

select line (similar to the sneak-path problem in conventional RRAM crossbar array). Voltage will be divided from the middle point between RRAM cells and may affect the transition state. The extra paths could cause computation errors. This is schematically described by Figure 4.5.

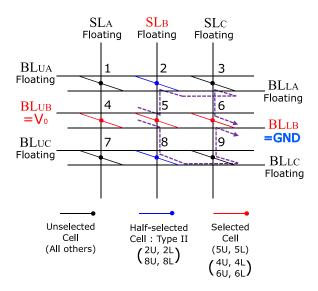


Figure 4.5 Sneak path problem when conducting concurrent computations in mem1.

In order to facilitate concurrency, the second 3-D stacked memory array configuration (mem2) is designed by simply rearranging the mutual connections and directions of the SL/BL in the mem1. The structure of mem2 is pictured in Figure 4.6(a). The parallel computations are supported by mem2 for modes: UL1, UL2, LL1, LL2 (followed by similar mode definition of mem1). The 3-D view of the physical implementation for one stack of mem2 is presented in Figure 4.7. The single pair computation under UL1 is shown in Figure 4.6(c), while the parallel computations under UL1 computes selected pair #2, #5, and #8 concurrently (Figure 4.8(a)). All other cells remain unselected due to OFF NMOS. Furthermore, concurrent computations under modes UL2, LL1, and LL2 with parallelism are drawn as in Figure 4.8. The simulation is done to validate the correctness of parallelism for mem2. Note that there is no UU1 and UU2 modes available in mem2, meaning that the interaction within the upper layer is not achievable in this array due to shared connections of upper cells. However, it excellently offers

us computation concurrencies under other modes so that we can process data more efficiently for applications like matrix manipulations, ubiquitous in machine learning.

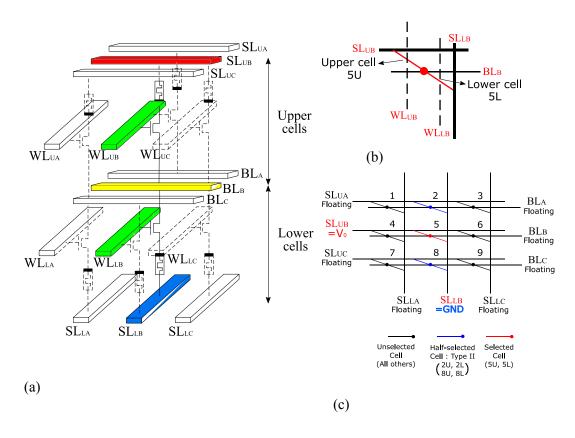


Figure 4.6 Mem2: (a) 3D crossbar array (mem2) by rearranging the mutual connections and directions of the SL/BL in the mem1, (b) planform schematic of the center physical stack (#5) containing upper cell 5U and lower cell 5L, and (c) bias schemes for UL₁ computation (WLs are not present for clarity).

4.4 Conclusions

This chapter discusses two dense 3-D stacked memory array structures, the second one capable of performing concurrent computations. The 3-D arrays integrate the functionalities of processing element and storage together, with multiple computation modes available to achieve flexible calculations inside the memory.

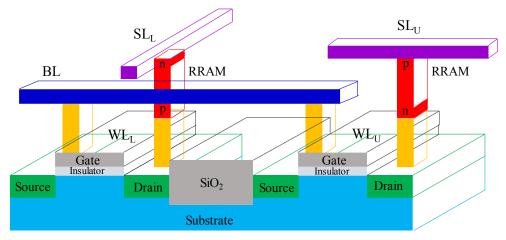


Figure 4.7 Schematic of the layout (3-D view) for one stack in the memory array (mem2).

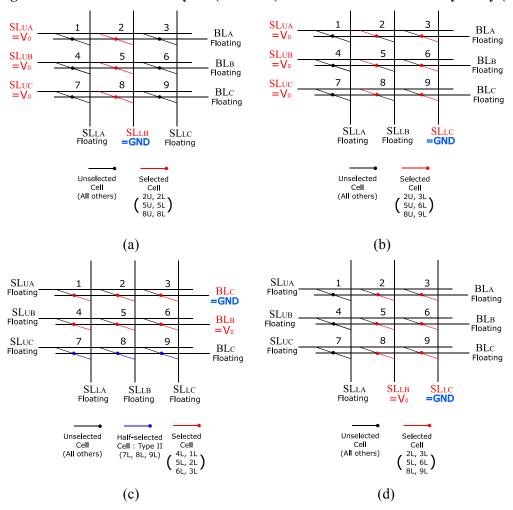


Figure 4.8 Bias schemes for 3-D memory array mem2 for different modes of concurrent in-memory computations: (a) UL₁, (b) UL₂, (c) LL₁, and (d) LL₂.

Chapter 5

Digital Design based on Hybrid Logic

5.1 Introduction

Most of the RRAM logics so far compute digitally and statefully, in which the binary resistance states of RRAM device represent logic "0" and "1", instead of the binary voltage potentials in transistor-based digital systems. The stateful logic gate is implemented by mapping the input operands to the RRAM states and then performing the predesigned operation. The output results can be eventually collected by reading out the RRAM states. The logical execution is reflected by RRAM state transitions, where both inputs and outputs are purely resistive states [42], [43]. The computation variables are uniformly stateful, but the efficiency is also restricted owing to limited computing resource. Furthermore, most of the existing studies implement arithmetic blocks (e.g. XOR gate and adders) with lengthy operation steps or complicated peripheral circuits [28], [36], [37], [44]. In this chapter, hybrid logic families based on emerging RRAM are presented to support such architecture. A symmetric 2-transistor-2-RRAM (2T2R) structure is proposed, as a fundamental building block, to implement digital logic circuits. In this structure, the programmable logic functionality is determined by the amplitude of operation voltages and variable assignments, rather than its circuit topology. By performing an one-step operation, any Boolean logic functions for two input operands (including XOR and XNOR) can be realized, while common complex circuits for multiple operands (including 3-bit majority) are also achievable. The efficient implementation makes it possible to design arithmetic logic blocks with functional reconfiguration and low computational complexity. For instance, a 1-bit full adder can be implemented in only three steps, without complicated peripheral circuitry for signal conversion. Multiple-bit adders can be designed by simply replicating the 2T2R to form a repeated, reconfigurable chain structure with programmable interconnect. The 4-bit ripple-carry adder (RCA), pipelined RCA, and prefix tree adder are shown as example circuits, using the same regular chain structure, to validate the proposed in-memory computing scheme. The design principles, computational complexity, and performance are discussed and compared to the CMOS technology and other state-of-the-art post-CMOS implementations. The proposed hybrid-logic computation methodology can be employed not only by similar RRAM-related structures, but also in some other nonvolatile-memory based

applications. This chapter provides an efficient approach to constructing the beyond Von Neumann inmemory computing systems.

5.2 2T2R Hybrid Logic Gate

The ASU RRAM model [23] is employed in chapter to simulate the bipolar HfO_x-based RRAM device in a 1T1R structure, experimentally measured by IMEC with a 20mV/s SET/RESET pulses [38]. Under the faster ladder-shaped SET/RESET pulses with 10ns period plotted in Figure 5.1, the current-voltage transfer characteristics of the HfO_x-RRAM is obtained in 1T1R using Cadence Spectre, where V_{SET} = 2V and V_{RESET} = -1.58V, R_{LRS} = 50k Ω , R_{HRS} = 1M Ω , and I_{CC} = 150 μ A (compliance current). Key parameters of the compact RRAM model used in this work are given in Table 3.1 and the corresponding meanings are available in [23].

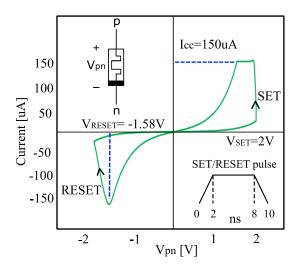


Figure 5.1 Current-voltage transfer characteristics of the RRAM device used in this chapter. The device is simulated using ASU RRAM model [23] calibrated to IMEC's HfO_x-based RRAM device [38]. Insets show the symbol of a bipolar RRAM device and SET/RESET voltage pulses.

Figure 5.2 depicts the circuit schematic of the proposed 2T2R hybrid logic gate, consisting of six input operands and two output operands. Four of the inputs are encoded as four terminal voltages (V_U , V_L , G_P , and G_Q) and the rest two inputs are the two initial states of the RRAM devices (P and Q). The logic operation is conducted by applying the four voltages simultaneously at the four terminals, with two input RRAM states prepared. The initialization process is similar to that in the 1T1R structure by

simply applying SET/RESET voltages across terminal V_U/V_L and the middle node "M". After the operation, the two outputs, P' and Q', are in situ stored in the two nonvolatile memory cells, able to store computation results. The logic gate concept here combines both voltage potentials and RRAM states as input operands, defined as R/V-R hybrid logic, different from others such as R-R logic and V-R logic. The 2T2R hybrid logic circuit can be regarded as both/either combinational circuit (when the logic gate is used for once so that the current output P'/Q' is only determined by the current hybrid input $(V_U, V_L, G_P, G_Q, P, Q)$ and/or sequential circuit (when the logic gate is used for multiple times so that output P'/Q' is determined by current voltage input (V_U, V_L, G_P, G_Q) and previous resistive output P/Q).

In this chapter, high/low (0V or grounded) voltage potential represents logic "1"/"0" and RRAM state HRS/LRS refers to logic "1"/"0". The full utilization of computing resource enhances the computation efficiency and flexibility significantly, one simple logic gate with six input operands. G_P and G_Q are overdrive gate voltages applied to gates of the two transistors (serving as switch controls) to reduce transistor resistance and avoid any threshold voltage drop between gate and source.

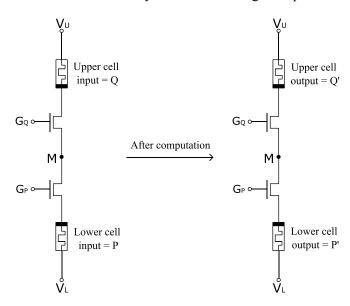


Figure 5.2 Proposed 2T2R hybrid logic (R/V-R logic) gate with two RRAMs connected back-to-back. 2The four terminal voltages (V_U , V_L , G_P , G_Q) and two RRAM initial states before computation (P, Q) are encoded as six input operands. The two RRAM states after one-step computation (P', Q') refer to two nonvolatile output results of the logic gate.

Furthermore, by choosing different ranges of high potential (corresponding to logic "1") for two of these voltage-operand amplitudes (more specifically, V_U and V_L), the same logic gate is capable of performing different functions under each range, which endows it with great reconfigurability and multiple functionally complete logic families (LFs). The choices of ranges of V_U and V_L are determined by the target logic computations (chosen from different LFs), which are discussed in Chapter 5.2.1-5.2.3. These advantages can be reflected in the following efficient designs of arithmetic blocks. It is worth pointing out one important feature of the proposed 2T2R structure that swapping the input operands V_U and V_L in variable assignments is equivalent to the original circuit/gate in Figure 5.2 by swapping relative positions of lower cell and upper cell, i.e. the input operands P and Q, G_P and G_Q (equivalent circuit transformation shown in Figure 5.3). It benefits from the symmetric circuit structure where the two RRAMs are serially connected in a back-to-back (p-n-n-p) manner. This advantage gives us the capability to assign variables flexibly and efficiently in the complex designs.

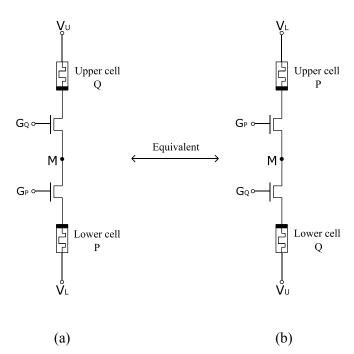


Figure 5.3 Equivalent circuit transformation of the 2T2R hybrid logic gate. (a) The original logic gate with Q/P as upper/lower cells is equivalent to (b) swapping V_U and V_L with P/Q as upper/lower cells (swapping P and Q, G_P and G_Q).

For different RRAM devices, the ratio ranges of their SET and RESET voltages could vary. Since the operation of 2T2R gate is dependent on the relative relationship between V_{SET} and V_{RESET} , the logic

gates formed by different RRAMs may have different LFs and the voltage range for each LF is different as well. Fortunately, for any given bipolar RRAM device with different V_{SET} and $|V_{RESET}|$ ratios in the ranges of (0, 1), 1, (1, 2), 2, or $(2, +\infty)$, the functional completeness of LFs are always available. In this work, the hybrid logic gate and its LFs are presented based on the ASU's model to simulate IMEC's RRAM device (shown in Figure 5.1), whose SET/RESET voltage ratio is

$$\frac{V_{SET}}{|V_{RESET}|} = \frac{2}{1.58} = 1.27 \in (1,2). \tag{5.1}$$

For other ranges of $V_{SET}/|V_{RESET}|$, i.e. (0, 1), 1, 2, and $(2, +\infty)$, the corresponding similar LFs can be derived in the same manner.

5.2.1 Logic Family 1 (LF1)

In LF1, the range of high potential (logic "1") for two input voltage operands, V_U and V_L, is given by

$$V_U, V_L = V_{LF1} \in (V_{SET}, 2|V_{RESET}|).$$
 (5.2)

The complete function from inputs to outputs in this case can be derived as

$$P' = P \cdot (V_U + \overline{V_L} + \overline{G_P} + \overline{G_O} + Q); \tag{5.3}$$

$$Q' = Q \cdot \left(\overline{V_U} + V_L + \overline{G_P} + \overline{G_Q} + P \right). \tag{5.4}$$

To better explain the working principle of this logic gate, the above functions with a special input combination that $(V_U, V_L, G_P, G_Q) = (1, 0, 1, 1)$ are shown in Table 5.1. In this case, Equation (5.3) is simplified to P'=P (bit hold) and Equation (5.4) becomes Q'=P·Q (AND). The truth table of input and output can be obtained by analyzing the voltage divider formed by the two serial RRAM cells. A special case when Q=1 (the initial state of upper cell prepared in HRS) is able to perform "bit transfer"

Table 5-1 Truth table for P/Q and P'/Q' when $(V_U, V_L, G_P, G_Q) = (1, 0, 1, 1)$: P'=P (bit hold), Q'=P·Q (AND). Q'=P·1 (bit transfer) operation is highlighted in green.

P	Q	P'=P	Q'=P·Q
0	0	0	0
0	1	0	0
1	0	1	0
1	1	1	1

Table 5-2 Variable assignments for 14 Boolean logics of output P' in LF1 for one/two input operands

Logic function P'	P	Q	V_U	V_L	G_P	G_Q
0	0	X	X	X	A	В
1	1	Х	1	X	A	В
A	A	Х	1	X	X	В
В	В	Х	1	X	X	A
$ar{A}$ (NOT A)	1	0	0	A	1	1
$ar{B}$ (NOT B)	1	0	0	В	1	1
A + B (OR2)	1	A	В	1	1	1
$ar{A}$ + $ar{B}$ (NAND2)	1	0	0	1	A	В
AB (AND2)	A	В	0	1	1	1
$ar{A}ar{B}$ (NOR2)	Ā	0	0	В	1	1
$A + \bar{B}$ (RIMP)	1	0	A	В	1	1
$\bar{A} + B$ (IMP)	1	0	В	A	1	1
$ar{A}B$ (NIMP)	В	0	0	A	1	1
$Aar{B}$ (RNIMP)	A	0	0	В	1	1

Table 5-3 Variable assignments for 14 Boolean logics of output P' in LF1 for multiple input operands

Logic function P^\prime	P	Q	V_U	V_L	G_P	G_Q
A+B+C (OR3)	1	A	В	Ē	1	1
$\bar{A} + \bar{B} + \bar{C}$ (NAND3)	1	0	С	1	A	В
A + B + C + D (OR4)	1	A	В	Ē	D	1
$\bar{A} + \bar{B} + \bar{C} + \bar{D}$ (NAND4)	1	0	Ē	D	A	В
A + B + C + D + E (OR5)	1	A	В	Ē	D	Ē
$\bar{A} + \bar{B} + \bar{C} + \bar{D} + \bar{E}$ (NAND5)	1	Ē	Ē	D	A	В
A(B+C)	A	В	С	1	1	1
A(B+C+D)	A	В	С	$\overline{\mathrm{D}}$	1	1
A(B+C+D+E)	A	В	С	\overline{D}	Ē	1
A(B+C+D+E+F)	A	В	С	\overline{D}	Ē	F

operation copying data stored in lower cell P to upper cell Q (Q'=P), highlighted in green. The data transfer operation is important for the logic gate cascading (copying the resistive computation result of

the current stage (P'/Q') to be used as resistive input of next stage (P/Q)) and widely used in the repeated chain structure of Chapter 5.3.

According to Equation (5.3) and (5.4), the variable assignments to implement 14 Boolean logics in one step realized by function/output P' for 1 or 2 input operands using LF1 are listed in Table 5.2. The associated function Q' corresponding to each P' can be derived according to Equation (5.4). Furthermore, Table 5.3 shows one of the mapping methods to design some common logics for multiple inputs.

5.2.2 Logic Family 2 (LF2)

In LF2, the range of high potential (logic "1") for two input voltage operands, V_U and V_L, is given by

$$V_{U}, V_{L} = V_{LF2} > 2V_{SET} > 2|V_{RESET}|.$$
 (5.5)

The complete formulas of outputs P'/Q' in this case are expressed as Equation (5.6) and Equation (5.7)

$$P' = P \cdot \left(V_U + \overline{V_L} + \overline{G_P} + \overline{G_O} \right) + V_U \overline{V_L} G_P G_O \overline{P} \overline{Q}; \tag{5.6}$$

$$Q' = Q \cdot \left(\overline{V_U} + V_L + \overline{G_P} + \overline{G_O} \right) + \overline{V_U} V_L G_P G_O \overline{P} \overline{Q}. \tag{5.7}$$

Table 5-4 Truth table for P/Q and P'/Q' when $(V_U, V_L, G_P, G_Q) = (1, 0, 1, 1)$: P'=Q \rightarrow P (IMP), Q'=0 (bit set). P'=Q \rightarrow 0 (NOT) operation is highlighted in blue.

P	Q	P'=Q→P	Q'=0
0	0	1	0
0	1	0	0
1	0	1	0
1	1	1	0

Table 5-5 Variable assignments for 16 Boolean logics of output P' in LF2 for one/two input operands

Logic function P'	P	Q	V_U	V_L	G_P	G_Q
0	0	X	0	X	A	В
1	1	X	1	X	A	В
A	A	X	1	1	X	В
В	В	X	1	1	X	A
$ar{A}$ (not A)	1	X	0	A	1	1
$ar{B}$ (not B)	1	X	0	В	1	1
A + B (OR2)	A	0	1	0	В	1
$ar{A}$ + $ar{B}$ (NAND2)	1	X	0	1	A	В
AB (AND2)	A	X	В	1	1	1
$ar{A}ar{B}$ (NOR2)	0	В	1	A	1	1
$A + \bar{B}$ (RIMP)	1	X	A	В	1	1
$\bar{A} + B$ (IMP)	1	X	В	A	1	1
$ar{A}B$ (NIMP)	В	X	0	A	1	1
$Aar{B}$ (RNIMP)	A	X	0	В	1	1
$\bar{A}B + A\bar{B}$ (XOR)	A	0	Ā	A	В	1
$AB + \bar{A}\bar{B}$ (XNOR)	A	0	Ā	A	B	1

The above equations can be simplified to P'=Q \rightarrow P (IMP) and Q'=0 (bit set) when (V_U, V_L, G_P, G_Q) = (1, 0, 1, 1), shown in Table 5.4. As discussed above, the available IMP function guarantees logic completeness. Additionally, a special case when P=0 (the initial state of lower cell prepared in LRS) can perform a NOT function, i.e. P'=Q \rightarrow 0=NOT (Q), highlighted in blue. Similarly, the variable assignments to implement Boolean logics in one step realized by function/output P' for 1 or 2 input operands and multiple operands using LF2 are shown in Table 5.5 and Table 5.6, respectively.

Table 5-6 Variable assignments for common logics of output P' in LF2 for multiple input operands

Logic function P'	P	Q	V_U	V_L	G_P	G_Q
A + B + C (OR3)	1	X	A	B	Ē	1
$\bar{A} + \bar{B} + \bar{C}$ (NAND3)	1	X	0	A	В	С
ABC (AND3)	0	0	A	0	В	С
$ar{A}ar{B}ar{C}$ (NOR3)	0	A	1	В	Ē	1
A + B + C + D (OR4)	1	X	A	$\overline{\mathrm{B}}$	Ē	$\overline{\mathrm{D}}$
$\bar{A} + \bar{B} + \bar{C} + \bar{D}$ (NAND4)	1	X	D	A	В	С
ABCD (AND4)	0	0	A	D	В	С
$ar{A}ar{B}ar{C}ar{D}$ (NOR4)	0	0	Ā	В	Ē	$\overline{\mathrm{D}}$
ABCDE (AND5)	0	Ē	A	$\overline{\mathtt{D}}$	В	С
$ar{A}ar{B}ar{C}ar{D}ar{E}$ (NOR5)	0	Е	Ā	В	Ē	$\overline{\mathrm{D}}$
AB + AC + BC (MAJ3)	С	0	A	$\overline{\mathrm{B}}$	1	1

5.2.3 Logic Family 3 (LF3)

In LF3, the range of high potential (logic "1") for two input voltage operands, V_U and V_L , is given by

$$V_{U}, V_{L} = V_{LF3} \in (2|V_{RESET}|, 2V_{SET}).$$
 (5.8)

The full relationship between outputs and inputs in this case are determined as following Eq.

$$P' = P \cdot \left(V_U + \overline{V_L} + \overline{G_P} + \overline{G_Q} + Q \right) + V_U \overline{V_L} G_P G_Q \overline{P} \overline{Q}; \tag{5.9}$$

$$Q' = Q \cdot \left(\overline{V_U} + V_L + \overline{G_P} + \overline{G_Q} + P \right) + \overline{V_U} V_L G_P G_Q \overline{P} \overline{Q}. \tag{5.10}$$

Similarly, in the case of $(V_U, V_L, G_P, G_Q) = (1, 0, 1, 1)$, the functions of P' and Q' are presented in Table 5.7, where P'=Q \rightarrow P (IMP), Q'=P·Q (AND). Under LF3, Table 5.8 and Table 5.9 are generated according to Equation (5.9) and (5.10), summrizing the variable assignments to achieve the Boolean logics in one step realized by function/output P' for 1 or 2 input operands and multiple operands.

Table 5-7 Truth table for P/Q and P'/Q' when (VU, VL, GP, GQ) = (1, 0, 1, 1): P' =Q \rightarrow P (IMP), Q' =P \cdot Q (AND). Q' =P \cdot 1 (bit transfer) operation is highlighted in green; P' =Q \rightarrow 0 (NOT) operation is highlighted in blue.

P	Q	P'=Q→P	Q'=P·Q
0	0	1	0
0	1	0	0
1	0	1	0
1	1	1	1

Table 5-8 Variable assignments for 16 Boolean logics of output P' in LF3 for one/two input operands

Logic function P^\prime	Р	Q	V_U	V_L	G_P	G_Q
0	0	х	0	Х	Α	В
1	1	х	1	Х	Α	В
Α	Α	Х	1	1	х	В
В	В	Х	1	1	Х	Α
$ar{A}$ (not A)	1	0	0	Α	1	1
$ar{B}$ (not B)	1	0	0	В	1	1
A+B (OR)	Α	0	1	0	В	1
$ar{A}$ + $ar{B}$ (NAND)	1	0	0	1	Α	В
AB (AND)	Α	В	0	1	1	1
$ar{A}ar{B}$ (NOR)	0	В	1	Α	1	1
$A + \bar{B}$ (RIMP)	1	0	Α	В	1	1
$\bar{A} + B$ (IMP)	1	0	В	Α	1	1
$ar{A}B$ (NIMP)	В	0	0	Α	1	1
$Aar{B}$ (RNIMP)	А	0	0	В	1	1
$\bar{A}B + A\bar{B}$ (XOR)	Α	0	Ā	Α	В	1
	А	$\overline{\mathrm{B}}$	Ā	А	1	1
$AB + \bar{A}\bar{B}$ (XNOR)	Α	0	Ā	Α	B	1
	А	В	Ā	А	1	1

Shown in the variable assignment tables, some complex logic gates such as XOR/XNOR and 3-bit MAJ (MAJ3) are implemented efficiently in a single step, which is generally difficult for other state-of-the-art RRAM-based designs. In addition, note that the variable assignments to implement each logic listed

Table 5-9 Variable assignments for common logics of output P' in LF3 for multiple input operands

Logic function P'	Р	Q	V_U	V_L	G_P	G_Q
A + B + C (OR3)	1	0	A	$\overline{\mathrm{B}}$	C	1
$\bar{A} + \bar{B} + \bar{C}$ (NAND3)	1	0	0	A	В	С
ABC (AND3)	0	0	A	0	В	С
$ar{A}ar{B}ar{C}$ (NOR3)	0	A	1	В	Ē	1
A + B + C + D (OR4)	1	0	A	B	Ē	$\overline{\mathrm{D}}$
$ar{A} + ar{B} + ar{C} + ar{D}$ (NAND4)	1	0	D	A	В	С
ABCD (AND4)	0	0	A	$\overline{\mathrm{D}}$	В	С
$ar{A}ar{B}ar{C}ar{D}$ (NOR4)	0	0	Ā	В	Ē	D
A + B + C + D + E (OR5)	1	Е	A	B	Ē	$\overline{\mathrm{D}}$
$ar{A} + ar{B} + ar{C} + ar{D} + ar{E}$ (NAND5)	1	Ē	D	A	В	С
ABCDE (AND5)	0	Ē	A	D	В	С
$ar{A}ar{B}ar{C}ar{D}ar{E}$ (NOR5)	0	Е	Ā	В	Ē	D
AB + AC + BC (MAJ3)	С	0	A	$\overline{\mathrm{B}}$	1	1

in above tables are not unique and could be designed flexibly in different ways (input operands in the form of either voltage or RRAM state), such as the two XOR/XNOR implementations in Table 9. The optional assignments are particularly beneficial in gate cascading, since the signals to be cascaded should be preferred to be stored as RRAM states, eliminating the need of complicated peripheral circuitry for signal conversion (current/RRAM state to voltage). Table 5.1, Table 5.4, and Table 5.7 provide us one efficient way to implement resistance-to-resistance stateful logic, i.e. R-R logic, utilizing the proposed 2T2R logic gate, in which the terminal voltages (VU, VL, GP, GQ) serve as control/operation voltages instead of input operands. The 2T2R R-R logic is crucial for the cascadability of 2T2R hybrid logic gates as all the information in the R-R logic is stored as RRAM states. Since any of the LFs is functionally complete, a single LF is sufficient to implement different circuits. For instance, LF3 is used to design arithmetic blocks. Therefore, no more than two electric voltage levels are needed, one as VLF and the other as VG, if different from VLF. In this work, all transistors are implemented using the TSMC 65nm library with the same minimum NMOS size, W/L=60nm/65nm, simulated in Cadence Spectre.

5.3 Arithmetic Logic Block Design

To validate the design efficiency in designing digital circuits and systems based on the proposed 2T2R hybrid logic, arithmetic logic blocks from the simple 1-bit full adder (FA) to larger 4-bit ripple carry adder (RCA), pipelined RCA, and fast prefix tree adder are implemented, as example circuits, in this section. The circuit structures of all of these arithmetic blocks are regular and uniform, built by simply replicating the 2T2R gate to form a chain structure. The interaction and cascade between these gates in the chain are achieved through a programmable interconnect whose connection is controlled by the gate/switch of NMOS transistors, similar to which of a SRAM-based field programmable gate array (FPGA). Thus, the design of digital circuits is concentrated on the signal/operand assignments, data path design, and interconnect controls on the reconfigurable architecture, rather than the circuit topology in implementations of conventional expensive application specific integrated circuits (ASIC). This makes it easy and cheap for design, fabrication, and integration.

5.3.1 1-bit Full Adder (FA)

As a fundamental building block of RCA and an arithmetic logic unit (ALU), the 1-bit FA is initially implemented, which adds three binary inputs A, B, and C_i (carry in) to generate two binary outputs S (sum) and C_0 (carry out). The general computing formulas of each output are given as following Eq.

$$S = A \oplus B \oplus C_i = (A \oplus C_i) \oplus B \tag{5.11}$$

$$\overline{C_o} = \overline{A}\overline{B} + \overline{A}\overline{C_l} + \overline{B}\overline{C_l} \tag{5.12}$$

where the S is computed with two XOR operations and C_0 is obtained by an MAJ3 operation. From Table 5.5/5.8 and Table 5.6/5.9, the computation of XOR and MAJ3 can be carried out with one-step operation. As previously discussed in the 2T2R hybrid logic gate, the input operands can be either voltage or RRAM state, while the outputs are only RRAM state. Therefore, in the cascaded gates/multiple step operations, to avoid signal conversion from resistance to voltage, the computation results of current gate/step are supposed to be only in the form of RRAM states as inputs of next gate/step. The FA implementation is an example of this design rule and the reason why we calculate $\overline{A \oplus C_l}$ first in the first step by assigning $(A, C_l, \overline{A}, A, 1, 1)$ to $(P, Q, V_U, V_L, G_P, G_Q)$ (highlighted as red in Table 5.8), shown in Figure 5.4. The first step also computes $\overline{C_o}$ (complement of carry out, similar to the inverted carry in efficient CMOS design) concurrently by assigning $(\overline{C_l}, 0, \overline{A}, B, 1, 1)$ to $(P, Q, V_U, V_L, G_P, G_Q)$ according to the highlighted row in Table 10. The computation parallelism in first step is

achieved thanks to a pass gate (PG) transistor, separating the respective operation on two 2T2R logic gates. The second step is simply programming the lower RRAM of the right 2T2R gate from AC_i to B, while others remain unchanged. The second XOR operation with B is carried out by assigning $(B, \overline{A \oplus C_l}, \overline{B}, B, 1, 1)$ to $(P, Q, V_U, V_L, G_P, G_Q)$ in the third step to obtain the result of S. Note that the result of $\overline{A \oplus C_l}$ of previous step shows up only as RRAM state Q in this step, while B in the form of both voltage and RRAM state P so that no signal conversion is needed. All the intermediate results are stored as nonvolatile RRAM states.

To summarize, the 1-bit FA can be implemented in three steps with two 2T2R hybrid logic gates, connected by the PG NMOS to achieve the possible programmable interconnect within the adder circuits. The connected 2T2R structure ensures that any two of the 1T1R cells in the chain can form a 2T2R hybrid logic gate to perform all the available operations, making adder cascade (carry-propagation) easy to be implemented without complicated peripheral circuits. However, the PG transistor does not function in the FA circuits due to the simple operations within each 2T2R gate, i.e. no interaction required between them. It plays an important role in other more complex circuits such as the RCA and prefix adder in the following sections.

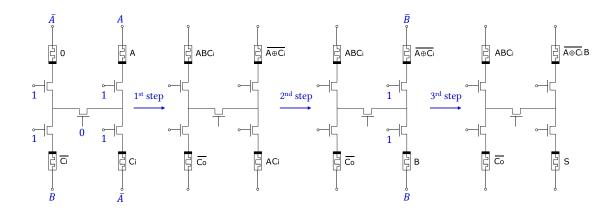


Figure 5.4 Full adder implementation with 2x 2T2Rs in three steps. The four RRAM-state transitions after each step and the corresponding operation voltages are labeled. Step 1 is to calculate $\overline{A \oplus C_t}$ and $\overline{C_o}$. Step two is to input B. Step three is to obtain S.

5.3.2 4-bit Ripple Carry Adder (RCA)

Following the design of 1-bit FA, a 4-bit RCA is shown to validate complex arithmetic circuits, computing $[A_3A_2A_1A_0] + [B_3B_2B_1B_0] = [C_4S_3S_2S_1S_0]$. The circuit structure is still built by repeating the 2T2R gate connected horizontally by PG NMOS transistors, as depicted in Figure 5.5. Each dashed box shows the circuit schematic of 1-bit FA as the universal unit block so that an N-bit RCA can be implemented using N 1-bit FAs. The example of 4-bit case is presented here to demonstrate the adder operations and process.

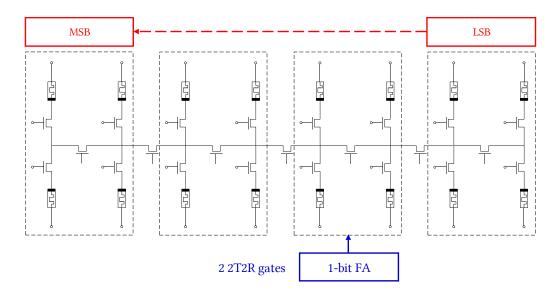


Figure 5.5 Schematic of a 4-bit RCA with repeated structure (2T2R chain). Each unit shown in the dashed box is a 1-bit FA as the universal unit block.

Figure 5.7(a) shows the computation flow chart of the 4-bit RCA, which of an N-bit one could be otained similarly. The corresponding RRAM state transition in each step is presented in Figure 5.7(b), where the carry propagation procedure is actually conducted in two separate steps. One is for bit transfer operation between $\overline{C_o}$ (of current bit) and 1 (of more significant bit); the other for NOT operation between $\overline{C_o}$ (of current bit) and 0 (of more significant bit), after which the current $\overline{C_o}$ is SET to 0. Figure 5.6 combines them together in each carry propagation step for simplicity. An N-bit RCA requires 3N steps with (6N-1) Transistors and 4N RRAMs.

The uniform implementation of above RCA with repeated 2T2R-gate chain could be optimized to have a more compact structure by sharing one common 4T3R unit for the sole purpose of carry

computation and propagation (shown in Figure 5.6). The 4T3R carry computation unit (CCU) contains one 2T2R (C_U and C_L) for carry calculation and one 1T1R (C_A) to assist RCA carry propagation. The 2T2R gates (U_i and L_i) in the lower sum computation units (SCUs) are used for S calculation. The RRAM state transitions of the compact RCA with slightly customized routing are shown in Figure 5.8(a). The computation of the 4-bit addition $A_3A_2A_1A_0(0101) + B_3B_2B_1B_0(1001) = C_4S_3S_2S_1S_0(01110)$ needs 12 steps, conducted as a case study to validate the correctness. As plotted in Figure 5.8(b), the summation results $C_4S_3S_2S_1S_0(01110)$ are stored in the cells $C_AL_3L_2L_1L_0$. Generally, an N-bit RCA with the compact implementation could be realized efficiently in 3N steps with (3N+4) Transistors and (2N+3) RRAMs, presenting low computation complexity.

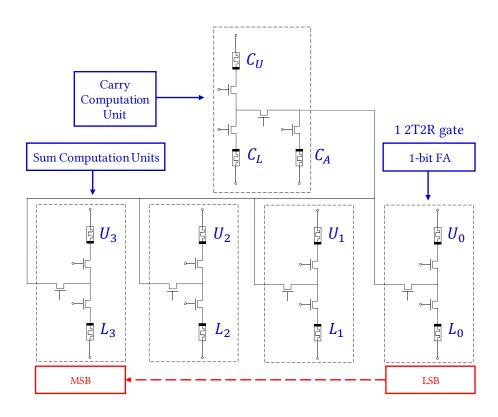


Figure 5.6 Schematic of the optimized design of 4-bit RCA. The more compact design saves about half of the area, with greater customization.

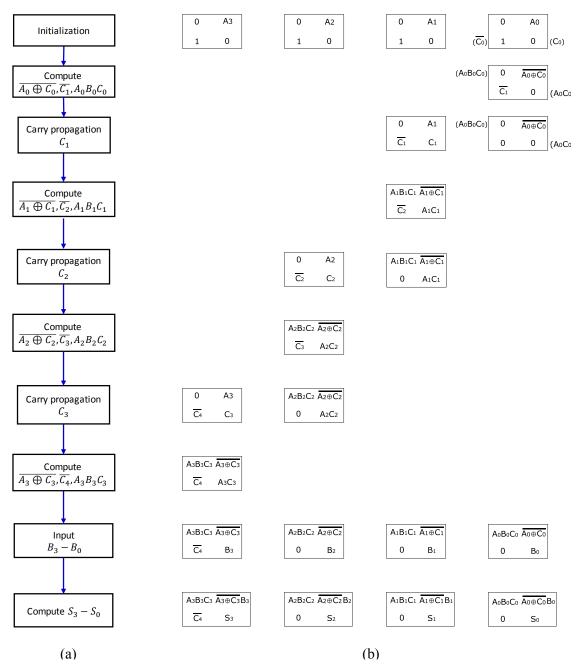


Figure 5.7 (a) Computation flow chart of the 4-bit RCA. (b) The corresponding RRAM state transition in each step. The values in the boxes represent the RRAM states at the corresponding positions. For example, in the initialization step, the four RRAMs of least significant bit (LSB) FA unit are programmed to $(0, 1, A_0, 0)$. Each bit FA is initialized in a uniform pattern as $(0, 1, A_i, 0)$. The missed boxes mean that there is no transition/operation in that step.

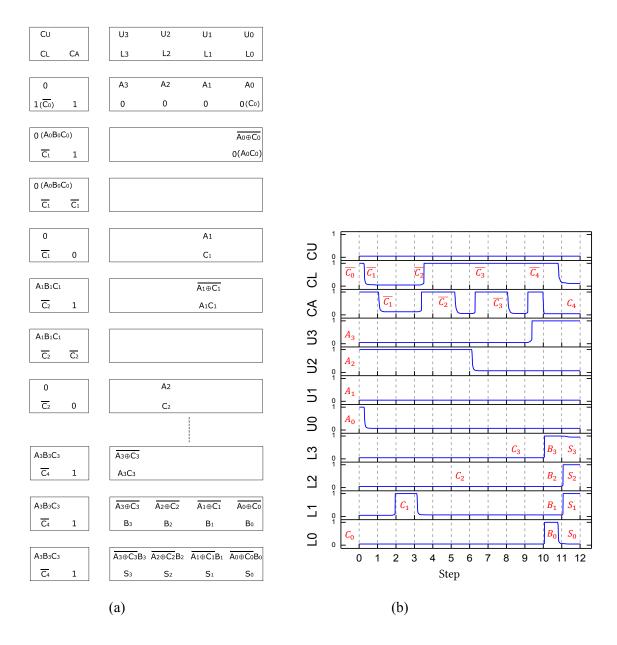


Figure 5.8 (a) The RRAM state transition of the compact 4-bit RCA. The similar steps between computing C_2 and computing $\overline{A_3 \oplus C_3}$ and $\overline{C_4}$ are omitted for simplicity. (b) Simulation of $A_3A_2A_1A_0(0101) + B_3B_2B_1B_0(1001) = C_4S_3S_2S_1S_0(01110)$ as a case study to verify the design correctness (all the transistors are at minimum 60nm widths using TSMC 65nm library). The simulations are performed in Cadence Spectre. Two electric voltage levels are required for the RCA operations including $V_{LF3}=V_{GQ}=3.5V$ and $V_{GP}=3V$.

5.3.3 4-bit Pipelined Ripple Carry Adder (RCA)

Pipelining is a popular technique to enhance efficiency and throughput of processing unit in hardware design. It allows overlapping of computation for different instructions in the same clock cycle. As shown in Figure 5.8(a), while the CCU performs operations in all steps, the SCUs are idle and wait for CCU to generate ripple carries in three of the 12 cycles. Thus, the efficiency E_{RCA_SCU} and throughput T_{RCA_SCU} of RCA SCUs can be calculated according to

$$E_{RCA_SCU} = \frac{useful\ cycles}{total\ cycles} = \frac{9}{12} = 75\%$$
 (5.13)

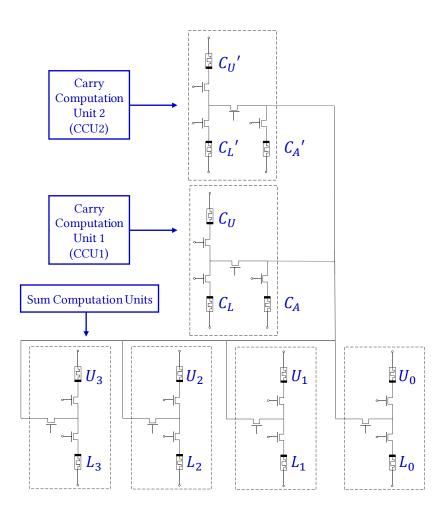


Figure 5.9 Schematic of the pipelined design of 4-bit RCA with two pipelining stages. The pipelining requires another CCU2 as additional cost/area overhead to achieve parallel computation.

$$T_{RCA_SCU} = {}^{\# \ of \ bits}/_{total \ cycles} = {}^{4}/_{12} = 33\%$$
 (5.14)

In this section, we present the pipelined 4-bit RCA implementation with two pipelining stages as a simple case. The circuit structure is shown in Figure 5.9, requiring another CCU2 (4T3R) as additional hardware cost/area overhead to achieve parallel computation. As in Figure 5.10, the computation of another set of $A_3'A_2'A_1'A_0'(0101) + B_3'B_2'B_1'B_0'(1001) = C_4'S_3'S_2'S_1'S_0'(01110)$ is done in parallel with the first 4-bit addition, adding four more steps as additional delay/latency overhead. The efficiency $E_{pipe_RCA_SCU}$ and throughput $T_{pipe_RCA_SCU}$ of two-stage pipelined 4-bit RCA SCUs are boosted to 100% and 50%, respectively. For an N-bit RCA with two-stage pipelining, the efficiency is 100% and throughput is 2N/(3N+4), approaching 67% when N is larger enough (double than the non-pipelined design). From Figure 5.10, the carry propagation cycles of CCU1 are in cycle 3/6/9 while CCU2's in 7/10/13. No overlapping of carry propagation between the two units guarantees the computation concurrency due to the sharing of the common SCUs.

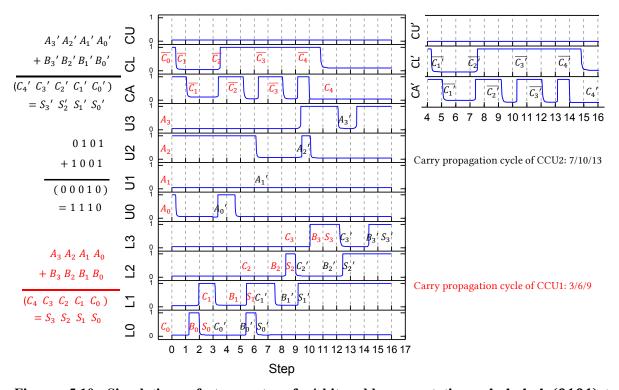


Figure 5.10 Simulation of two sets of 4-bit add computations $A_3A_2A_1A_0(0101) + B_3B_2B_1B_0(1001) = C_4S_3S_2S_1S_0(01110)$. The pipelining requires four more steps as additional delay/latency overhead.

5.3.4 4-bit Prefix Brent-Kung Adder

In the implementation of a multiple-bit RCA, the critical path, i.e. carry propagation from least significant bit (LSB) C_i to final most significant bit (MSB) C_o , limits the circuit speed because the carry terms of each bit has dependency on carry-out of previous bit (dominates for wide adders (N>16)) [46]. Thus, the temporal complexity of either CMOS-based or RRAM-based N-bit RCA is O(N). Fast adders generally use a tree structure for parallelism, calculating intermediate signals propagate (P) and generate (G) as follows

$$P = A \oplus B \tag{5.15}$$

$$G = AB \tag{5.16}$$

which have no dependencies on carry terms so that can be computed concurrently, called prefix computation. In this section, we implement a 4-bit tree Brent-Kung adder [33] using recursive carry lookahead to demonstrate logarithmic complexity O(log₂N) of critical path based on our 2T2R hybrid logic method.

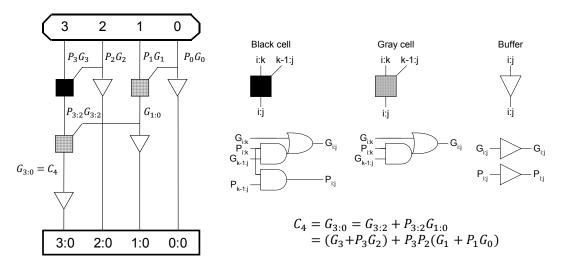


Figure 5.11 PG diagram of a 4-bit prefix Brent-Kung adder and its PG notations. The calculation of $C_4/G_{3:0}$ is the critical path in this tree adder whose formula is shown as the equation.

The PG diagram of the 4-bit prefix Brent-Kung adder is shown in Figure 5.11 and the equation in the figure describes the relationship between critical path signal $C_4/G_{3:0}$ and intermediate signals P and G in this tree adder. A 2T2R hybrid logic gate is capable of calculating P_i and G_i of each bit concurrently

in a single step by assigning (A_i , B_i , $\overline{A_i}$, A_i , 1, 1) to (P, Q, V_U, V_L, G_P, G_Q)), in which the P_i/G_i are stored in the lower/upper cell, shown in Figure 5.12(a). The circuit schematics of the 4-bit Brent-Kung adder are presented in Figure 5.12(b), requiring five 2T2R gates connected by four PG NMOS transistors. Figure 5.12(c) gives the simulation results of critical path calculation when performing the 4-bit addition $A_3A_2A_1A_0(0101) + B_3B_2B_1B_0(1001) = C_4S_3S_2S_1S_0(01110)$. The final result $C_4/G_{3:0}=0$ is stored in cell L₄ and can be used for further calculations. Note that in the prefix Brent-Kung adder, the C₄ is obtained in the 6th cycle, while it is done in 10th cycle in the RCA implementation (Figure 5.8(b). The improved performance is due to the parallel processing algorithm of each bit. Similarly, for an N-bit Brent-Kung adder, it can be derived that the critical-path delay is proportional to log₂N, same as the CMOS designs.

5.4 Design Evaluation and Comparison

Demonstrated by various implementations of arithmetic logic blocks such as 4-bit RCA, pipelined RCA, and prefix tree adder, the proposed 2T2R hybrid logic is a promising and efficient approach to

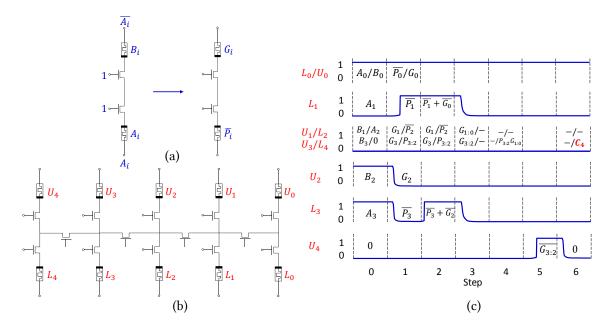


Figure 5.12 (a) PG calculation implemented in one step on a 2T2R hybrid logic gate. (b) Circuit schematic (five 2T2R gates connected by four PG NMOS transistors) of 4-bit Brent-Kung adder. (c) Simulation results of critical path calculation when performing $A_3A_2A_1A_0(0101) + B_3B_2B_1B_0(1001) = C_4S_3S_2S_1S_0(01110)$.

Table 5-10 Device technology used for design evaluation

Device Technology					
	F_m – Feature size (nm)	5			
ReRAM	A_m – Area (nm^2)	$100 (4F_m^2)$			
	F_c — Feature size (nm)	65			
CMOS	A_c – Area (nm^2)	8450 ($2F_c^2$)			

designing RRAM-based in-memory computing system. The small RRAM cell in the circuit serves as both logic device and storage unit. The 2T2R gate chain connected by horizontal PG NMOS transistors is able to implement any logic block with the same uniform and repeated circuit structure. This feature endows it with programmability and reconfigurability, similar to that of an FPGA. It allows us to program/re-program the chain to realize different designs, significantly simplifying the implementation and fabrication of digital circuits and systems.

In this section, the performance of the arithmetic units is evaluated and compared to the CMOS circuits and other state-of-the-art RRAM designs, based on their computational complexity, more specifically, spatial complexity and temporal complexity. In a RRAM-based system, spatial complexity

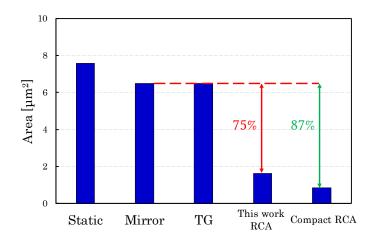


Figure 5.13 Area comparison of this work with CMOS designs for a 32-bit adder. The RCA of this work saves \sim 75% area from mirror adder and TG-based adder, while the compact RCA saves \sim 87% area.

is the required area of RRAMs and transistors; temporal complexity refers to the processing steps/cycles. The evaluation is based on the device technology listed in Table 5.10, with RRAM's feature size to be 5nm and CMOS's feature size to be 65nm. Figure 5.13 shows the area evaluation results for a 32-bit adder implementation of the RCA and compact RCA. The RCA of this work saves around 75% area from CMOS mirror adder/TG-based adder, while the compact RCA design saves about 87% area. This work presents decent performance in hardware area.

In addition, the 32-bit adder design based on the 2T2R hybrid logic is compared to some of the recent RRAM-based implementations [13], [29], [31], [37], [40], [41], with regard to the delay (number of steps) and area (number of RRAMs), shown as in Figure 5.14. Among these efficient designs, Siemon's [29] implementation uses CRS RRAM to perform IMP logic, which requires complicated peripheral circuitry and has the problem of "destructive read". The novel IMC system based on 1T1R RRAM [31] is comparable to this work, requiring small area and less delay. Nevertheless, the main drawback comes from sophisticated peripheral circuits to support the logic computation, including sense amplifiers, block decoders, and register stack. In this work, benefiting from flexible variable assignments, the

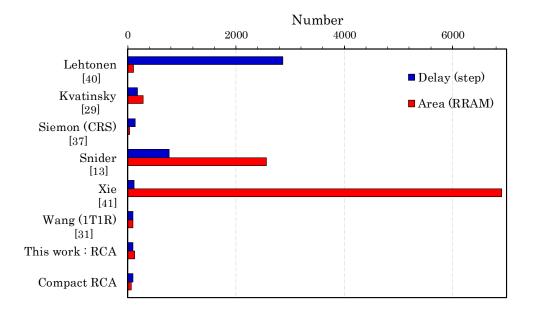


Figure 5.14 Design comparison of this work w.r.t. delay (number of steps) and area (number of RRAMs) with other state-of-the-art RRAM-based designs for a 32-bit adder.

designs are able to avoid unnecessary signal conversions therefore eliminates the need of complicated peripheral circuits [47].

5.5 Readout Structure

After the computation is completed, the final results stored in the RRAM cells might need to be readout. The readout process of the 3T2R chain can be designed by placing two units of readout circuitry, such as a current sense amplifier (CSA) or a transimpedance amplifier (TIA), on top and bottom positions of the chain, shown in Fig. 8. During the read process, all horizontal pass gate transistors are ON (the red path). Then, apply a read voltage V_{read} (e.g. 100 mV) on the middle nodes ("In" node in the chain). The read-cell selection (read enable control) is achieved by the gate control of each 1T1R, similar to the function of column mux in the conventional SRAM memory arrays. The readout circuitry acts as a current to voltage converter to sense the I_{LRS}/I_{HRS} (0/1) of the selected cell.

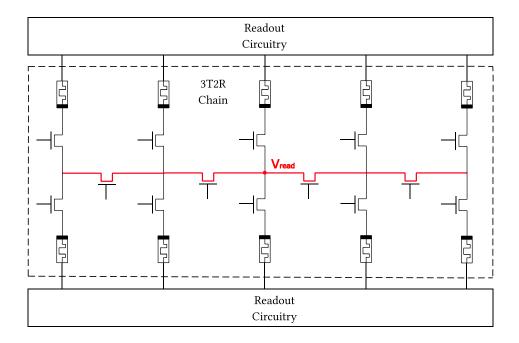


Figure 5.15 Readout structure of the 3T2R chain. During read process, all PG transistors are ON and a V_{read} is applied on the middle nodes. Other NMOS transistors in each 1T1R control the read-cell selection (read enable control).

5.6 Conclusions

This chapter proposes an efficient in-memory computing scheme based on hybrid logic in 2T2R RRAM whose programmable logic functionality is determined by the amplitude of voltage operands and variable assignments. The hybrid-logic method fully utilizes the computing resource in a RRAM-based structure, which can be potentially adopted similarly in other computational-memory enabled systems. Various logic families are available to be used to design digital circuits flexibly. A repeated, uniform, and reconfigurable 2T2R-gate chain with programmable interconnects is designed to efficiently implement any arithmetic logic block. The example circuits such as ripple carry adder, its pipelined implementation, and parallel prefix adder are shown to validate the hybrid-logic design methodology. The computing principle is discussed and the arithmetic circuits are compared with CMOS designs and popular post-CMOS systems based on their spatial complexity and temporal complexity. The overall result shows superior performance of this work in designing efficient digital circuits and systems.

Chapter 6

Conclusions and Future Work

6.1 Conclusions

This thesis has reported two efficient computing/logic methodologies for beyond von Neumann processing-in-memory applications by fully exploring a proposed regular, symmetric 2T2R circuit structure. The first scheme implements digital logic in a stateful manner, in which the computation operands are represented by nonvolatile RRAM states and logic functionality is determined by amplitudes of external operation voltages. The second scheme leverages computing resource of the circuit in a hybrid manner, by encoding both RRAM states and operation voltages as inputs. The two schemes are designed to implement their corresponding logic gates (logic families) based on the unified 2T2R gate and further build arithmetic building blocks of an ALU using a reconfigurable 3T2R (2T2R gate) chain structure with programmable interconnections.

The proposed circuit structure and computation methodologies make it easy to design, develop, and fabricate digital circuits and systems based on CMOS technology and post-CMOS but CMOS-compatible RRAM technology. Common digital units such as full adder, ripple-carry adder, pipelined ripple-carry adder, and parallel carry look-ahead adder are designed and evaluated to validate the efficient implementations. The work is compared to the mature CMOS designs and other RRAM systems with respect to its computational complexity, i.e. speed and area. It presents advantages in hardware area relative to CMOS circuits and in both area and speed as well as peripheral circuitry compared to popular RRAM designs. The in-memory operations are simple and clean, meanwhile the circuit structures are regular and symmetric, requiring no signal conversions during whole compute process. The result of each scheme could build a technology cell library that can be potentially used as input to a technology-mapping algorithm. The proposed stateful-logic and hybrid-logic methodologies present prospect of hardware acceleration and future beyond-von Neumann in-memory computing architectures.

6.2 Future Work

The results of this work point to a number of potential directions to extend the scope of this project. Since the electrical designs are only implemented at the level of front end based on simulation, one important future work is to realize the physical design part including the layout design, fabrication, and experimental testing in order to complete the design flow and further realize the practical digital circuits and integrated dense memory array.

Future work also includes

- (1) designing new RRAM-enabled emerging circuit architectures;
- (2) exploring logical methods and principles based on other post-CMOS technologies;
- (3) embedding computational memories including RRAM into the commercial mature CMOS technology so as to leverage their advantages such as non-volatility, small area, and scalability to improve the performance of current circuits and systems;
- (4) using nonvolatile memory to achieve hardware acceleration in the ASIC and FPGA platform;
- (5) addressing and improving the classic RRAM issues including reliability and endurance and analyzing how these problems affect the system-level performance, to design fault-tolerant circuits and systems.

The in-memory processing schemes based on the regular and symmetric 2T2R structure proposed by this work present the prospect in hardware implementation of post-CMOS and beyond-von-Neumann computing systems. The result of the study could build a technology library that can be potentially used as standard cell library for ASIC digital designs. The highly integrated 3-D stacked arrays could be widely adopted in the future applications of dense nonvolatile data storage and emerging in-memory processors.

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