

Evaluating molecular methods for human microbiome analysis

by

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## **Author's Declaration**

I hereby declare that I am the sole author of this thesis, except where noted. Original Illumina library construction and DGGE analysis of human gastrointestinal tract samples (Fig. 1) was performed by Dr. Jennifer Stearns at the University of Waterloo. Michael B. Goldberg and Howard C. Tenenbaum collected oral samples, and Kenneth Croitoru collected gastric and intestinal samples at Mount Sinai Hospital (Toronto, Canada). Michael W. Hall provided assistance with AXIOME.

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## **Abstract**

In human microbiome analysis, sequencing of bacterial 16S rRNA genes has revealed a role for the gut microbiota in maintaining health and contributing to various pathologies. Novel community analysis techniques must be evaluated in terms of bias, sensitivity, and reproducibility and compared to existing techniques to be effectively implemented. Next-generation sequencing technologies offer many advantages over traditional fingerprinting methods, but this extensive evaluation required for the most efficacious use of data has not been performed previously. Illumina libraries were generated from the V3 region of the 16S rRNA gene of samples taken from 12 unique sites within the gastrointestinal tract for each of 4 individuals. Fingerprint data were generated from these samples and prominent bands were sequenced. Sequenced bands were matched with OTUs within their respective libraries. The results demonstrate that denaturing gradient gel electrophoresis (DGGE) represents relatively abundant bacterial taxa (>0.1%). The  $\beta$ -diversity of all samples was compared using Principal Coordinates Analysis (PCoA) of UniFrac distances and Multi-Response Permutation Procedure (MRPP) was applied to measure sample cluster strength and significance; indicator species analysis of fingerprint bands and Illumina OTUs were also compared. The results demonstrate overall similarities between community profiling methods but also indicate that sequence data were not subject to the same limitations observed with the DGGE method (i.e., only abundant taxa bands are resolved, unable to distinguish disparate samples). In addition, the effect of stochastic fluctuations in PCR efficiency (“PCR drift”) has not been rigorously tested and may differ for DGGE and next-generation sequencing. I compared pooled and individual reactions for samples of high and low template concentration for both Illumina and DGGE using the combined V3-V4 region of the 16S rRNA gene, and demonstrated that template concentration

has a greater impact on reproducibility than pooling. This research shows congruity between two disparate molecular methods, identifies sources of bias, and establishes new guidelines for minimizing bias in microbial community analyses.

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## List of Abbreviations and Symbols

|                    |   |                  |   |
|--------------------|---|------------------|---|
| %                  | Percent   | IL-10            | Interleukin 10  |
| °C                 | degrees Celsius   | IV               | Indicator Value   |
| μM                 | micro molar   | kCal             | Kilo calorie  |
| 5-HT               | 5-hydroxytryptamine   | MRPP             | Multi-Response<br>Permutation Procedure                 |
| A                  | Effect size   | NAFLD            | Non-alcoholic fatty liver<br>disease                    |
| ANOVA              | Analysis of Variance  | ng               | nanogram(s)   |
| AXIOME             | Automation, eXtension,<br>and Integration Of<br>Microbial Ecology | NMS              | Non-metric<br>Multidimensional<br>Scaling               |
| BDNF               | Brain Derived<br>Neurotrophic Factor                              | OTU              | Operational Taxonomic<br>Unit                           |
| BLAST              | Basic Local Alignment<br>Search Tool                              | PCoA             | Principle Coordinate<br>Analysis                        |
| bp                 | base pairs  | PCR              | polymerase chain<br>reaction                            |
| CM <sup>2</sup> BL | Canadian Meta<br>Microbiome Library                               | QIIME            | Quantitative Insights<br>Into Microbial Ecology         |
| CNS                | Central nervous system  | rRNA             | ribosomal ribonucleic<br>acid                           |
| C-section          | Caesarian section   | SCFA             | Short Chain Fatty Acid<br>Supported                     |
| DGGE               | Denaturing Gradient Gel<br>Electrophoresis                        | SOLiD            | Oligonucleotide Ligation<br>and Detection               |
| DNA                | Deoxyribonucleic acid   | T                | Test statistic  |
| dNTP               | Deoxynucleoside<br>triphosphate                                   | TLR              | Toll-Like Receptor                                      |
| g                  | gram(s)   | T <sub>reg</sub> | Regulatory T cell                                       |
| GABA               | Gamma-aminobutyric<br>acid  | UPGMA            | Unweighted Pair Group<br>Method with Arithmetic<br>Mean |
| GALT               | Gut Associated<br>Lymphoid Tissue                                 | V                | Volts   |
| HPA                | Hypothalamic-Pituitary-<br>Adrenal                                | μL               | microliter(s)   |
| IBD                | Inflammatory Bowel<br>Disease                                     |                  |   |
| Ig                 | Immunoglobulin  |                  |   |

## **Chapter 1. Introduction**

### **1.1 The human microbiome**

#### *1.1.1 Introduction*

The impact that microorganisms have on human health has been a subject of study since the germ theory of disease replaced earlier explanations of illness in the late 19th century (Pasteur 1881). Koch's postulates, which describe the criteria necessary to link a given microorganism to the disease it causes, shaped future study of medical microbiology to the search for, and defense against, pathogenic microorganisms (Koch 1890). This focus on microorganisms as pathogens brought about a war on Bacteria ("germs") that is ongoing. Only recently have researchers begun to investigate the abundance of microbial species that inhabit non-pathogenic niches within the environment provided by the human body.

There are over 100 trillion microorganisms inhabiting the human gut, collectively known as the human gut "microbiome" or "microbiota" (Frank and Pace 2008). Though these terms are sometimes used interchangeably, "microbiome" refers to the collective genomes of the microorganisms whereas "microbiota" refers to the organisms themselves. Though frequently referred to as commensals, these microorganisms are more accurately classified as mutualists because of the beneficial relationship that they have with their hosts. Gut microbes metabolize energy sources that are otherwise inaccessible to their hosts, such as cellulose and resistant starches (Sonnenburg et al. 2005; Turnbaugh et al. 2006). Primary fermenters in the large intestine also produce short chain fatty acids that contribute about 10% of daily caloric intake in a typical western diet (Gill et al. 2006). Together, the genomes of gut microorganisms encode genes for the synthesis of essential amino acids and vitamins, and the detoxification of

potentially harmful xenobiotics (Gill et al. 2006). Beyond their metabolic contributions, the gut microbiota also influence development of the immune system: germ-free mice have reduced levels of helper T cells and cytotoxic T cells, as well as reduced levels of Immunoglobulin A and other immunological proteins (Round et al. 2010). Because of the magnitude of the impact microorganisms have on humans, many researchers have begun referring to a human “superorganism”, which includes the microbiota (Gill et al. 2006; Li et al. 2008; Sleator 2010).

### *1.1.2 Normal composition*

The gut ecosystem is the densest known microbial habitat (Whitman et al. 1998). It is estimated that one gram of stool contains between  $10^{11}$  and  $10^{12}$  bacteria, making it 60% microbial by mass (O'Hara and Shanahan 2006). Throughout the gastrointestinal tract, both diversity and density of the microbiota increase along two axes: from proximal (mouth) to distal (colon) and from the tissue to the lumen (Sekirov et al. 2010).

Although fluctuations in microbial composition were previously thought to be infrequent and due to factors such as antibiotic use or diarrhoea (Simon and Gorbach 1984), more recent studies have shown this is not the case. The microbial composition of the gut varies over time, even from day to day, but differences between individuals and across body sites persist (Caporaso et al. 2011). The gut microbiota is mainly composed of Bacteria, but also includes Archaea and Eukaryotes, as well as viruses (Ley et al. 2006). Culture-based methods have shown that anaerobic bacteria dominate over aerobic bacteria by a factor of 100 to 1000 (Simon and Gorbach 1984).

The community composition of the gut is characterized by shallow diversity. Most members of the gut belong to the phyla *Firmicutes* and *Bacteroidetes*, with lower abundances of *Proteobacteria*, *Verrucomicrobia*, *Actinobacteria*, *Fusobacteria*, and *Cyanobacteria* (Hugenholtz et al. 1998; Human Microbiome Project Consortium 2012; Stearns et al. 2011). The low diversity of the gut microbiota at a phylum level is juxtaposed with high levels of species and subspecies diversity: there are approximately 1000 species of bacteria in the gut (Human Microbiome Project Consortium 2012). This pattern of diversity may reflect adaptive radiation: a few early colonists resulting in a variety of descendant organisms (Ley et al. 2006).

A variety of factors have been theorized to influence the shallow diversity of the gut microbiota. Compared to other microbial environments, such as soils and oceans, the mammalian gut has existed for a very short time: the microorganisms that inhabit the gut have not diverged sufficiently to form many separate phyla (Ley et al. 2006). The nature of the gut environment also results in a combination of competing selective pressures that differs from abiotic habitats: the host requires functional redundancy for stability whereas the microorganisms favour functional specialization to reduce interspecies competition for nutrients (Ley et al. 2006). The homogenizing force of mixing in the gut due to the muscular contractions of mechanical digestion also reduces niche breadth allowing for greater diversity at a shallow phylogenetic level (Ley et al. 2006).

### 1.1.3 Development of the microbiota

Though humans were previously thought to be sterile prior to colonization during birth, increasing evidence suggests that this is not the case. Microorganisms have been found in umbilical cord blood (Jiménez et al. 2005), amniotic fluid (DiGiulio et al. 2008), and meconium (Jiménez et al. 2008) of healthy neonates. The inoculum received during birthing also has a large impact on the developing microbiota: that of vaginally delivered infants resembled their mothers' vaginal microbiota (dominated by *Lactobacillus*, *Prevotella*, or *Sneathia* spp.) whereas that of C-section infants resembled that of their mothers' skin microbiota (dominated by *Staphylococcus*, *Corynebacterium*, and *Propionibacterium* spp.; Dominguez-Bello et al. 2010). Transfer of microbiota from mother to child continues after birth with lactic acid bacteria being transferred through breast milk (Martin et al. 2003; Martin et al. 2012). The microbiota of exclusively breastfed infants is characterized by a lower diversity than that of partially formula-fed infants due to lower diversity within the *Firmicutes*, and also by a higher relative abundance of *Bifidobacteria* (Azad et al. 2013).

As the gut microbiota of infants develops into its climax community, the influences of these early factors is obscured, but not eliminated. Early colonizers can shape their environment to be more favourable for their growth by regulating gene expression in epithelial cells and thereby influence the composition and structure of the developing microbiota (Hooper and Gordon 2001). The enduring influence of the initial colonizers is exemplified by the fact that the microbiota can reflect kinship relationships, with inherited genotypes acting on the initial inoculum that is received from the mother as well as subsequent colonizers (Ley et al. 2006).

#### *1.1.4 Metabolic functions*

The gut microbiota act as an anaerobic bioreactor in fermenting non-digestible carbohydrates such as cellulose, pectins, and resistant starches as well as unabsorbed sugars and alcohols, and endogenous mucus (Cummings et al. 1996; Roberfroid et al. 1995). This fermentation provides as much as 8% of the total energy harvested by the host (Institute of Medicine of the National Academies 2006) and conventional mice have been shown to have 40% more body fat than germ-free mice on the same diet (Bäckhed et al. 2004). The microbiota also differ between obese and lean individuals in ways that are hypothesized to affect the host's ability to extract energy from food (Hooper and Gordon 2001). These changes are apparent in the relative abundances of the two dominant bacterial phyla, *Bacteroidetes* and *Firmicutes*, resulting in an increased ability to extract energy in obese individuals (Turnbaugh et al. 2006). Jumpertz and coworkers showed that an increase of nutrient load resulted in a 20% increase in *Firmicutes* and a corresponding decrease in *Bacteroidetes*: this shift resulted in an increased capacity for energy harvest of about 150 kcal (Jumpertz et al. 2011).

#### *1.1.5 Impact on the immune system*

The purpose of the immune system is to recognize and respond to pathogenic microorganisms, but intestinal microorganisms are not subject to indiscriminate eradication. The symbiosis of humans and their microbiota requires our immune system to be tolerant towards hundreds of species of microorganisms while simultaneously remaining vigilant against potential pathogens and preventing these microbes from penetrating the intestinal epithelium (Sommer and Bäckhed



2013). The microbiota themselves provide some protection from pathogenic organisms by means of niche occupation. The normal microbiota provide stability and prevent colonization by pathogens (Guarner and Malagelada 2003) by competing for epithelial attachment sites (Bernet et al. 1994) and nutrients (Hooper et al. 1999).

The gut microbiota have a demonstrated effect on the immune system, including surface barriers: in the absence of microorganisms, intestinal epithelial cells have decreased cell-turnover rates and altered microvilli (Abrams et al. 1963). The epithelium of germ-free mice also has fewer goblet cells and a thinner mucus layer, which reverts to a normal phenotype upon exposure to the bacterial molecules lipopolysaccharide and peptidoglycan (Macpherson and Uhr 2004). In humans, the gut-associated lymphoid tissue (GALT) contains more immune cells than other body locations (Brandtzaeg et al. 1989) and this is likely due to the gut being so highly populated by microorganisms. The GALT of germ-free pigs has lower levels of immune cells (Butler et al. 2000) and this trend is remediated after colonization of the gut (Umesaki et al. 1993).

As well as influencing the development of the immune system within individuals, gut microbiota likely also influence the evolution of our immune system. Complex, co-evolved microbial communities are a common feature among vertebrates, while invertebrates seem to only harbour small numbers of bacterial species. It has been suggested that these microbial communities are responsible for the evolution of adaptive immunity, the branch of the immune system that learns from previous exposure, which is exclusive to vertebrates (McFall-Ngai 2007).

Tolerance towards our microbiota seems to be achieved by restricting their penetration outside of the lumen of the gut (Macpherson and Uhr 2004). The immunoglobulin IgA has an important role in this regulation (Suzuki et al. 2004): it coats the bacteria of the gut (Round and Mazmanian 2009) and acts as a chemical barrier to prevent them from crossing the gut epithelium (Sommer and Bäckhed 2013). Some microbial cells do penetrate the epithelium where they are taken up by dendritic cells which then migrate to mesenteric lymph nodes and induce naive B cells to produce IgA (Macpherson and Uhr 2004). This process results in an estimated 80% of antibody production in humans (Brandtzaeg 2009). The immune system remains systemically ignorant of the microbiota because the immune response is local, not reaching further than the mesenteric lymph nodes (Macpherson et al. 2005).

#### *1.1.6 The gut microbiota and disease*

An increasing number of diseases and disorders have been correlated with an altered gut microbiota. Crohn's disease and ulcerative colitis, the two most common forms of Inflammatory Bowel Disease (IBD), are both associated with increased numbers of bacteria that adhere to the intestinal mucosa such as *Enterobacteriaceae*, including *Escherichia coli*, and other *Proteobacteria* (Nagalingam and Lynch 2012). IBD is characterized by atypical levels of inflammation in the gastrointestinal tract and associated with higher antibody titres against the microbiota than found in healthy controls (Round and Mazmanian 2009). In most cases this systemic immune response is directed against organisms that have the capacity to be pathogenic (pathobionts) such as *Helicobacter*, *Clostridium*, and *Enterococcus* (Round and Mazmanian

2009). The gut microbiota has also been implicated in metabolic disorders including obesity, type 2 diabetes, and non-alcoholic fatty liver disease (NAFLD; Ley et al. 2005).

### *1.1.7 The gut-brain axis*

The impacts of the human microbiome on the host greatly exceed contributions to nutrient catabolism and the ability to outcompete pathogens. Gut “dysbiosis” has been implicated in an ever-increasing number of outwardly unrelated medical conditions ranging from chronic fatigue syndrome (Lakhan and Kirchgessner 2010) to autism (Parracho et al. 2005). There is a high comorbidity of depressive disorders and functional gastrointestinal ailments such as IBD: up to 90% of subjects with IBD have a comorbid psychiatric condition (Whitehead et al. 2002). This correlation has motivated a variety of murine research studies. In 2004, Sudo and coworkers demonstrated that germ-free mice have a hyperactive hypothalamic-pituitary-adrenal (HPA) axis compared to conventional mice, meaning they have an exaggerated stress-response (Sudo et al. 2004). In addition, changes to the HPA axis due to early life stress result in an altered gut microbiome (O'Mahony et al. 2009), demonstrating the bi-directionality of this relationship. Germ-free mice also have decreased cortical levels of Brain Derived Neurotrophic Factor (BDNF), a molecule responsible for neuronal cell growth and plasticity, as well as the monoamine neurotransmitters norepinephrine and 5-hydroxytryptamine (5-HT, commonly known as serotonin) (Forsythe et al. 2010).

The effects of the gut microbiota are further supported by findings that some of these microorganisms are capable of producing neuroactive molecules such as serotonin, melatonin, acetylcholine, and gamma-aminobutyric acid (GABA), the primary inhibitory neurotransmitter

of the central nervous system (CNS; Iyer et al. 2004). These neuroactive compounds may act directly on the human nervous system. Gut microbes also affect the central nervous system indirectly through Toll-like Receptors (TLRs) and immune cells, resulting in altered levels of circulating cytokines, through short chain fatty acids (SCFAs). The SCFA butyrate, a product of anaerobic bacterial metabolism, has been shown to have antidepressant effects when injected systemically (Schroeder et al. 2007). Butyrate is a histone deacetylase inhibitor that has the capacity to modulate epigenetic modifications via chromatin remodelling, which has been linked to mood disorders (Tsankova et al. 2006). This may be a result of free fatty acids interfering with the binding of albumin to tryptophan. Tryptophan is an amino acid precursor to serotonin (5-HT), the depletion of which is associated with anxiety and depression (Graeff et al. 1996). Tryptophan which is bound by albumin is unavailable for uptake by the CNS, so if fatty acids interfere with this binding they may increase the amount of tryptophan available for uptake by the CNS (Gentil et al. 1977; Maes 2011).

The inflammatory immune response observed in cases of depression is also associated with gut dysbiosis. Commensal gut microbes have been linked to the up-regulation of IL-10, an anti-inflammatory cytokine, and development of healthy T<sub>reg</sub> populations, which are responsible for suppressing activation of the immune system and preventing autoimmune disorders (Forsythe et al. 2010; Macpherson and Uhr 2004; Ostman et al. 2006).

## *1.2 Microbiome community analysis*

### *1.2.1 Introduction*

More than 99% of microorganisms in many natural environments are not readily cultivable (Streit and Schmitz 2004). *E. coli* is thought of as a common gastrointestinal bacterium because it is easily cultured, however this *Gammaproteobacteria* member usually constitutes less than 1% of gut species (Hamady and Knight 2009). Culturing is an invaluable tool, but it falls far short in revealing the microbial diversity of the gut environment. For this reason molecular microbiological methods are necessary for the study of these environments.

When characterizing microbial communities, marker genes are targeted for amplification by PCR. The majority of microbial ecology studies have targeted the 16S rRNA gene because it is found in all prokaryotic microorganisms, is not prone to horizontal transfer, and it has both conserved regions and nine variable regions from which targets can be selected (Inglis et al. 2012; Neufeld and Mohn 2006). Drawbacks of the 16S rRNA gene include that different microbial taxa possess variable gene copy numbers, which hinders assessment of relative abundances (Neufeld and Mohn 2006).

### *1.2.2 Polymerase chain reaction*

Polymerase chain reaction (PCR) is useful for effective analysis of the human microbiome and other microbial communities, but it suffers from inherent biases. These biases can be classified into two general categories: selection, which is the result of inherent differences in amplification

efficiencies, and drift, which is the result of stochastic fluctuations and therefore non-reproducible (Wagner et al., 1994). One aspect of selection is the tendency towards a 1:1 ratio of all products due to more abundant templates being less available for amplification because of reannealing (Suzuki and Giovannoni 1996). Although bias caused by differences in primer binding energies is not easily surmounted, reducing the number of rounds of amplification was proposed in order to limit the tendency toward this homogeneous product ratio (Inglis et al. 2012; Polz and Cavanaugh 1998; Wagner et al. 1994). Bias caused by drift can be minimized by pooling replicate reactions because the way in which drift biases the products varies in each replicate as it is non-reproducible (Inglis et al. 2012; Polz and Cavanaugh 1998).

### *1.2.3 Denaturing gradient gel electrophoresis*

Denaturing gradient gel electrophoresis (DGGE) is a fingerprinting technique which exploits two properties of DNA: partially denatured DNA cannot migrate through a polyacrylamide matrix, whereas double-stranded helices can (Lerman et al. 1984), and the melting temperature of a fragment of DNA depends on its sequence (Green et al. 2010; Muyzer and Smalla 1998). As a result, DNA fragments of the same length can be electrophoretically separated on a gel by sequence, resulting in a visual representation of a sample's community structure. DGGE uses a modified PCR to amplify fragments of the same length consisting of organismal DNA with the addition of a GC-rich region. This GC clamp prevents complete denaturation, which would result in single stranded DNA that would otherwise move rapidly through the gel (Muyzer et al. 1993). DGGE allows multiple samples to be analyzed rapidly and economically (Green et al. 2010), which is imperative in microbial ecology as microbial ecosystems should be studied over time to

observe interactions between microorganisms and their environment (Muyzer and Smalla 1998). Compared to sequencing, DGGE is orders of magnitude less expensive and time-consuming (Inglis et al. 2012; Muyzer and Smalla 1998), although this is becoming decreasingly true with advances in sequencing technologies. In addition, DGGE allows for bands that change in intensity with changing conditions to be picked and sequenced (Inglis et al. 2012; Muyzer et al. 1993) and can be very effective at identifying abundant indicator organisms because of this characteristic (Muyzer and Smalla 1998).

Despite the strengths of DGGE, this method has limited resolving power and cannot detect organisms that represent much less than 1% of the sample community, even when using SYBR Green I stain to decrease background staining (Muyzer and Smalla 1998). It also works best with low diversity samples because high diversity samples generate many bands, causing individual bands to not be easily discernible (Green et al. 2010; Inglis et al. 2012). DNA fragments stop in the gel after the portion with the lowest melting temperature denatures, so fragments that vary from each other outside of this area may still result in a single band (Fischer and Lerman 1980; Lerman et al. 1984). Unrelated sequences can also result in bands in the same location on a gel (Muyzer et al. 1993) and one species can produce multiple bands due to multiple 16S rRNA operons (Nübel et al. 1996). DGGE is very flexible in terms of what target region is used (Green et al. 2010), but it is optimally applied to separating fragments of less than 500 base pairs (Myers et al. 1985).

Because of its inability to resolve and detect low abundance organisms, DGGE is not able to completely visualize microbial communities or evaluate the diversity of high diversity samples.

However, it excels in initial screenings of many samples (Green et al. 2010) because it provides a rapid qualitative and semi-quantitative visual representation of community structure (Muyzer et al. 1993). Additionally, it is not capable of providing accurate quantitative diversity analysis due to the indirect relationship of species to bands within a fingerprint, resulting in biases in species richness and relative species abundance (Neilson et al. 2013). The strengths and weaknesses of this fingerprinting technique are well-complemented by sequencing-based characterization (Inglis et al. 2012).

#### *1.2.4 Sequencing*

DNA sequencing-based studies of the human microbiome can either be amplicon-based, with marker genes being sequenced to identify community members, or metagenomic, with randomly sheared fragments of DNA being sequenced. Metagenomic sequencing has the advantage of providing more functional information about a community but its random nature results in a sacrifice to taxonomic resolution, so amplicon sequencing is typically included in studies that aim to compare the identities of microorganisms within multiple samples (Kuczynski et al. 2012).

The dideoxy method of sequencing, now known as Sanger sequencing, was introduced in 1977 and remained the method by which almost all sequencing was conducted for approximately 30 years (Shendure and Ji 2008). Sanger sequencing uses chain-terminating nucleotide analogs to generate nucleotide-specific terminated fragments that are electrophoretically separated by size allowing the sequence to be read from terminal nucleotide of each fragment from shortest to



longest (Sanger et al. 1977). After decades of gradual improvements, Sanger sequencing is now capable of read lengths up to approximately 1000 bp with 99.999% accuracy (Shendure and Ji 2008). Still, “next-generation” sequencing (NGS) has become the preferred method due to being “massively parallel”, thereby allowing for vastly more sequence reads to be obtained per experiment. This increase comes with sacrifices to read length and accuracy (Hutchison 2007), though these areas are constantly improving as they are solely a function of signal-to-noise ratio and not due in part to gel-related factors as is the case with Sanger sequencing (Mardis 2013). Because of this high degree of parallelism, avoidance of *E. coli* transformation and colony picking, and decreased reagent volumes required due to the immobilization of the molecules on a surface (Mardis 2013), next generation sequencing is significantly less costly and labour intensive than Sanger-based sequencing (Shendure and Ji 2008).

The predominant NGS platforms all utilize sequencing-by-synthesis (Inglis et al. 2012), which involves a step-wise reaction: nucleotide addition, nucleotide detection, and washing to remove fluorescent labels (Mardis 2013). Common to all sequencing-by-synthesis technologies (Table 1) is that PCR amplicons from a single molecule become spatially clustered, allowing for a signal bright enough to be detected upon nucleotide addition (Shendure and Ji 2008).

The first NGS method to become commercially available was 454 pyrosequencing, wherein each of the four nucleotides is added sequentially, and nucleotide additions release a pyrophosphate, which is detected by luciferase activity. The main source of error associated with pyrosequencing is indels resulting from misread homopolymer runs (Hutchison 2007; Shendure and Ji 2008). Illumina uses reversible chain-terminating nucleotides that are unblocked when the nucleotide-

specific fluorescent tag is removed by washing. This suffers from increased base substitution errors due to the modified bases (Hutchison 2007). SOLiD (Supported Oligonucleotide Ligation and Detection) differs from 454 and Illumina in that it uses sequencing by ligation instead of by synthesis and as a result every base is matched to a probe twice, resulting in greater accuracy but shorter read lengths and longer run times (Hutchison 2007).

Table 1. Comparison of commonly used next-generation sequencing technologies as of 12/2013 from manufacturers' websites.

|                        | Run time  | Read length<br>(average) | # of single reads<br>(amplicon) | cost per run |
|------------------------|-----------|--------------------------|---------------------------------|--------------|
| Illumina MiSeq         | ~65 hours | 2 x 300 bp               | 25 million                      | ~\$1000      |
| 454 GS Jr.             | ~10 hours | ~400 bp                  | 70000                           | ~\$1000      |
| SOLiD 5500<br>Wildfire | 10 days   | 2 x 50 bp                | 1.2 billion                     | ~\$2500      |

[http://res.illumina.com/documents/products/datasheets/datasheet\\_miseq.pdf](http://res.illumina.com/documents/products/datasheets/datasheet_miseq.pdf), <http://www.gsjunior.com/instrument-workflow.php>, [http://www3.appliedbiosystems.com/cms/groups/global\\_marketing\\_group/documents/generaldocuments/cms\\_088661.pdf](http://www3.appliedbiosystems.com/cms/groups/global_marketing_group/documents/generaldocuments/cms_088661.pdf)

The output of next-generation targeted amplicon sequencing is a complex dataset that requires extensive downstream analysis to produce interpretable results (Inglis et al. 2012).

Bioinformatics tools are available to aid in this analysis. These tools include the software package QIIME (Quantitative Insights Into Microbial Ecology), a tool that takes raw sequence data and performs Operational Taxonomic Unit (OTU) picking, taxonomic assignment, and downstream statistical analysis (Caporaso et al. 2010). More recently, AXIOME (Automation, eXtension, and Integration Of Microbial Ecology) was produced to streamline QIIME (as well as mothur, another microbial analysis package; Lynch et al. 2013).

Next generation sequencing is susceptible to a variety of biases. Multiplex sequencing allows for parallel sequencing of multiple samples using unique, sample-specific “barcodes” that are added to the fragment to be sequenced. These barcodes can be added by ligation, but modified “barcoded primers” are more commonly employed as they introduce less bias (Alon et al. 2011). Berry and colleagues used 11 different barcoded primers in triplicate on the same sample from the mouse gut lumen and demonstrated that these primers still result in less reproducible data sets than primers that are not barcoded, and the bias they introduce cannot be predicted from secondary structure of the primer (Berry et al. 2011).

Sequencing results are biased by the method employed for DNA extraction (Martin-Laurent et al. 2001) and sample storage conditions (Cardona et al. 2012). Frozen samples maintain the highest alpha diversity and differ least in beta diversity (Rubin et al. 2013). Low concentration samples are particularly susceptible to bias due to the increased impact of stochastic processes during PCR (Chandler et al. 1997).

### *1.3 Hypotheses and objectives*

#### *1.3.1 Sensitivity and clustering behaviour of fingerprinting and sequencing*

DGGE is known to be capable of distinguishing species that represents as little as ~1% of the sample being analyzed (Green et al. 2010; Muyzer et al. 1993). Samples included in clustering analysis must also be from similar sources because of the comigration of bands of different sequences, and the possibility of a single species forming multiple bands due to multiple variable 16S rRNA operons. Although DGGE has been previously used in the analysis of the human microbiota (Kinross et al. 2008; Scanlan et al. 2006), its sensitivity and clustering behaviour

have not been directly compared to those of NGS. One of the objectives of this thesis is to compare the sensitivity and clustering behaviour of DGGE to that of Illumina sequencing in the analysis of the human microbiome. The sensitivity of DGGE is hypothesized to be limited to abundant organisms and this will be tested by comparing the relative abundance of picked DGGE bands to their respective OTU within samples. DGGE bands are also hypothesized to not cluster well by sample type across all samples because of the lack of a direct relationship between bands and their corresponding species within a sample.

### *1.3.2 Reproducibility of fingerprinting and sequencing*

Both DGGE and Illumina sequencing are subject to the biases inherent in PCR amplification, one of which is a result of stochastic fluctuations and therefore non-reproducible. The pooling of replicate PCR amplifications has been suggested in order to minimize the effect of this bias and thereby increase the reproducibility of the technique being used subsequently to PCR (Inglis et al. 2012; Polz and Cavanaugh 1998). The impact that pooling has on increasing the reproducibility of fingerprinting and next generation sequencing methods has not been demonstrated. The reproducibility of DGGE is hypothesized to not be impacted by pooling because the bias is expected to not have a large enough effect to be apparent in abundant species. The reproducibility of Illumina sequencing is similarly hypothesized to be not substantially effected by pooling, though the bias will be more apparent than when using DGGE. Template concentration is hypothesized to be positively correlated with reproducibility.

## **Chapter 2. Methods**

### *2.1 Assessment of sensitivity and clustering behaviour of fingerprinting and sequencing*

#### *2.1.1 Sampling*

As described previously (Stearns et al. 2011), all samples used were collected from four healthy adults: two men and two women. Stool samples were frozen at -20°C immediately after collection. Within 24 hours of stool sample collection, each subject was prepared for colonoscopy by Klean Prep. Oral biofilm was collected from supragingival plaque, subgingival plaque, and the tongue prior to gastroscopy and colonoscopy. Biopsies of the transverse colon, sigmoid colon, rectum, gastric antrum, gastric body, and duodenum were collected during gastroscopy and colonoscopy. All samples were stored at -80°C prior to DNA extraction. Samples collected were used in a previous study on the bacterial biogeography of the human gastrointestinal tract (Stearns et al. 2011).

#### *2.1.2 DNA extraction*

DNA was extracted from 500 µL of sample storage buffer (or 0.25 g of stool) of each sample using the PowerSoil DNA Isolation Kit (MoBio) according to the manufacturer's instructions with minor modifications: the addition of a 40 second bead-beating step and heating to 70°C for 10 minutes prior to the contaminant binding step. Purified DNA was run on a 1% agarose gel for densitometric quantification, and spectrophotometrically quantified using a Nanodrop 1000 (Thermo Scientific, USA).

### 2.1.3 PCR

A nested PCR protocol was used to amplify the V3 region of the 16S rRNA gene. The first round used primers 27F and 1492R (Stackebrandt and Goodfellow 1991) and 25 cycles were used: 5 minutes at 98°C, 25 times 1 minute at 98°C followed by 1 minute at 55°C then 2 minutes at 72°C, and finally 7 minutes at 72°C. The second round used modified 341F and 518R primers (Muyzer et al. 1993) containing a six-base barcode, the Illumina adapter sequence, and regions for binding of the sequencing primers (Bartram et al. 2011). The number of cycles for the second round was 20: 5 minutes at 98°C, 20 times 30 seconds at 98°C followed by 1 minute at 55°C then 1 minute at 72°C, and finally 7 minutes at 72°C. Each reaction mixture was prepared in a 25- $\mu$ L volume consisting of the following components: 5  $\mu$ L Phusion buffer, 0.05  $\mu$ L dNTPs, 0.05  $\mu$ L of each primer, 0.25  $\mu$ L Phusion *Taq* polymerase, 1.5  $\mu$ L BSA (10 mg/mL), 1  $\mu$ L template, and 18.6  $\mu$ L PCR water. A separate PCR was performed for use in DGGE except that the forward primer was 341F-GC.

### 2.1.4 Illumina library construction

Triplicate PCR amplifications were pooled for each sample and gel purification was performed to remove primers and primer dimers by separating them on a 2% agarose gel and using a QIAquick Gel Extraction Kit (Qiagen, Mississauga, Ontario, Canada). The products for each sample were mixed in equal nanogram amounts, and quantified using a NanoDrop ND2000 spectrophotometer (Thermo Scientific, Wilmington, DE) before being sequenced in two lanes of a Genome Analyzer IIX at the Plant Biotechnology Institute (National Research Council Canada;

Saskatchewan, Canada) using paired-end multiplex sequencing as previously described (Bartram et al. 2011).

#### *2.1.5 Denaturing gradient gel electrophoresis*

DGGE used a 30% to 70% denaturing gradient in 10% acrylamide gels that were run for 15 hours at 85V with 10 ng of PCR product loaded in each lane. Gels were stained with SYBR green and imaged using a PharosFX Plus Molecular Imager (Bio Rad, USA). A total of 84 bands were picked (Fig. 1) and sequenced using single-pass Sanger sequencing (Beckman Coulter Genomics, USA).

#### *2.1.6 Indicator species analysis*

DGGE images were analysed in Gelcompar II (Applied Maths, USA) where bands were bands and band classes were automatically assigned and manually curated. Band class data was imported into PC-ORD (McCune et al. 2002) where Dufrene-Legendre indicator species analysis was conducted (Dufrene and Legendre 1997). The same analysis was conducted on sequencing data in QIIME through AXIOME (Caporaso et al. 2010; Lynch et al. 2013).

### *2.2 Assessment of reproducibility of fingerprints and sequencing*

#### *2.2.1 Sample selection*

Two of the stool samples used in the previous analysis were selected to assess reproducibility of sequencing and fingerprinting. Two soil samples were also selected from the Canadian

MetaMicrobiome Library (CM<sup>2</sup>BL; Neufeld et al. 2011): one from a temperate deciduous forest (6TD) and one from an agricultural soybean field (10AS). These soil samples were selected because of their varying land usage origins and soil chemistry profiles, most notably pH (6.4 for 6TD and 7.6 for 10AS). The soil samples were included to evaluate the effect of varying levels of diversity within a sample on reproducibility because soils are much more diverse than stool.

### *2.2.2 DNA extraction*

Five extractions for each sample were conducted and then pooled. Each extraction was performed on 0.25 g of sample using the PowerSoil DNA Isolation Kit (MoBio) according to the manufacturer's instructions with minor modifications: the addition of a 40 second bead-beating step at 5 m/s using a FastPrep-24 (MP Bio, USA) and heating to 70°C for 10 minutes prior to the contaminant binding step. An ethanol precipitation concentrated and purified the DNA. Purified DNA was run on a 1% agarose gel for densitometric quantification, and spectrophotometrically quantified using a Nanodrop 1000 (Thermo Scientific, USA) and using Qubit fluorometric quantification (Life Technologies, USA).

### *2.2.3 Sample preparation*

Each sample was diluted to create a high and low concentration template. Samples S3, 6TD, and 10AS were diluted to 10 ng/μL and 0.1 ng/μL and sample S1 was diluted to 5 ng/μL and 0.1 ng/μL. For each of the 8 samples (S1 high, S1 low, S3 high, S3 low, 6TD high, 6TD low, 10AS high, 10AS low) 20 reactions were performed (Table 2). Of these, 5 were not-pooled and the remaining were pooled in triplicate, resulting in 5 pooled products and 5 not pooled products for



each sample. SX replicates (Table 2) are from sample S3 but are separated in order to assess cross-lane variability during sequencing.

Table 2. Summary of sample replicates and conditions. Numbers correspond to Illumina barcode tag used for sequencing, but were also used for DGGE with the exclusion of SX replicates.

|   | S1                    | 6TD                   | 10AS                  | S3                    | SX            |
|---|-----------------------|-----------------------|-----------------------|-----------------------|---------------|
| Pooled, high template concentration     | 1, 2, 3, 4, 5         | 11, 12, 13,<br>14, 15 | 21, 22, 23,<br>24, 25 | 31, 32, 33,<br>34, 35 | 81, 82,<br>83 |
| Pooled, low template concentration      | 6, 7, 8, 9,<br>10     | 16, 17, 18,<br>19, 20 | 26, 27, 28,<br>29, 30 | 36, 37, 38,<br>39, 40 | 84, 85,<br>86 |
| Not pooled, high template concentration | 41, 42, 43,<br>44, 45 | 51, 52, 53,<br>54, 55 | 61, 62, 63,<br>64, 65 | 71, 72, 73,<br>74, 75 | 87, 88,<br>89 |
| Not pooled, low template concentration  | 46, 47, 48,<br>49, 50 | 56, 57, 58,<br>59, 60 | 66, 67, 68,<br>69, 70 | 76, 77, 78,<br>79, 80 | 90, 91,<br>92 |

<sup>a</sup>. No-template controls 93, 94, 95, 96

#### 2.2.4 PCR

Reactions were performed on the same thermocycler, a CFX96 Touch Real Time PCR Detection System (Bio Rad, USA), in randomized 96-well plates to limit bias due to possible thermal profile variations across wells. The entire procedure was performed twice on two separate days to assess possible variability introduced by sample manipulation. SX samples were excluded on one of the days in order to run identical products from these samples on both sequencer lanes.

The combined V3-V4 regions were amplified using modified 341F and 816R primers containing a six-base barcode, the Illumina adapter sequence, and regions for binding of the sequencing primers (Bartram et al. 2011). The number of cycles was 30: 30 seconds at 95°C, 30 times 15

seconds at 95°C followed by 30 seconds at 50°C then 30 seconds at 68°C, and finally 5 minutes at 68°C. Each reaction was done in a 25- $\mu$ L volume consisting of the following components: 2.5  $\mu$ L Thermal Polymerase buffer (10x; NEB), 0.05  $\mu$ L dNTPs (100  $\mu$ M), 0.05  $\mu$ L 341F primer (100  $\mu$ M), 0.5  $\mu$ L 816R primer (10  $\mu$ M), 0.125  $\mu$ L *Taq* polymerase, 1.5  $\mu$ L BSA (10 mg/mL), 1  $\mu$ L template, and 19.3  $\mu$ L PCR water. A separate PCR was performed for use in DGGE with slight modification: the forward primer 341F-GC, the reverse primer was 518R, both were 100  $\mu$ M, and both were added in 0.05  $\mu$ L volume to each reaction.

### *2.2.5 Illumina library construction*

Products for each sample were gel purified to remove primers and primer dimers by separating them on a 1% agarose gel and using a QIAquick Gel Extraction Kit (Qiagen, Mississauga, Ontario, Canada). The products for each sample were mixed in equal nanogram amounts, and quantified using a NanoDrop ND2000 spectrophotometer (Thermo Scientific, Wilmington, DE) before being sequenced in two lanes of an Illumina MiSeq at Argonne National Labs (Lemont, IL) using paired-end sequencing as previously described (Bartram et al. 2011).

### *2.2.6 DGGE*

Products were separated into those with high template concentration and those with low template concentration. Within these groups, products from each sample were distributed across multiple DGGE gels to reduce bias due to gel effects. For low template concentration gels approximately 15 ng of PCR product was loaded into each well. For high template concentration gels

approximately 30-60 ng of PCR product was loaded into each well. No gels had both high and low template concentration samples and therefore this difference in amount of PCR product loaded was compensated for by the exposure time during image capture. DGGE used a 30% to 70% denaturing gradient in 10% acrylamide gels that were run for 15 hours at 85V. Gels were stained with SYBR green and imaged using a PharosFX Plus Molecular Imager (Bio Rad, USA).

### *2.2.7 Data analysis*

DGGE fingerprints were aligned in Gelcompar II (Applied Maths, USA) with bands and band classes assigned automatically and manually curated. A UGPMMA dendrogram of the fingerprints was created in Gelcompar II using Pearson correlations. DGGE data were exported and analysed in PC-ORD (McCune et al. 2002) wherein indicator species analysis was performed (Dufrene and Legendre 1997), in addition to PCoA (Gower 2005) using a Bray-Curtis distance metric, NMS (Kruskal 1964) using a Pearson correlation, and MRPP.

Sequencing data were clustered using CD-HIT (version 4.5.4; Li and Godzik 2006) and trees were built using FastTree2 (version 2.1.3; Price 2010) through AXIOME. Indicator species analysis, NMS, MRPP, and PERMDISP were carried out through AXIOME with NMS being performed for all samples together, and both NSM and PERMDISP being performed for each sample group individually (S1, S3, 6TD, 10AS, SX). All samples were rarefied to the fewest number of sequences in a sample within each analysis.

## **Chapter 3. Results and discussion**

### *3.1 Assessment of sensitivity of DGGE fingerprinting and Illumina sequencing*

#### *3.1.1 Relative abundance of bands within community*

DGGE can provide an immediate visual representation of complex microbial communities, but it suffers from a lack of sensitivity. Bands must be visible to be included in analyses so low abundance organisms are not detected if the intensity of their band's fluorescence is less than that of the background. This study used human microbiome samples to compare the sensitivity of DGGE to that of Illumina sequencing by matching bands that were picked and sequenced to OTUs within their respective Illumina library and calculating the proportion of that sample that the picked band represented.

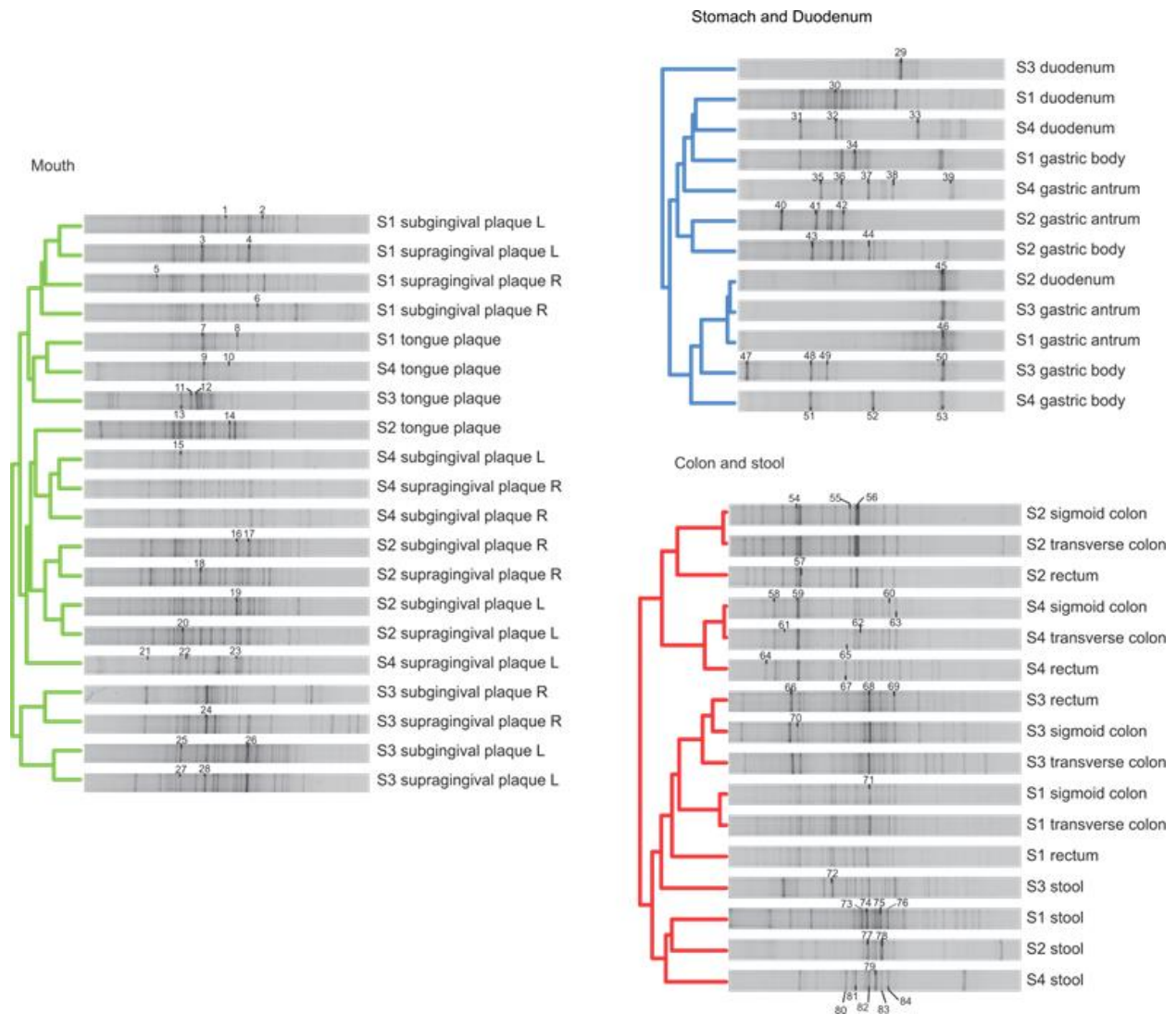


Figure 1. UPGMA dendrograms of DGGE fingerprints of human microbiome samples with picked bands numbered. Samples were taken from subjects 1-4 (S1-S4; Stearns et al. 2011).

DGGE fingerprints of samples from 4 subjects showed the varying levels of microbial diversity found across 12 sites in the gastrointestinal tract. Stomach and duodenal communities had very few bands (Fig. 1), which corroborates previous accounts of low diversity in these environments (Bik et al. 2006; Zoetendal et al. 2008). All bands picked were relatively prominent within their sample.

Bands picked (bands 1-84 in Fig. 1) from each sample were sequenced and matched at 100% sequence identity with an OTU in their respective Illumina library. The proportion of sequences in its sample that the matched OTU represents was plotted on a log scale (Fig. 2). Picked sequences that did not match with any OTU in the sample are represented by vertical grey bars. As well as not matching an OTU at 100%, these unmatched bands did not have any close matches within their respective samples. Out of bands that were matched with an OTU, 48 of 56 represented an OTU with 1% of more of the sequences in their sample. Almost all of the bands that did not match with an OTU in their Illumina library were gastric samples. As the same extracted DNA was used for both the DGGE and the Illumina PCRs, this cannot be due to problems with DNA extraction specific to gastric samples. It could be a result of differences in amplification efficiencies of the primers used for PCR because distinct primer modifications (GC-clamp or barcode) are used for DGGE and Illumina. This bias may be most apparent in the gastric samples due to their low diversity, or due to inhibitors present in extracted gastric DNA that preferentially affect either *Taq* (used for DGGE) or Phusion (used for Illumina libraries for high fidelity) polymerase.

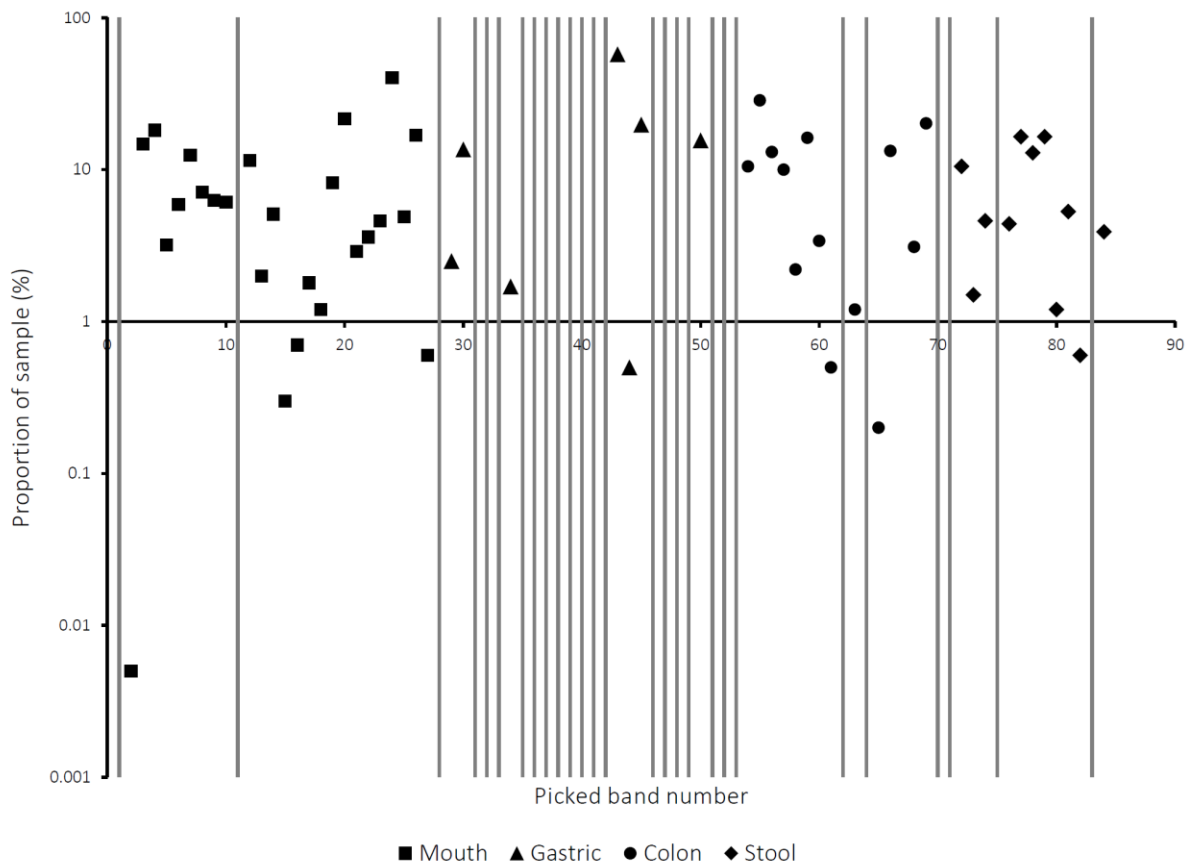


Figure 2. Proportion of sample represented by picked bands (1-84). Picked band sequences that did not match to an OTU in their respective Illumina library are represented by vertical grey bars.

### 3.1.2 Indicator species analysis

Indicator species analysis is commonly used with human microbiome studies to identify microorganisms that are associated with pathologies (Mager et al. 2005; Russell et al. 2012). These pathologies are rarely the result of a single microorganism, so to fully describe them the input data for indicator species analysis must come from techniques with higher sensitivity. Indicator Values (IV) are assigned according to the fidelity (proportion of the species that are

within a given group) and specificity (proportion of samples within the group that contain the species; (Dufrene and Legendre 1997). An indicator value of 1 would be assigned to a perfect indicator species that is always present in the group in question and never present in other groups. Groups are assigned *a priori*. In this case multiple sets of groups were examined in order to account for varying niche breadth (De Cáceres et al. 2010): general site (mouth, stomach, bowel, stool), specific site (each of the 13 gastrointestinal sites), and subject (S1, S2, S3, S4).

Indicator species analysis was run on DGGE profiles in PC-ORD and Illumina data in AXIOME. The number of indicator species with an indicator value greater than or equal to 0.5 with a *p* value equal to or less than 0.01 was tallied for both methods (Table 3). The number of indicator bands from DGGE for subjects is also higher than the number for specific site. This is not an expected result; microbial community composition should vary more by site than by subject. This is also contradicted by the Illumina data.

Table 3. Number of indicator species ( $IV \geq 0.5$  and  $p \leq 0.01$ ) found using DGGE (wherein bands stand in for species) and Illumina (wherein OTUs stand in for species)

|          | General Site | Specific Site | Subject |
|----------|--------------|---------------|---------|
| DGGE     | 5            | 2             | 3       |
| Illumina | 2225         | 1707          | 53      |

General site: mouth, gastric, colon, or stool; Specific site: subgingival plaque left, subgingival plaque right, supragingival plaque left, supragingival plaque right, tongue, gastric antrum, gastric body, duodenum, transverse colon, sigmoid colon, rectum, and stool; Subject: subject 1, subject 2, subject 3, subject 4.



Using BLAST, the sequences of the indicator bands from DGGE were compared against a database of indicator species generated in AXIOME from Illumina data. All indicator bands from DGGE matched with an Illumina indicator OTU except for one of the two “specific site” indicator bands, which had not been picked and sequenced.

### *3.2 Clustering behaviour of data from DGGE fingerprinting and Illumina sequencing*

Principal coordinates analysis (PCoA) is a form of multidimensional scaling that seeks to position objects in fewer dimensions while maintaining their distances as accurately as possible (Gower 2005). Non-metric multidimensional scaling (NMS) instead prioritizes representation in two dimensions with the goal of plotting similar objects together and dissimilar objects apart; the variation explained by the two axes is not necessarily maximized (Kruskal 1964). Samples were plotted using PCoA with a Bray-Curtis dissimilarity metric (Bray and Curtis 1957) for both Illumina data (Fig. 3A) and DGGE data (Fig. 3C). Illumina samples clustered by general sampling location, with colon and stool samples separating from gastric and mouth samples more than from each other. If UniFrac, which is based on phylogenetic distances (Lozupone and Knight 2005), is used as a distance metric (Fig. 3B), these patterns are still apparent. In contrast, DGGE samples do not clearly separate by general sampling location when using either PCoA with a Bray-Curtis dissimilarity metric (Fig. 3C), or NMS with Pearson correlation (Fig. 3D).

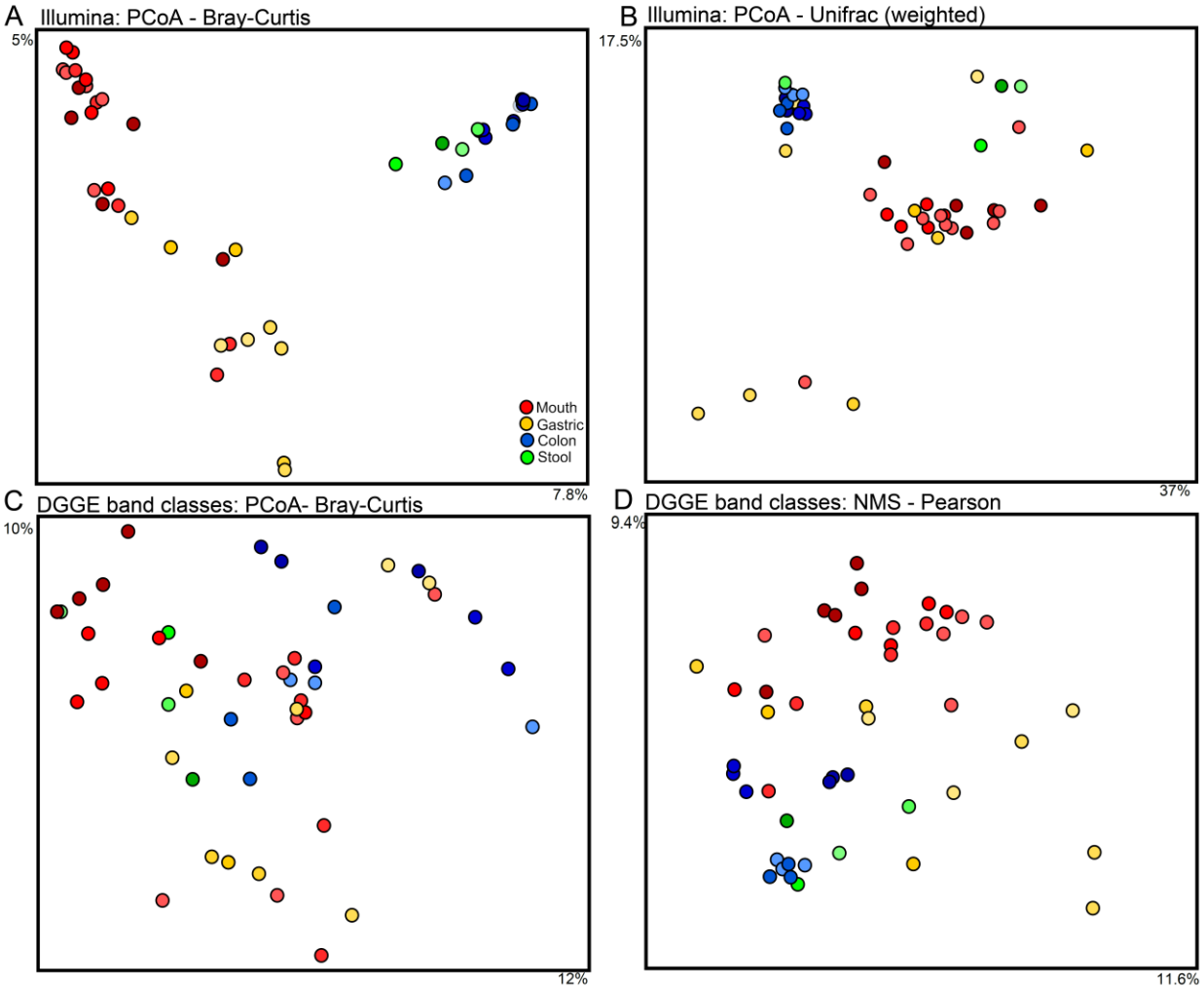


Figure 3. Ordination of DGGE and Illumina data using both PCoA and NMS. Shades of each colour indicates from which subject samples originate (S1-S4 corresponds to dark to light).

One of the weaknesses of DGGE is that one band can correspond to multiple species and one species doesn't necessarily result in one band (Green et al. 2010). Fragments with different sequences can co-migrate to the same position on a gel, and because some bacteria possess multiple 16S rRNA genes two or more bands can result from the same species. As a result of this DGGE fingerprints cannot be compared across different communities: comparisons must be “apples to apples” with samples being measured either over time, or with changing treatments, rather than between unrelated samples.

The poor performance of DGGE for clustering samples based on distinct body sites is evident in both PCoA and NMS plots when compared to ordinations obtained using sequencing data (Fig. 3). This result is confirmed by Multi-Response Permutation Procedure (MRPP), which tests the degree of within group homogeneity (effect size,  $A$ ) and the amount separation between groups (test statistic,  $T$ , where more negative indicates greater separation; Mielke Jr et al. 1976). MRPP was performed on both Illumina data and DGGE data using *a priori* groupings based on the general location from which a sample was obtained (mouth, gastric, colon, and stool). The effect size of *a priori* groups for Illumina data ( $A=0.14$ ) is much greater than that for DGGE data ( $A=0.02$ ) meaning that groups using Illumina data have much greater within-group homogeneity than those using DGGE data. The separation between groups using Illumina data ( $T=-23.45$ ) is similarly much greater than groups using DGGE data ( $T=-5.73$ ). Groups using data obtained from Illumina have both greater homogeneity within each group and greater separation between groups.

### *3.3 Assessment of reproducibility of DGGE fingerprint and Illumina sequencing data*

Polymerase chain reaction (PCR) is susceptible to two types of bias: selection and drift.

Selection results from variable amplification efficiencies that are inherent in a given sample and drift is a result of stochastic fluctuation and therefore non-reproducible. Polz and Cavanaugh performed PCR on mixtures of known concentrations of genomic DNA from different species to determine the relative impact of bias due to selection and drift. Though bias due to drift is less than that due to selection when template concentrations are high, PCR amplifications are usually pooled in triplicate to minimize the effect of drift (Polz & Cavanaugh, 1998).

#### *3.3.1 Impact of pooling triplicate reactions on DGGE*

##### *3.3.1.1 Tree construction*

The DGGE patterns of all samples (S1 stool, S3 stool, 6TD soil, 10AS soil) were aligned in Gelcompar II (Applied Maths, USA). Both bands and band classes were automatically assigned and manually curated. A UPGMA dendrogram was generated using Pearson correlations (Fig. 4). Both stool samples grouped strongly by sample whereas the two soil samples separated from the stool samples, but not from each other. The high diversity of the soil samples caused Gelcompar to have difficulty discerning discrete fingerprint bands and resulted in this lack of separation. The pooling of triplicate amplifications did not result in any improvement in tree formation over non-pooled amplifications: pooled samples do not separate from non-pooled (Fig. 4). Soil replicates that do group by sample appear to generally have had high template concentration.

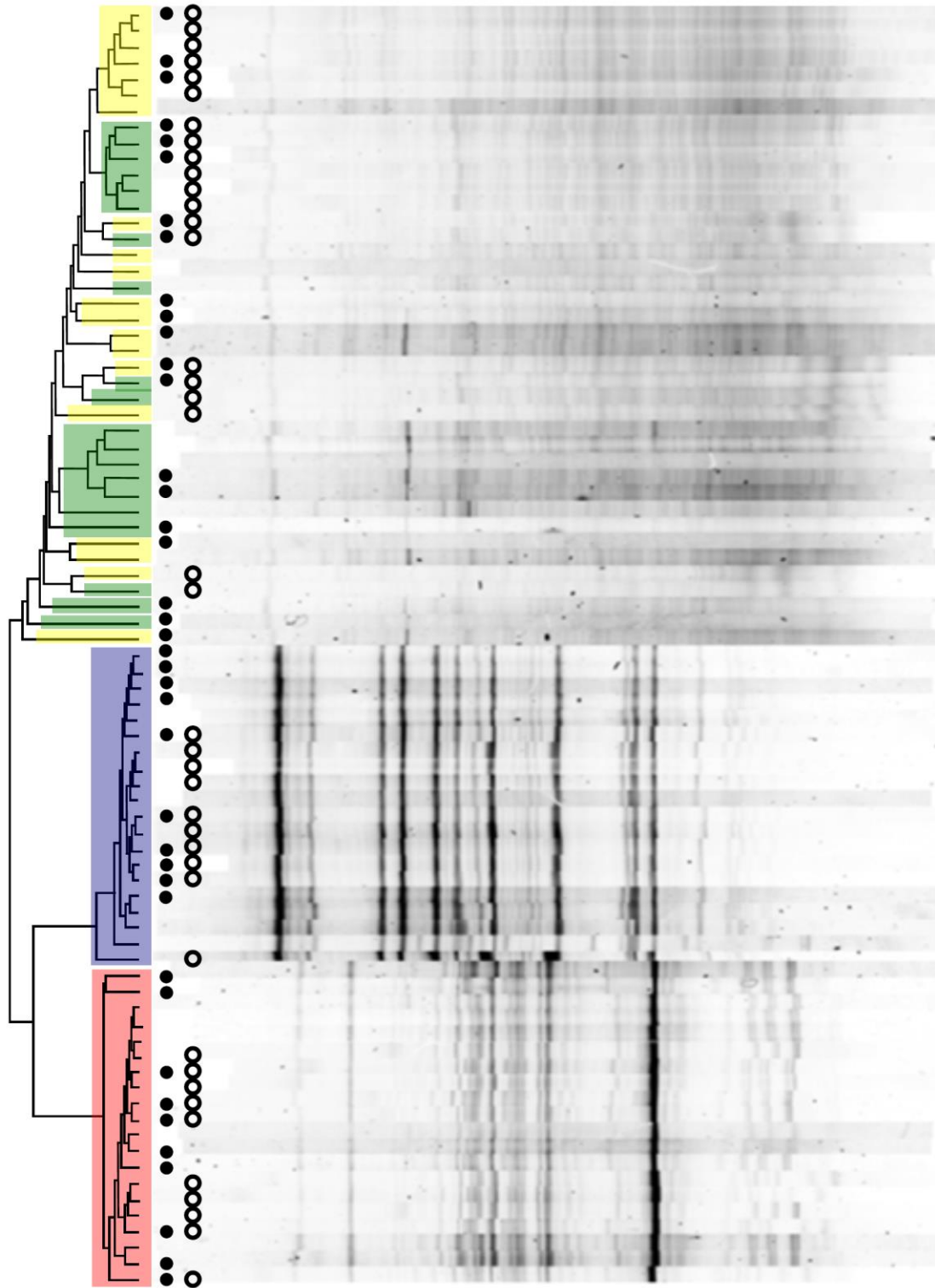


Figure 4. UPGMA dendrogram of replicate DGGE fingerprints shows that stool samples separate clearly, whereas soil samples do not. Replicates that grouped by sample are coloured: S1 in red, S3 in blue, 10AS in yellow, 6TD in green. Pooled samples are indicated by a black circle and high template concentration samples are indicated by a white circle.

### 3.3.1.2 Multi-response permutation procedure (MRPP)

To further test the effect of pooling PCR amplifications or analyzing individual reactions with DGGE fingerprints, I used MRPP analysis. MRPP tests the hypothesis that there is no difference between two *a priori* groups, assigned by the user. In MRPP, the T statistic is a measure of separation between groups: a more negative value means a stronger separation (Mielke Jr et al. 1976). The effect size is provided by the chance-corrected within group agreement ( $A$ ) which is a measure of within-group homogeneity. If all samples within each group are identical (i.e.,  $A=1$ ), and if the within group homogeneity is equal to what would be expected by chance (i.e.,  $A=0$ ). The groups assigned in this case were the four samples: S1, S3, 6TD, and 10AS. Pooled and not-pooled samples were compared independently. The separation and scatter of pooled samples ( $A=0.40$  and  $T=-21.5$ ) was the same as for not-pooled samples ( $A=0.40$  and  $T=-21.4$ ). The average within-group distances for each group in the analyses for pooled and not-pooled samples demonstrate no consistent effect of pooling (Fig. 5), indicating that pooling replicate reactions doesn't increase the accuracy of DGGE, because pooled samples do not cluster more tightly than samples that were not pooled.

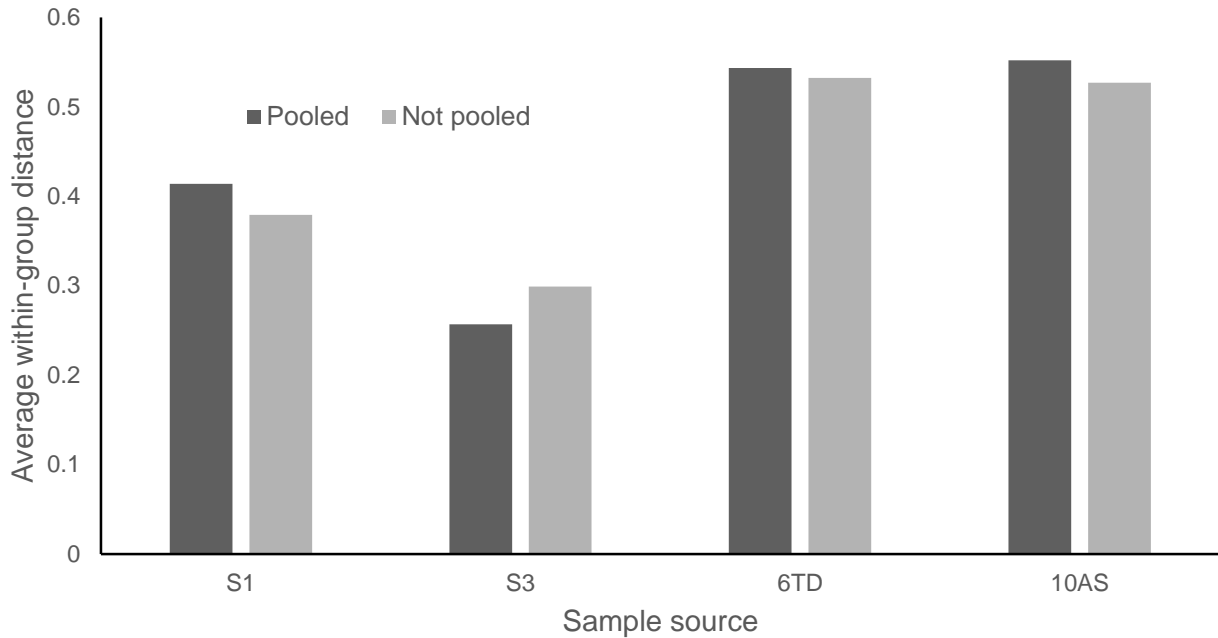


Figure 5. Average within-group distances from MRPP for pooled and not-pooled replicates when grouped by sample type shows no consistent effect of pooling.

### 3.3.2 Impact of Pooling on Sequencing

#### 3.3.2.1 Impact on Ordination using NMS and MRPP

All samples that contributed fewer than 4000 sequences were removed from further analysis (Table 4; Supplementary Table 1). As the samples removed are fairly evenly distributed between high and low template concentration, sample source (S1, S3, 6TD, 10AS), and pooled and non-pooled samples, their removal should not introduce bias to further analyses. The average number of sequences contributed by a sample was 32000, ranging from 4100 to 143000.

Table 4. Summary of samples removed from analyses due to low number of sequences contributed.

| Lane | Barcode | Sample | Pooled | Template Concentration | Barcode | Sequences Contributed |
|------|---------|--------|--------|------------------------|---------|-----------------------|
| 1    | V4_7R   | S1     | Yes    | low                    | CAGATC  | 222                   |
| 1    | V4_40R  | S3     | Yes    | low                    | CATCTA  | 257                   |
| 1    | V4_45R  | S1     | No     | high                   | GAGAAT  | 3699                  |
| 1    | V4_46R  | S1     | No     | low                    | CTCAAT  | 2279                  |
| 1    | V4_48R  | S1     | No     | low                    | TTGCAT  | 1835                  |
| 1    | V4_52R  | 6TD    | No     | high                   | AGTGTT  | 277                   |
| 1    | V4_64R  | 10AS   | No     | high                   | TAATCG  | 507                   |
| 1    | V4_69R  | 10AS   | No     | low                    | AGATTC  | 3006                  |
| 1    | V4_71R  | S3     | No     | high                   | TGCGAA  | 147                   |
| 2    | V4_3R   | S1     | Yes    | high                   | TTAGGC  | 0                     |
| 2    | V4_40R  | S3     | Yes    | low                    | CATCTA  | 458                   |

NMS plots were generated using Bray Curtis dissimilarity. When all sample replicates were analyzed together, they clustered very clearly by sample (Fig. 6), regardless of whether samples are pooled or not pooled. Within sample type, stool samples are closer to each other than to soil samples, and soil samples are closer to each other than to stool samples. Pooled and not pooled samples were compared using MRPP: for pooled samples  $A=0.64$  and  $T=-45.1$ ; for not pooled samples  $A=0.58$  and  $T=-41.1$ . This indicates that pooling samples may result in greater within-group homogeneity and greater separation between groups, though this difference is not large.



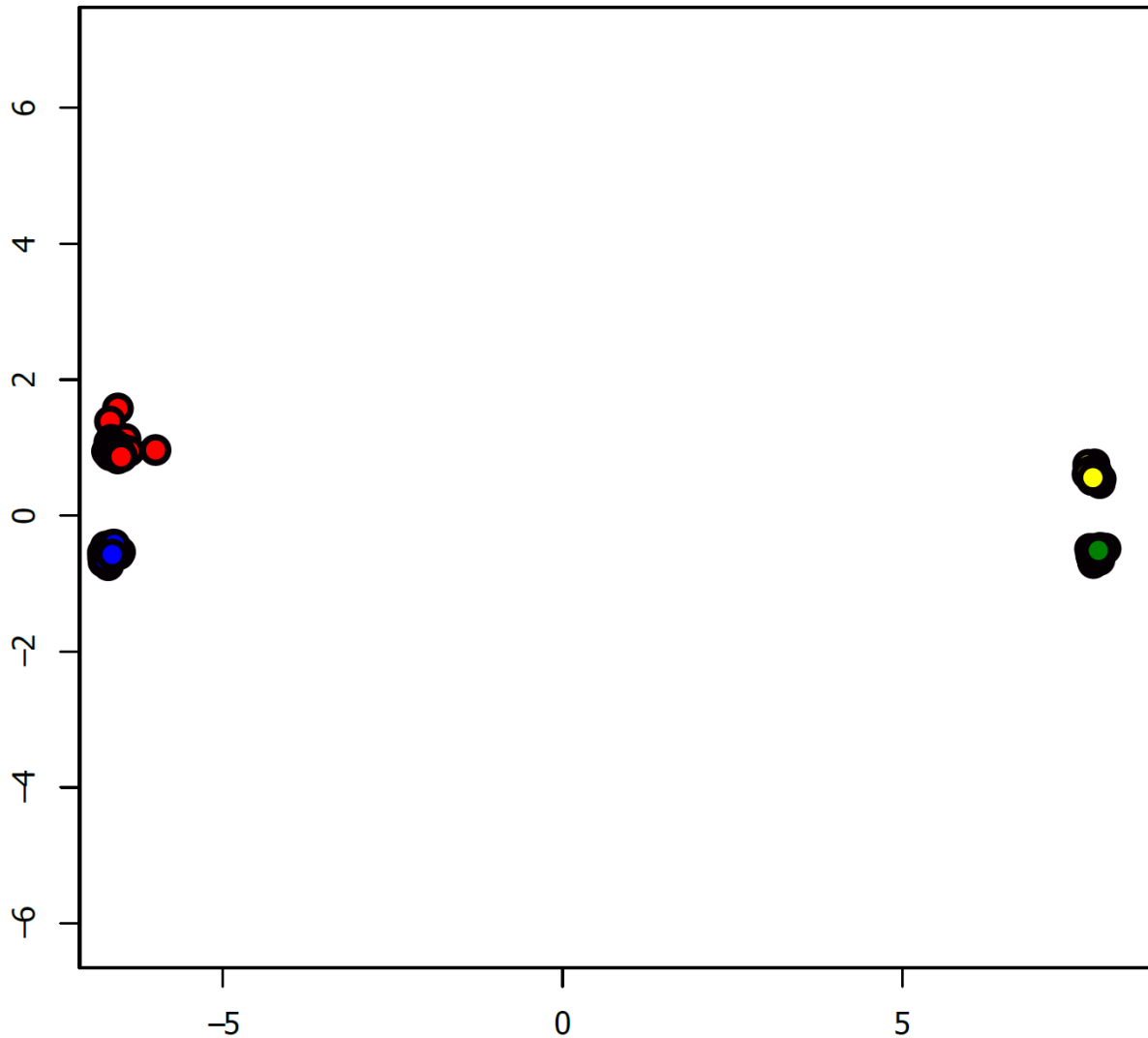


Figure 6. NMS (Bray Curtis dissimilarity) of all sample replicates from Illumina data shows replicates clustering very strongly by sample: S1 (red), S3 (blue), 6TD (green), and 10AS (yellow).

### 3.3.2.2 NMS and PERMDISP within each sample

Each sample was analyzed independently using AXIOME in order to examine differences that were not visible when all samples were analyzed together. Each amplification originates from the same DNA extract, therefore each point should overlap ideally. However, because PCR and sequencing are susceptible to bias there is variation between replicate amplifications of the same

samples. Techniques that minimize bias will result in replicate amplifications clustering more tightly whereas techniques that increase bias will increase the variance between replicate amplifications. As the groups being analyzed within samples are not truly different samples, MRPP is not an appropriate technique to use: it provides a measure of the average homogeneity within groups across all groups rather than comparing the homogeneity of each group.

NMS was also performed on each sample for each grouping (Fig. 7): template concentration (high and low), pooling (pooled and not pooled), and lane (lane 1 and lane 2). The most apparent difference in variance was between high and low template concentrations of the two soil samples (6TD and 10AS), with the high template concentration samples clustering more tightly than low template concentration. This pattern was also present in stool samples (S1, S3, and SX), though less clearly so. One possible explanation for this difference between soil and stool samples is that soils are a much more diverse environment; a low template concentration may bias amplification more than in a less-diverse sample such as stool. Differences in variance were less apparent between pooled and non-pooled samples. Lane 1 and Lane 2 are included to demonstrate variability introduced by sample manipulation as PCR for each lane was performed on a different day for all samples except SX. Only one PCR was performed for SX and this was sequenced on both lanes to assess variability associated with separate lanes.

Although NMS provides a visual representation of differences in variance between groups, it does not provide quantitative results. Quantitative data were obtained by using the QIIME analysis PERMDISP which tests whether the variances are significantly different between groups (Anderson 2004). Within each group the distance from each sample to the centroid of that

group is calculated and these distances are plotted in box plots (Fig. 8). The largest difference between within-group distances to the centroid was between the high and low template concentration groups. Across all samples high template concentration groups and pooled groups are shown to have lower average distances to the group's centroid than low template concentration groups and not pooled groups. Samples from Lane 1 clustered more closely to the centroid, but this difference was inconsistent across samples, and the spread of distances to the centroid mostly overlaps for both lanes for all samples.

As well as having a lower average distance to the centroid of the group, high template concentration replicates are demonstrated lower variability in distance to centroid than either low template concentration replicates, or pooled replicates. This means that high template concentration replicates are more consistently close to their group's centroid, and therefore high template concentration replicates are more consistently reproducible than either low template concentration replicates, or pooled replicates.

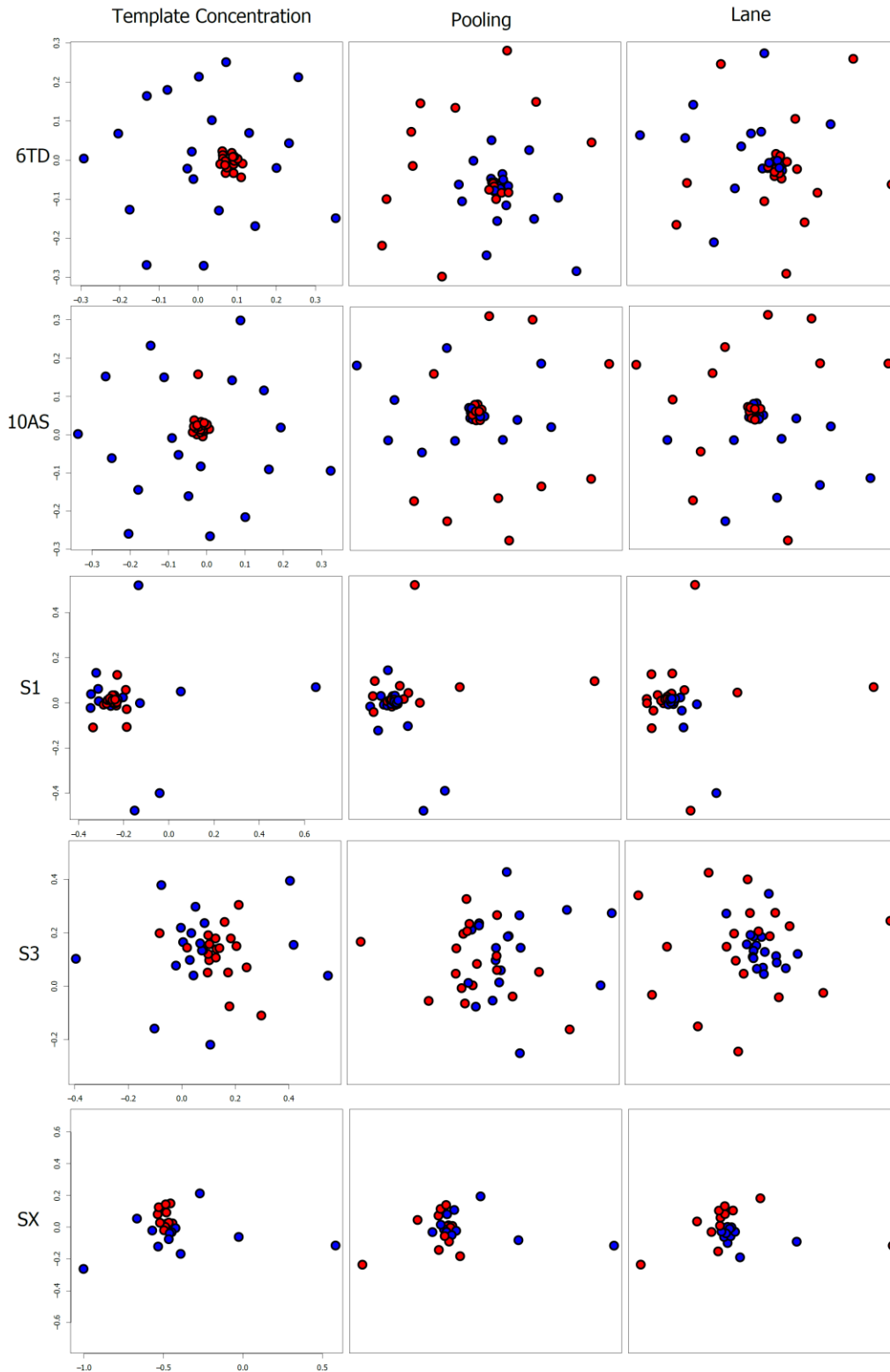


Figure 7. NMS of replicates of each sample using Bray-Curtis dissimilarity. High template concentration, not-pooled, and lane 2 replicates are coloured red, low template concentration, pooled, and lane 1 replicates are coloured blue. Scales repeated across columns from left.

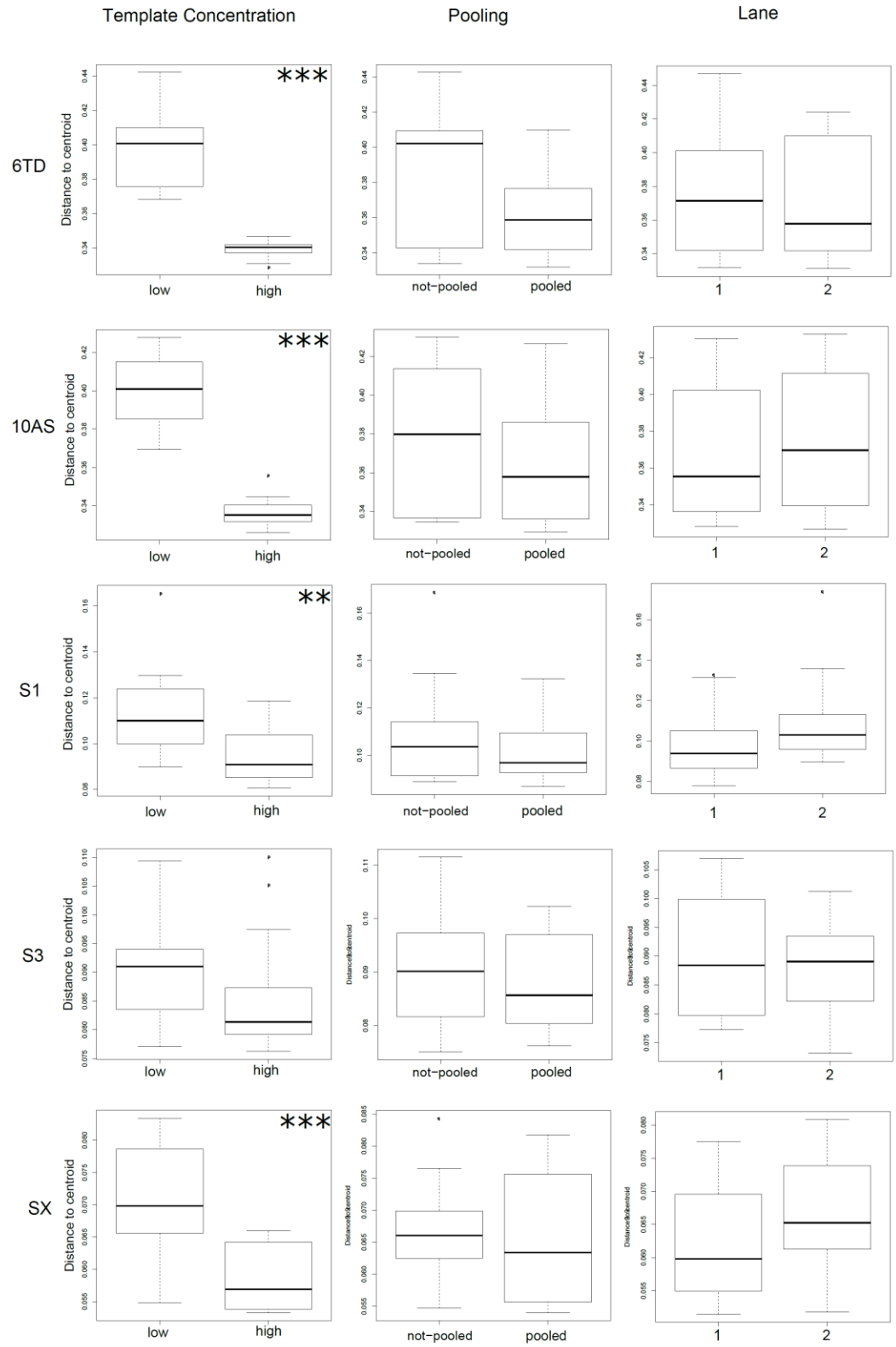


Figure 8. The distance to the centroid of the given group when using NMS ordination is plotted for replicates of each sample. Significance is indicated symbolically: 0-0.001 (\*\*\*), 0.001-0.01 (\*\*), 0.01-0.05 (\*).

Within PERMDISP, Analysis of Variance (ANOVA) was implemented to test the statistical significance of the differences in variance between groups (Table 5). The  $F$  ratio gives the actual variance over the expected variance: if there is no difference between groups it is equal to 1 and the higher the ratio the larger the effect of the group. The  $p$  value is the significance of the difference. The results show that the effect of template concentration is both large and significant for both soil samples, significant for samples S1 and SX, and approaches significance for S3. Neither the effect of pooling nor that of lane is significant. The effect of pooling approaches significance for both soil samples though its effect is much less than that of template concentration. The effect of lane approaches significance for sample S1, but this is likely due to more sample replicates from Lane 1 being removed from analysis due to low sequence counts as this effect is not seen in other samples.

Table 5. Analysis of Variance between groups of replicates of each sample. The F-ratio ( $F$ ) gives the actual variance over the expected and  $p$  gives the significance of the difference. Significance is also indicated symbolically for convenience: 0-0.001 (\*\*\*), 0.001-0.01 (\*\*), 0.01-0.05 (\*).

|      | Template concentration                 | Pooling                       | Lane                          |
|------|--|-------------------------------|-------------------------------|
| 6TD  | $F = 139.59$<br>$p = 6.028e-14$<br>*** | $F = 1.7699$<br>$p = 0.1918$  | $F = 0.1973$<br>$p = 0.6596$  |
| 10AS | $F = 188.2$<br>$p = 7.222e-16$<br>***  | $F = 3.3124$<br>$p = 0.07686$ | $F = 0.0214$<br>$p = 0.8845$  |
| S1   | $F = 11.16$<br>$p = 0.002086$<br>**    | $F = 1.2164$<br>$p = 0.2781$  | $F = 3.1558$<br>$p = 0.08488$ |
| S3   | $F = 3.7378$<br>$p = 0.06132$          | $F = 0.9439$<br>$p = 0.3379$  | $F = 0.2749$<br>$p = 0.6034$  |
| SX   | $F = 17.832$<br>$p = 0.0003501$<br>*** | $F = 0.1687$<br>$p = 0.6852$  | $F = 1.8203$<br>$p = 0.191$   |

### 3.3.2.3 To pool or not to pool

Based on the data produced, pooling does reduce the impact of bias due to PCR drift. However, the impact of pooling triplicate amplifications does not have as great an impact as the concentration of the template DNA.

The impact of pooling in reducing bias is not sufficient to impact the clustering of samples, even when they are from similar sources: both stool samples and soil samples separated from each other clearly and clustered by sample type (soil or stool). Pooling triplicate reactions may help separate very similar samples, such as stool samples from the same individual or soil samples from the same location. Pooling also would be of greater value for samples with low template

concentrations as they are more susceptible to PCR biases than samples with high template concentration. To test this, I ran MRPP on all samples divided into four groups: all non-pooled high template concentration ( $A=0.70$ ,  $T=-21.11$ ); all pooled high template concentration ( $A=0.75$ ,  $T=-23.12$ ); all non-pooled low template concentration ( $A=0.55$ ,  $T=-20.75$ ); all pooled low template concentration ( $A=0.60$ ,  $T=-21.16$ ). As hypothesized, the difference in within-group homogeneity was greater for low template concentration samples than for high template concentration samples ( $\Delta A=0.06$  for low template concentration;  $\Delta A =0.04$  for high template concentration). This is much less than the difference in within-group homogeneity between high template concentration samples and low concentration samples for either pooled or not pooled samples ( $\Delta A =0.15$  for pooled;  $\Delta A =0.16$  for non-pooled). Pooling may also be more important for higher-diversity samples, such as soils, or when examining the rare biosphere.

Caporaso and coworkers questioned whether the decreasing cost of sequencing should result in sequencing samples more deeply or sequencing a larger number of samples and concluded that increasing sequencing depth is not likely to provide additional insight comparable to inclusion of more samples (Caporaso et al., 2012). The question of whether to pool triplicate amplifications is similar, though the number of PCR amplifications is not strictly limited and so it is possible to both include more samples and pool triplicate amplifications. Protocols for both the Earth Microbiome Project and the Human Microbiome project require the pooling of triplicate reactions (Gilbert et al. 2010; Peterson et al. 2009). However, the increase in time and effort required to triple the number of amplifications being performed is likely not be warranted in cases where samples are very different.



## **Chapter 4. Conclusions and Future Considerations**

### *4.1 Conclusions*

The study of the human microbiota is currently a very prominent area of research within both microbial ecology and human pathophysiology. The microbial community composition of these environments differ from non-host-associated communities such as those found in soil and water likely due to the bi-directional selective pressures of the host-microbe mutualism (Ley et al. 2006). In order to effectively analyze these microbial communities, available methods must be examined critically in terms of their strengths and weaknesses. My research compared a DGGE fingerprinting method and Illumina paired-end sequencing in terms of sensitivity, clustering behaviour, template concentration, and the value of pooling triplicate reactions in reducing the impact of PCR bias on each method.

DGGE requires that the fluorescence of a band be greater than background fluorescence in order for the band to be included in analyses (Muyzer and Smalla 1998). In order for a band to have enough DNA to produce this level of fluorescence, a species must be abundant within the sample being analyzed. DGGE bands picked from gel fingerprints of human microbiome samples in this thesis were shown to represent more than 0.1% of their respective sample (excluding one outlier, which represented less than 0.1% of its sample; Table 1). This limit of the resolving power of DGGE, due to its relatively low signal-to-noise ratio, restricts DGGE to the analysis of dominant community members (Green et al. 2010). This degree of sensitivity is appropriate for examining changes in abundant microorganisms or varying conditions, but is not sufficient for examining the true extent of biodiversity within a given community. DGGE is also limited by its inability to distinguish individual bands within high-diversity samples, such as soils (Green et al. 2010;

Inglis et al. 2012). Even though DGGE could differentiate replicate amplifications of two stool samples, it could not differentiate between replicate amplifications of soil samples from different environments (Fig. 4).

DGGE separates DNA fragments based on their melting behaviour (i.e. the concentration of denaturant at which they become partially denatured), which is not necessarily unique to a given fragment (Fischer and Lerman 1980). Within a sample, a single band can represent multiple species of bacteria. In addition, a band in the same position for two disparate samples is unlikely to represent the same species (Muyzer et al. 1993). Ordination cannot accurately cluster DGGE fingerprints derived from distinct environments, such as the mouth and colon, because these bands at the same position are interpreted as representing the same species. Because of the lack of direct relationship between species and bands, DGGE is not an appropriate tool for the analysis of samples from disparate environments, but is well suited to analyses of samples from very similar environments, or the same environment over time or varying treatments.

Both DGGE and Illumina sequencing require PCR amplification and are therefore affected by its biases. The pooling of triplicate amplifications was suggested to reduce the impact of the bias due to non-reproducible fluctuations in amplification efficiencies (Polz and Cavanaugh 1998), but the impact of this pooling has not been previously demonstrated. In my thesis research, the impact of pooling on DGGE and sequencing was evaluated by comparing amplifications of samples that were pooled in triplicate to individual reactions for both high (5-10 ng/ $\mu$ L) and low (0.1 ng/ $\mu$ L) template concentration and high (soil) and low (stool) diversity. My results did not demonstrate a measurable effect of pooling replicates on DGGE fingerprint clustering. This is

likely because more abundant organisms are less affected by pooling than rarer organisms which are not observable using DGGE due to the limits of this method's sensitivity.

Unlike DGGE, sequencing results can be affected by pooling: groups of sample replicates were more homogeneous and had greater between-group separation when triplicate PCR amplifications were pooled. However, pooling also resulted in less reproducibility within samples than using a higher concentration of template. The impact of pooling seems to be especially important for high-diversity samples, and had a greater impact on samples with low template concentration than those with high template concentration. This corroborates the finding of others that low template concentration results in greater stochastic fluctuations in PCR amplifications (Chandler et al. 1997). For low diversity samples or high template concentrations, the effect of pooling was almost equivalent to the variability that was observed between sequencing lanes. When these conditions are met, or when samples are from sufficiently different environments (e.g. different individuals), the pooling of triplicate amplifications is therefore not required.

Both DGGE fingerprinting and Illumina sequencing are useful tools for examining the human microbiota. DGGE provides a rapid and economical visual representation of the major constituents of a microbial community, and is well suited to tracking large changes in community composition over time or with varying treatments. Illumina sequencing provides a much greater depth of coverage and therefore allows for the analysis of low-abundance organisms within a community. It also allows for comparison and ordination of any samples, unlike DGGE, which requires samples to be from similar communities. The strengths and

weaknesses of these methods are somewhat complementary and the methods are best used in tandem to effectively analyse the human microbiota.

#### *4.2 Future Directions*

The work presented in this thesis compares the fingerprinting method DGGE and the Illumina sequencing platform in terms of their sensitivity, clustering behaviour of resulting data, and reproducibility for the purposes of studying the human microbiota. As the cost and time requirements associated with amplicon sequencing and data analysis continue to be reduced by technological advances, continued analysis will be needed to weigh the benefits and drawbacks of each of these methods.

In order to evaluate the impact of pooling triplicate amplifications further, several other sources of bias should be examined. In this study, three DNA extractions were pooled for each sample. Further study should evaluate the impact of pooling multiple DNA extractions by comparing replicates from pooled DNA from multiple extractions to replicates from a single extraction. Variability in samples taken from a single community due to spatially varying composition may also result in variability in analyses. The difference between extractions taken from different portions of a donation, or from nearby areas in case of soil or other environmental samples, should also be examined. If significantly more variability results from not pooling multiple extractions, or between two samplings, than results from not pooling triplicate amplifications during PCR, this pooling would not be justified.

Both concentrations of template used in this thesis are fairly low compared to what is expected for high density human host environments, such as the colon. Based on the effect of template concentration observed, it is probable that higher concentrations of DNA (i.e. 50 ng/ $\mu$ L) would further reduce variation between replicates and eliminate the need for pooling multiple PCR amplifications.

### *4.3 Significance*

The scope of microbial ecology encompasses a vast array of research areas from biogeochemical cycles to human health, and molecular methods such as DGGE and NGS continue to be instrumental in the expansion of this field. 16S rRNA gene fingerprinting and sequencing are invaluable tools for the study of microbial ecology. These molecular methods are capable of revealing levels of diversity that are not detectable through culturing. This research tests established practices for performing community analysis from various environments using PCR. Current protocols for both the Human Microbiome Project (Human Microbiome Project Consortium 2012) and the Earth Microbiome Project (Gilbert et al. 2010) include the pooling of triplicate PCR amplifications. However, my research suggests that will likely result in detectable differences. Although eliminating the pooling of triplicate PCR amplifications would decrease the effort associated with adding additional samples to any analysis, pooling should be maintained as an added precautionary “best practice”. That said, my data suggest that maximizing substrate concentrations for PCR (e.g., >10 ng) is a significantly important methodological consideration for PCR-based analyses of marker genes by NGS.

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Appendix A: Supplementary Table 1. All samples sequenced with number of sequences contributed to analysis. Samples in grey were excluded due to a low number of sequences and samples in dark grey were negative controls.

| Lane | Barcode | Sample | Pooled | Template Concentration | Barcode | Sequences Contributed |
|------|---------|--------|--------|------------------------|---------|-----------------------|
| 1    | V4_1R   | S1     | Yes    | high                   | ATCACG  | 29907                 |
| 1    | V4_2R   | S1     | Yes    | high                   | CGATGT  | 40939                 |
| 1    | V4_3R   | S1     | Yes    | high                   | TTAGGC  | 40012                 |
| 1    | V4_4R   | S1     | Yes    | high                   | TGACCA  | 24218                 |
| 1    | V4_5R   | S1     | Yes    | high                   | ACAGTG  | 43532                 |
| 1    | V4_6R   | S1     | Yes    | low                    | GCCAAT  | 16085                 |
| 1    | V4_7R   | S1     | Yes    | low                    | CAGATC  | 222                   |
| 1    | V4_8R   | S1     | Yes    | low                    | ACTTGA  | 15911                 |
| 1    | V4_9R   | S1     | Yes    | low                    | GATCAG  | 36273                 |
| 1    | V4_10R  | S1     | Yes    | low                    | TAGCTT  | 15054                 |
| 1    | V4_11R  | 6TD    | Yes    | high                   | GGCTAC  | 41985                 |
| 1    | V4_12R  | 6TD    | Yes    | high                   | CTTGTA  | 77059                 |
| 1    | V4_13R  | 6TD    | Yes    | high                   | AGTACG  | 70548                 |
| 1    | V4_14R  | 6TD    | Yes    | high                   | TCAGTC  | 50318                 |
| 1    | V4_15R  | 6TD    | Yes    | high                   | TTGAGC  | 97766                 |
| 1    | V4_16R  | 6TD    | Yes    | low                    | AAGCGA  | 15909                 |
| 1    | V4_17R  | 6TD    | Yes    | low                    | TCCTCA  | 15161                 |
| 1    | V4_18R  | 6TD    | Yes    | low                    | GGTTGT  | 12052                 |
| 1    | V4_19R  | 6TD    | Yes    | low                    | TGAGGT  | 23508                 |
| 1    | V4_20R  | 6TD    | Yes    | low                    | TACCGT  | 24562                 |
| 1    | V4_21R  | 10AS   | Yes    | high                   | CCAACT  | 71393                 |
| 1    | V4_22R  | 10AS   | Yes    | high                   | AGAGAG  | 42154                 |
| 1    | V4_23R  | 10AS   | Yes    | high                   | CACTTG  | 69607                 |
| 1    | V4_24R  | 10AS   | Yes    | high                   | TCAAGG  | 63817                 |
| 1    | V4_25R  | 10AS   | Yes    | high                   | AGTGGT  | 54384                 |
| 1    | V4_26R  | 10AS   | Yes    | low                    | GCACT   | 13211                 |
| 1    | V4_27R  | 10AS   | Yes    | low                    | CCTTCT  | 27644                 |
| 1    | V4_28R  | 10AS   | Yes    | low                    | GGATAA  | 20895                 |
| 1    | V4_29R  | 10AS   | Yes    | low                    | CCTTAA  | 14797                 |
| 1    | V4_30R  | 10AS   | Yes    | low                    | CAAGAA  | 12505                 |
| 1    | V4_31R  | S3     | Yes    | high                   | GTTGAA  | 58081                 |
| 1    | V4_32R  | S3     | Yes    | high                   | TCACAA  | 58995                 |
| 1    | V4_33R  | S3     | Yes    | high                   | AGTCAA  | 54104                 |
| 1    | V4_34R  | S3     | Yes    | high                   | CGAATA  | 70280                 |
| 1    | V4_35R  | S3     | Yes    | high                   | GCTATA  | 60227                 |
| 1    | V4_36R  | S3     | Yes    | low                    | GAGTTA  | 17204                 |
| 1    | V4_37R  | S3     | Yes    | low                    | TTGGTA  | 17907                 |
| 1    | V4_38R  | S3     | Yes    | low                    | AACGTA  | 29850                 |
| 1    | V4_39R  | S3     | Yes    | low                    | GTAATA  | 16578                 |

|   |        |      |     |      |        |        |
|---|--------|------|-----|------|--------|--------|
| 1 | V4_40R | S3   | Yes | low  | CATCTA | 257    |
| 1 | V4_41R | S1   | No  | high | TGTAGA | 56188  |
| 1 | V4_42R | S1   | No  | high | ATCAGA | 48967  |
| 1 | V4_43R | S1   | No  | high | ACATGA | 44309  |
| 1 | V4_44R | S1   | No  | high | TAGACA | 42964  |
| 1 | V4_45R | S1   | No  | high | GAGAAT | 3699   |
| 1 | V4_46R | S1   | No  | low  | CTCAAT | 2279   |
| 1 | V4_47R | S1   | No  | low  | AGGTAT | 9222   |
| 1 | V4_48R | S1   | No  | low  | TTGCAT | 1835   |
| 1 | V4_49R | S1   | No  | low  | TGGATT | 36714  |
| 1 | V4_50R | S1   | No  | low  | ACCATT | 5904   |
| 1 | V4_51R | 6TD  | No  | high | CTAGTT | 39474  |
| 1 | V4_52R | 6TD  | No  | high | AGTGTT | 277    |
| 1 | V4_53R | 6TD  | No  | high | TCTCTT | 46185  |
| 1 | V4_54R | 6TD  | No  | high | GTAAGT | 137519 |
| 1 | V4_55R | 6TD  | No  | high | CAATGT | 34483  |
| 1 | V4_56R | 6TD  | No  | low  | ATTCGT | 26978  |
| 1 | V4_57R | 6TD  | No  | low  | ATGACT | 14975  |
| 1 | V4_58R | 6TD  | No  | low  | ACTTCT | 43590  |
| 1 | V4_59R | 6TD  | No  | low  | CATAAG | 4315   |
| 1 | V4_60R | 6TD  | No  | low  | TTCTAG | 20219  |
| 1 | V4_61R | 10AS | No  | high | AAGATG | 73682  |
| 1 | V4_62R | 10AS | No  | high | TATGTG | 52649  |
| 1 | V4_63R | 10AS | No  | high | AATTGG | 27085  |
| 1 | V4_64R | 10AS | No  | high | TAATCG | 507    |
| 1 | V4_65R | 10AS | No  | high | ACTAAC | 80471  |
| 1 | V4_66R | 10AS | No  | low  | TGTTAC | 4272   |
| 1 | V4_67R | 10AS | No  | low  | ATACAC | 32074  |
| 1 | V4_68R | 10AS | No  | low  | CTTATC | 45677  |
| 1 | V4_69R | 10AS | No  | low  | AGATTC | 3006   |
| 1 | V4_70R | 10AS | No  | low  | ACGGAA | 30973  |
| 1 | V4_71R | S3   | No  | high | TGCGAA | 147    |
| 1 | V4_72R | S3   | No  | high | GACCAA | 49796  |
| 1 | V4_73R | S3   | No  | high | CTGTCA | 27838  |
| 1 | V4_74R | S3   | No  | high | GCAGAT | 27438  |
| 1 | V4_75R | S3   | No  | high | TCGTGT | 42015  |
| 1 | V4_76R | S3   | No  | low  | GAACCT | 26648  |
| 1 | V4_77R | S3   | No  | low  | GTCATG | 85676  |
| 1 | V4_78R | S3   | No  | low  | GATAGC | 4595   |
| 1 | V4_79R | S3   | No  | low  | AAGTCC | 31185  |
| 1 | V4_80R | S3   | No  | low  | ATTGCC | 6566   |
| 1 | V4_81R | SX   | yes | high | CCGAGA | 53661  |
| 1 | V4_82R | SX   | yes | high | CGCTGA | 143162 |
| 1 | V4_83R | SX   | yes | high | GGCACA | 52548  |
| 1 | V4_84R | SX   | yes | low  | CGTGCA | 27642  |

|   |        |         |     |      |        |       |
|---|--------|---------|-----|------|--------|-------|
| 1 | V4_85R | SX      | yes | low  | GGCCTT | 27417 |
| 1 | V4_86R | SX      | yes | low  | CCTGGT | 20185 |
| 1 | V4_87R | SX      | yes | high | CAGGCT | 41885 |
| 1 | V4_88R | SX      | yes | high | GTCGCT | 53682 |
| 1 | V4_89R | SX      | yes | high | GCGTAG | 35433 |
| 1 | V4_90R | SX      | yes | low  | CTGGAG | 37627 |
| 1 | V4_91R | SX      | yes | low  | CTACGG | 35151 |
| 1 | V4_92R | SX      | yes | low  | ACACCG | 29416 |
| 1 | V4_93R | negcont | n/a | n/a  | GTTCCG | 241   |
| 1 | V4_94R | negcont | n/a | n/a  | CAGCAC | 642   |
| 1 | V4_95R | negcont | n/a | n/a  | CCGTTC | 464   |
| 1 | V4_96R | negcont | n/a | n/a  | GCATCC | 371   |
| 2 | V4_1R  | S1      | Yes | high | ATCACG | 23312 |
| 2 | V4_2R  | S1      | Yes | high | CGATGT | 30580 |
| 2 | V4_3R  | S1      | Yes | high | TTAGGC | 0     |
| 2 | V4_4R  | S1      | Yes | high | TGACCA | 28383 |
| 2 | V4_5R  | S1      | Yes | high | ACAGTG | 31086 |
| 2 | V4_6R  | S1      | Yes | low  | GCCAAT | 19715 |
| 2 | V4_7R  | S1      | Yes | low  | CAGATC | 24126 |
| 2 | V4_8R  | S1      | Yes | low  | ACTTGA | 19623 |
| 2 | V4_9R  | S1      | Yes | low  | GATCAG | 20025 |
| 2 | V4_10R | S1      | Yes | low  | TAGCTT | 16685 |
| 2 | V4_11R | 6TD     | Yes | high | GGCTAC | 31789 |
| 2 | V4_12R | 6TD     | Yes | high | CTTGTA | 37765 |
| 2 | V4_13R | 6TD     | Yes | high | AGTACG | 41893 |
| 2 | V4_14R | 6TD     | Yes | high | TCAGTC | 34343 |
| 2 | V4_15R | 6TD     | Yes | high | TTGAGC | 46193 |
| 2 | V4_16R | 6TD     | Yes | low  | AAGCGA | 13917 |
| 2 | V4_17R | 6TD     | Yes | low  | TCCTCA | 18927 |
| 2 | V4_18R | 6TD     | Yes | low  | GGTTGT | 8183  |
| 2 | V4_19R | 6TD     | Yes | low  | TGAGGT | 16947 |
| 2 | V4_20R | 6TD     | Yes | low  | TACCGT | 9733  |
| 2 | V4_21R | 10AS    | Yes | high | CCAACT | 44346 |
| 2 | V4_22R | 10AS    | Yes | high | AGAGAG | 36900 |
| 2 | V4_23R | 10AS    | Yes | high | CACTTG | 44436 |
| 2 | V4_24R | 10AS    | Yes | high | TCAAGG | 43535 |
| 2 | V4_25R | 10AS    | Yes | high | AGTGGT | 50034 |
| 2 | V4_26R | 10AS    | Yes | low  | GACACT | 14967 |
| 2 | V4_27R | 10AS    | Yes | low  | CCTTCT | 15885 |
| 2 | V4_28R | 10AS    | Yes | low  | GGATAA | 22784 |
| 2 | V4_29R | 10AS    | Yes | low  | CCTTAA | 14842 |
| 2 | V4_30R | 10AS    | Yes | low  | CAAGAA | 10201 |
| 2 | V4_31R | S3      | Yes | high | GTTGAA | 42576 |
| 2 | V4_32R | S3      | Yes | high | TCACAA | 38499 |
| 2 | V4_33R | S3      | Yes | high | AGTCAA | 43807 |

|   |        |      |     |      |        |       |
|---|--------|------|-----|------|--------|-------|
| 2 | V4_34R | S3   | Yes | high | CGAATA | 51703 |
| 2 | V4_35R | S3   | Yes | high | GCTATA | 57034 |
| 2 | V4_36R | S3   | Yes | low  | GAGTTA | 16813 |
| 2 | V4_37R | S3   | Yes | low  | TTGGTA | 20506 |
| 2 | V4_38R | S3   | Yes | low  | AACGTA | 20498 |
| 2 | V4_39R | S3   | Yes | low  | GTAATA | 12793 |
| 2 | V4_40R | S3   | Yes | low  | CATCTA | 458   |
| 2 | V4_41R | S1   | No  | high | TGTAGA | 33518 |
| 2 | V4_42R | S1   | No  | high | ATCAGA | 30673 |
| 2 | V4_43R | S1   | No  | high | ACATGA | 16622 |
| 2 | V4_44R | S1   | No  | high | TAGACA | 26813 |
| 2 | V4_45R | S1   | No  | high | GAGAAT | 23804 |
| 2 | V4_46R | S1   | No  | low  | CTCAAT | 4158  |
| 2 | V4_47R | S1   | No  | low  | AGGTAT | 8406  |
| 2 | V4_48R | S1   | No  | low  | TTGCAT | 21612 |
| 2 | V4_49R | S1   | No  | low  | TGGATT | 29469 |
| 2 | V4_50R | S1   | No  | low  | ACCATT | 25817 |
| 2 | V4_51R | 6TD  | No  | high | CTAGTT | 29569 |
| 2 | V4_52R | 6TD  | No  | high | AGTGTT | 22184 |
| 2 | V4_53R | 6TD  | No  | high | TCTCTT | 23581 |
| 2 | V4_54R | 6TD  | No  | high | GTAAGT | 57618 |
| 2 | V4_55R | 6TD  | No  | high | CAATGT | 24669 |
| 2 | V4_56R | 6TD  | No  | low  | ATTCGT | 14641 |
| 2 | V4_57R | 6TD  | No  | low  | ATGACT | 18141 |
| 2 | V4_58R | 6TD  | No  | low  | ACTTCT | 30553 |
| 2 | V4_59R | 6TD  | No  | low  | CATAAG | 16383 |
| 2 | V4_60R | 6TD  | No  | low  | TTCTAG | 15738 |
| 2 | V4_61R | 10AS | No  | high | AAGATG | 35874 |
| 2 | V4_62R | 10AS | No  | high | TATGTG | 32479 |
| 2 | V4_63R | 10AS | No  | high | AATTGG | 22976 |
| 2 | V4_64R | 10AS | No  | high | TAATCG | 11814 |
| 2 | V4_65R | 10AS | No  | high | ACTAAC | 46183 |
| 2 | V4_66R | 10AS | No  | low  | TGTTAC | 15111 |
| 2 | V4_67R | 10AS | No  | low  | ATACAC | 16895 |
| 2 | V4_68R | 10AS | No  | low  | CTTATC | 19676 |
| 2 | V4_69R | 10AS | No  | low  | AGATTC | 13698 |
| 2 | V4_70R | 10AS | No  | low  | ACGGAA | 15970 |
| 2 | V4_71R | S3   | No  | high | TGCGAA | 23264 |
| 2 | V4_72R | S3   | No  | high | GACCAA | 33802 |
| 2 | V4_73R | S3   | No  | high | CTGTCA | 27377 |
| 2 | V4_74R | S3   | No  | high | GCAGAT | 30528 |
| 2 | V4_75R | S3   | No  | high | TCGTGT | 20118 |
| 2 | V4_76R | S3   | No  | low  | GAACCT | 28758 |
| 2 | V4_77R | S3   | No  | low  | GTCATG | 55074 |
| 2 | V4_78R | S3   | No  | low  | GATAGC | 14274 |



|   |        |          |     |      |        |       |
|---|--------|----------|-----|------|--------|-------|
| 2 | V4_79R | S3       | No  | low  | AAGTCC | 16168 |
| 2 | V4_80R | S3       | No  | low  | ATTGCC | 15946 |
| 2 | V4_81R | SX       | yes | high | CCGAGA | 44568 |
| 2 | V4_82R | SX       | yes | high | CGCTGA | 41293 |
| 2 | V4_83R | SX       | yes | high | GGCACA | 35443 |
| 2 | V4_84R | SX       | yes | low  | CGTGCA | 16991 |
| 2 | V4_85R | SX       | yes | low  | GGCCTT | 16868 |
| 2 | V4_86R | SX       | yes | low  | CCTGGT | 12231 |
| 2 | V4_87R | SX       | yes | high | CAGGCT | 32029 |
| 2 | V4_88R | SX       | yes | high | GTCGCT | 35515 |
| 2 | V4_89R | SX       | yes | high | GCGTAG | 25929 |
| 2 | V4_90R | SX       | yes | low  | CTGGAG | 24184 |
| 2 | V4_91R | SX       | yes | low  | CTACGG | 21652 |
| 2 | V4_92R | SX       | yes | low  | ACACCG | 19598 |
| 2 | V4_93R | -ve ctrl | n/a | n/a  | GTTCCG | 248   |
| 2 | V4_94R | -ve ctrl | n/a | n/a  | CAGCAC | 866   |
| 2 | V4_95R | -ve ctrl | n/a | n/a  | CCGTTC | 429   |
| 2 | V4_96R | -ve ctrl | n/a | n/a  | GCATCC | 610   |